

Sparse SYK

by

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Introduction

Introduction

(all-to-all) SYK

Quantum mechanical system of N Majorana fermions χ^j with all-to-all random interactions [Kitaev '15]

$$H = i^{q/2} \sum_{1 \leq j_1 < \dots < j_q \leq N} \underbrace{J_{j_1 \dots j_q}}_{\text{Gaussian}} \underbrace{\chi^{j_1} \dots \chi^{j_q}}_{q\text{-body}}, \quad \langle (J_{j_1 \dots j_q})^2 \rangle = \frac{(q-1)! J^2}{N^{q-1}}$$

- Analytically solvable at large N
- Emergent conformal symmetry at low energies
- Maximally chaotic $\lambda_L = \frac{2\pi}{\beta}$ [Maldacena, Shenker, Stanford 1503.01409]

SYK is a model of holographic duality

Drawback: computational cost

Quantum simulation ($q = 4$)

$$\text{number of gates} \sim \mathcal{O}(N^{7/2} Jt + \dots)$$

Classical:

$$\text{number of terms} \sim N^q$$

state of the art $N = 52$, 7 million terms

Is there an SYK modification that retains all the interesting physics but is more computationally efficient?

Sparse SYK

Sparse SYK

Sparse SYK model

Sparsity: Reduce number of terms in the Hamiltonian summation while preserving original properties, e.g., chaotic behavior

[Xu, Susskind, Su, Swingle 2008.02303]

- Random pruning
- Hypergraphs

$$H = i^{q/2} \sum_{1 \leq j_1 < \dots < j_q \leq N} J_{j_1 \dots j_q} x_{j_1 \dots j_q} \chi^{j_1} \dots \chi^{j_q}, \quad \langle (J_{j_1 \dots j_q})^2 \rangle = \frac{(q-1)! J^2}{p N^{q-1}}$$

where $x_{ijkl} = 0$ with probability $1-p$ or 1 with probability p

Note that

- Computational cost ✓

Quantum simulation (any q), number of gates

$$\sim \mathcal{O}(kNjt)$$

Classical, number of terms

$$\sim kN.$$

For $N = 52$, $k = 4$, 208 terms

- Chaos ✓
- Path integral G and Sigma ✓

How much sparsity?
Other physics?

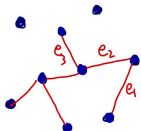
Hypergraphs

Hypergraphs

Hypergraphs: Generalization of a graph where hyperedges can connect more than two vertices

graph $G = (V, E)$

V : finite set
whose elements
are called vertices

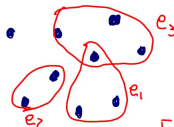


$E = \{e_1, e_2, \dots\}$

e_i : sets of
pairs of vertices

$|e_i| = 2$

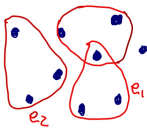
hypergraph $H = (V, E)$



$E = \{e_1, e_2, \dots\}$

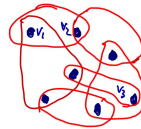
e_i : non-empty subset
of V

hypergraph $H=(V,E)$



s-uniform
every hyperedge
contains s-vertices

r-regular
all vertices are
contained in r hyperedges



(r,s) r-regular, s-uniform

Sparse SYK as (kq, q) Hypergraphs: Majorana fermions are identified with vertices, and each interaction term correspond to a hyperedge connecting q vertices (q -uniform).

kq -regular hypergraphs: Every vertex is contained in exactly kq hyperedges.

q uniform indicates that the Hamiltonian contains q -body interactions

- k quantifies the degree of sparsity in the Hamiltonian

$$k = \frac{p}{N} \binom{N}{q}$$

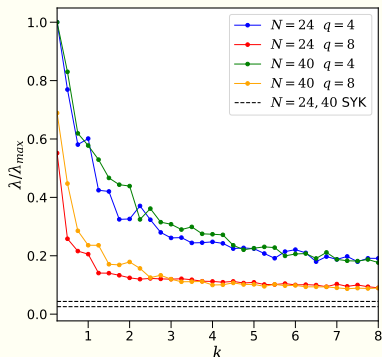
⇒ Sparse Hamiltonian is a sum of exactly kN terms

- Math results for random (r, s) hypergraphs

Adjacency matrix

We want sparse hypergraphs that are highly connected, *expanders*

$$[A]_{ij} = \begin{cases} \# \text{ of hyperedges containing vertices } i \text{ and } j & \text{if } i \neq j \\ 0 & \text{if } i = j \end{cases}$$



- Second largest eigenvalue λ
→ Spectral gap
- Indication that $k \gtrsim 1$ has good connectivity
- Other measures of hypergraph expansion: algebraic entropy and vertex expansion

Other measures of connectivity:

- Algebraic hypergraph entropy

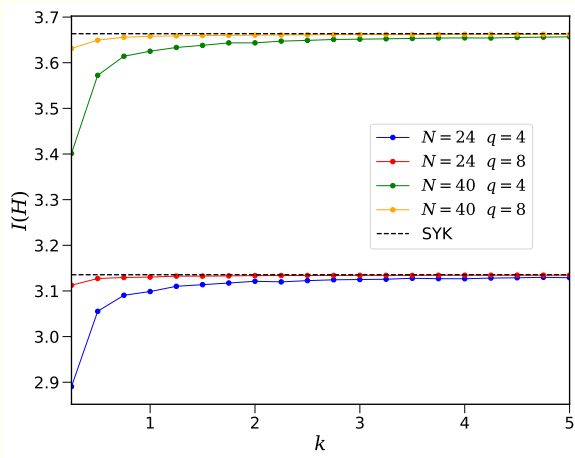
Consider a hypergraph $H(V, E)$ and its adjacency matrix $A(H)$. Define

$$D = \text{diag}(d_1, d_2, \dots, d_N), \quad d_i = \sum_{j \in V} A_{ij}.$$

and

$$L(H) = \frac{1}{\text{Tr}D} (D - A(H)) \quad \text{with eigenvalues } \nu_i$$

$$I(H) = \sum \nu_i \log \nu_i$$



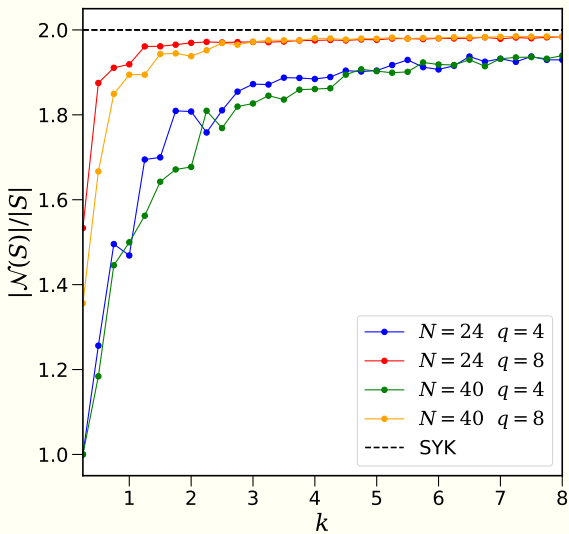
- Vertex expansion

Consider a subset $S \subset V$. Define its neighborhood

$$\mathcal{N}(S) := \{i : \exists j \in S \text{ such that } \{i, j\} \subseteq e \text{ for some } e \in E\}.$$

Lower bound on vertex expansion (Dumitriu and Zhu, 2019)

$$\frac{|\mathcal{N}(S)|}{|S|} \geq \left[1 - \frac{1}{2} \left(1 - \frac{\lambda^2}{r^2(s-1)^2} \right) \right]^{-1}.$$



$$q = 4, \quad k = 4 \quad \checkmark$$

$$q = 8, \quad k = 2 \quad \checkmark$$

Do they reproduce desired physics?

- Hypergraphs are a useful tool. Much to explore.

Traversable wormholes and sparse SYK

Two coupled sparse SYK

Eternal traversable wormhole with a global AdS_2 geometry can be realized by coupling two copies of SYK in the large N and small coupling limit [Maldacena, Qi 1804.00491]

- Solution can be obtained from JT gravity by adding coupling between boundaries [Gao, Jafferis, Wall 1608.05687]
- Traversable for any time
- Same physics can be derived from two coupled SYKs

$$H = H_L^{\text{SYK}} + H_R^{\text{SYK}} + H_{\text{int}}, \quad H_{\text{int}} = i\mu \sum_{j=1}^N \chi_L^j \chi_R^j$$

→ Study two coupled sparse SYKs

Properties of the two coupled SYK model

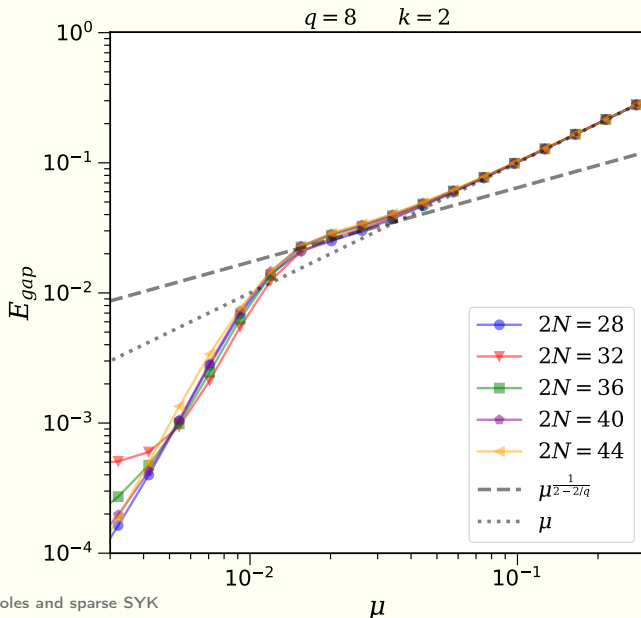
[Maldacena, Qi 1804.00491]

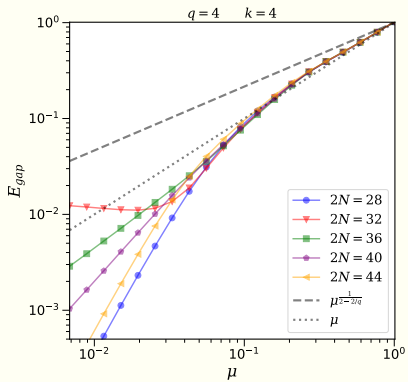
$$H = H_L^{\text{SYK}} + H_R^{\text{SYK}} + i\mu \sum_{j=1}^N \chi_L^j \chi_R^j$$

- Ground state $|\Psi_0\rangle$ approximately a TFD state (for some $\beta(\mu)$)
- **Energy gap** scaling (Derived from large N analysis (gravitational))

$$E_{\text{gap}} \sim \mu^{\frac{1}{2-2/q}} \quad \text{at weak coupling}$$
$$E_{\text{gap}} \sim \mu \quad \text{at strong coupling}$$

Energy gap





- $q = 8$ matches scaling expected from gravity for large N and appropriate range of couplings
- Finite N effects dominate at very small couplings μ

Revival dynamics phenomena

- 1 Start with ground state $|\Psi_0\rangle$ of the two coupled SYK
- 2 Create Majorana excitation in **Right** system

$$|\Psi(t=0)\rangle = \chi_R |\Psi_0\rangle$$

- 3 Excitation gets scrambled
- 4 Excitation reassembles and becomes localized in **Left** system

$$|\Psi(t=t_{\text{rev}})\rangle = \chi_L |\Psi_0\rangle$$

- 5 Process is repeated with $L \leftrightarrow R \Rightarrow$ '**Revival oscillations**'
[Plugge, Lantagne-Hurtubise, Franz 2003.03914]

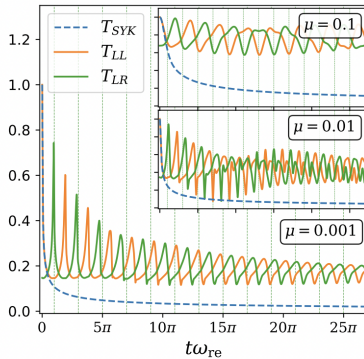
Gravity picture: Perturbation travels through the wormhole

Diagnostic of revivals

Transmission amplitude $T_{ab} = 2|G_{ab}^>|$

$$G_{ab}^>(t) = -\frac{i\theta(t)}{N} \sum_j \langle \chi_a^j(t) \chi_b^j(0) \rangle = \begin{pmatrix} G_{LL}^>(t) & G_{LR}^>(t) \\ G_{RL}^>(t) & G_{RR}^>(t) \end{pmatrix}$$

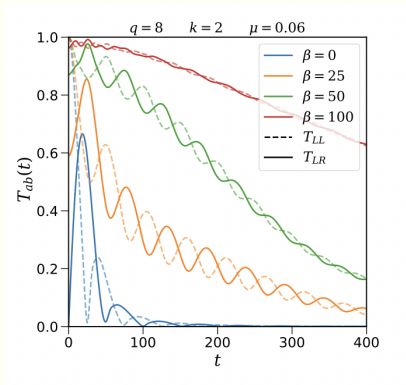
$|T_{ab}(t)|^2$: Probability of recovering χ_a^j at some time t after inserting χ_b^j at $t = 0$.



[Plugge, Lantagne-Hurtubise and Franz, 2020]

Revivals in sparse SYK

Increasing the temperature decreases transmission amplitude but enhances the oscillatory behavior



SYK with $q = 4$ does not follow expected frequency scaling from AdS_2 gravity, but $q = 8$ is compatible for some range of couplings and temperatures

Summary so far: Hypergraphs are a useful mathematical framework that provides information about the connectivity of the sparse model. Sparse SYK is a computationally tractable quantum mechanical system with emergent gravitational behavior and can describe an eternal traversable wormhole.

dynamite: a python library that makes use of PETSc and SLEPc. Krylov subspace methods combined with massive parallelization

[\[Github:GregDMeyer/dynamite\]](https://github.com/GregDMeyer/dynamite)

Texas Advanced Computing Center (TACC): Use of computational resources from Stampede2 supercomputer

Chaos and spectral form factor

Classical vs quantum chaos

Classical chaos: Sensitivity to initial conditions

- Diagnosed by Poisson brackets

$$\frac{\partial x(t)}{\partial x(0)} = \{x(t), p(0)\}_{\text{P.B.}} \sim e^{\lambda t}, \quad \lambda : \text{Lyapunov exponent}$$

Quantum chaos: First proposal by [Larkin and Ovchinnikov \(1969\)](#). New insights by [Shenker, Stanford \(2014\)](#) and [Kitaev \(2015\)](#)

- Out of Time Order Correlators (OTOC)

$$C(t) = -\langle [W(t), V(0)]^2 \rangle_{\beta}, \quad V, W \text{ Hermitian operators}$$

Basic intuition: How much an early perturbation V affects the later measurement of W . Lyapunov exponent

- Random Matrix Theory (RMT)

- The Hamiltonian of a chaotic system, when considering small energy windows where the density of states is constant, is believed to resemble a random matrix.
- Wigner. By studying statistical properties of random matrices subject to the symmetries of the Hamiltonian, we can understand the statistical properties of energy levels and eigenstates of the system.
- One observable that captures the statistics of energy levels is the spectral form factor (SFF)

Random matrix theory (RMT) provides an alternative diagnostic of quantum chaos

- Quantum chaos encoded in the statistical properties of the spectrum
- Spectra of quantum chaotic systems show the same fluctuation properties as predicted by RMT

Classical ensembles of RMT: Hermitian random matrices whose entries are random variables independently distributed

Level statistics

Level spacing: $s = \frac{E_{i+1} - E_i}{\Delta}$, Δ : mean level spacing

Level spacing distribution: $P(s)$, probability to find consecutive eigenvalues E_i, E_{i+1} at distance s

- For quantum chaotic system:

$$P_W(s) \simeq A_\alpha s^\alpha e^{-B_\alpha s^\alpha}, \quad \alpha = \begin{cases} 1 & \text{GOE} \\ 2 & \text{GUE} \\ 4 & \text{GSE} \end{cases} \quad (\text{Wigner-surmise})$$

- For integrable system:

$$P_P(s) = e^{-s} \quad (\text{Poisson})$$

Quantity sensitive to energy level statistics: Spectral Form Factor

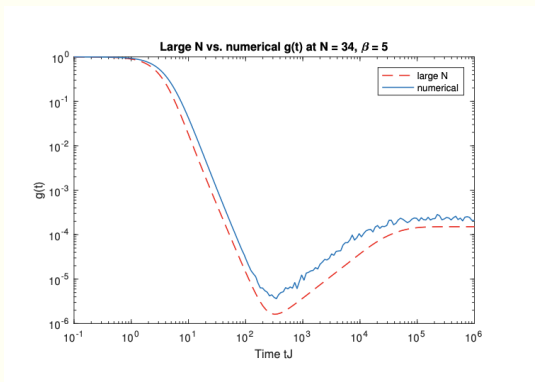
$$g(t, \beta) = \frac{\langle Z(\beta, t) Z^*(\beta, t) \rangle_J}{\langle Z(\beta) \rangle_J^2}$$

$$g_d(t, \beta) = \frac{\langle Z(\beta, t) \rangle_J \langle Z^*(\beta, t) \rangle_J}{\langle Z(\beta) \rangle_J^2}$$

$$g_c(t, \beta) = g(t, \beta) - g_d(t, \beta)$$

Spectral form factor

The late time behavior of the spectral form factor in the all-to-all SYK is governed by random matrix theory, just as expected from a chaotic system.



Slope, dip, ramp, plateaux. [Cotler et. al.]

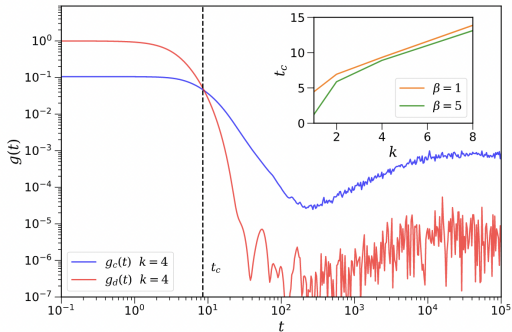
- Berkooz, Bruckner, Noravlansky and Raz, 2020: connected contributions to the moments can dominate at early times.

$$\begin{aligned}\mathcal{Z}(\beta_1, \beta_2) &= \langle \text{Tr}(e^{-\beta_1 H}) \text{Tr}(e^{-\beta_2 H}) \rangle_J \\ &= \sum_{m_1, m_2=0}^{\infty} \langle \text{Tr}(H^{m_1}) \text{Tr}(H^{m_2}) \rangle_J \frac{\beta_1^{m_1}}{m_1!} \frac{\beta_2^{m_2}}{m_2!} (-1)^{m_1+m_2}\end{aligned}$$

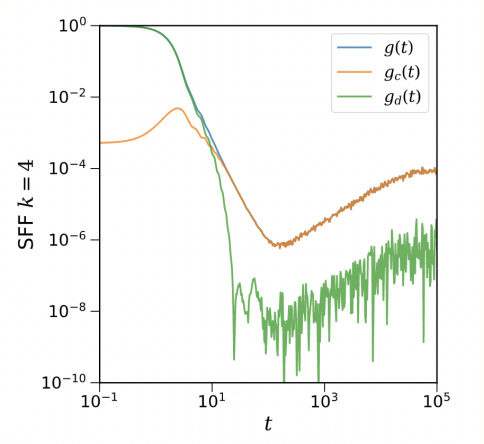
- But a large value of N , beyond the state of the art of numerical simulations, is needed to see this effect in the all-to-all SYK

→ Sparse SYK!

SFF in Sparse SYK



Connected and disconnected parts, exchange of dominance. $N = 30, k = 4, q = 4$



Spectral form factor $k = 4, N = 30, q = 4$

Another way of diagnosing chaos: Out of Time Order Correlators (OTOCs)

- $C(t) = \langle [W(t), V(0)]^2 \rangle = 2 - 2F(t)$



$$F(t) \equiv \langle W(t)V(0)W(t)V(0) \rangle_{\beta}$$

Work in progress: OTOCs

Intuition:

$$\langle W(t)V(0)W(t)V(0) \rangle = \langle \psi_2 | \psi_1 \rangle$$

$$|\psi_2\rangle = V(0)W(t)|\beta\rangle \quad \text{and} \quad |\psi_1\rangle = W(t)V(0)|\beta\rangle$$

In non-chaotic system: early measurement of V has no effect on the later measurement of W , $|\psi_1\rangle \sim |\psi_2\rangle$, so overlap

$$\langle \psi_1 | \psi_2 \rangle = 1 \rightarrow C(t) \sim 0$$

In a chaotic system: Perturbation V makes $|\psi_1\rangle$ and $|\psi_2\rangle$ distinguishable, so overlap $\langle \psi_1 | \psi_2 \rangle$ is small, $C(t) \rightarrow 2$ for late times

Scrambling time t_*

Lyapunov exponent λ_L

Work in progress: chaos bound

Maldacena, Shenker, Stanford '15

$$\lambda_L \leq \frac{2\pi k_B T}{\hbar}$$

- Valid for generic quantum systems, with the assumption of analyticity and factorization at large times of thermal correlation functions
- A large class of black holes saturate this bound
- Bound is also saturated in the SYK model
- Sparse SYK? k dependence?

Future directions

- Collisions behind the horizon [Haehl and Zhao, 2105.12755, 2202.04661]

$$\mathcal{F}_6 \sim \frac{\langle W_1 W_1 \mathcal{O}_j \mathcal{O}_j W_2 W_2 \rangle}{\langle W_1 W_1 \rangle \langle \mathcal{O}_j \mathcal{O}_j \rangle \langle W_2 W_2 \rangle}$$

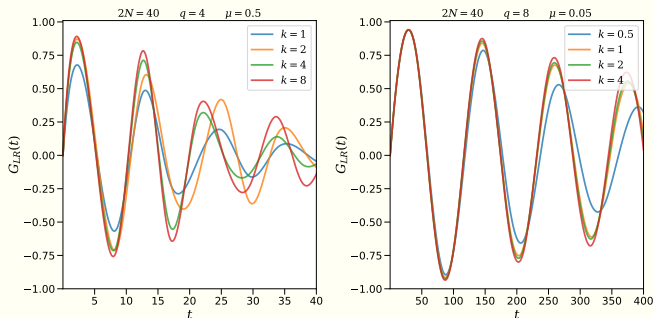
- Operator growth, complexity, etc. Hyergraphs?
-

Thanks!

Gracias!

Green's functions

$$G_{ab}(t) = \frac{1}{N} \sum_j 2\text{Re}\langle \chi_a^j(t) \chi_b^j(0) \rangle, \quad a, b = L, R.$$

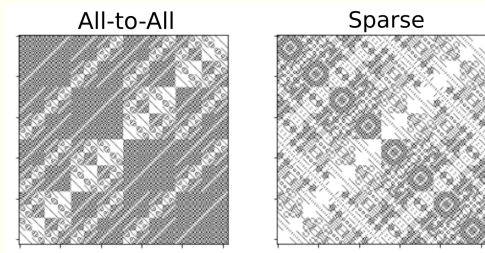


- Sparse SYK similar to original SYK using k of order 1
- Larger q allows us to choose smaller k

Numerical methods

SYK maps to $N/2$ -qubit system via **Jordan-Wigner transformation**

$$\chi_{2n} = \left(\prod_{j=1}^{n-1} \sigma_j^x \right) \sigma_n^z, \quad \chi_{2n-1} = \left(\prod_{j=1}^{n-1} \sigma_j^x \right) \sigma_n^y, \quad \{\chi_i, \chi_j\} = 2\delta_{ij}$$



Krylov subspace

$$\mathcal{K}_m = \text{span}\{|\psi(t)\rangle, H|\psi(t)\rangle, H^2|\psi(t)\rangle, \dots, H^{m-1}|\psi(t)\rangle\}$$

Get approximation for time evolution

$$e^{-iH\Delta t}|\psi(t)\rangle \simeq V_m e^{-iV_m H V_m \Delta t} e_1$$

Typicality:

$$\langle \chi_a^j(t) \chi_b^j(0) \rangle = \frac{1}{Z} \text{Tr} \left[e^{-\beta H} \chi_a^j(t) \chi_b^j(0) \right] \simeq \frac{\langle \beta | \chi_a^j(t) \chi_b^j(0) | \beta \rangle}{\langle \beta | \beta \rangle}$$

$$|\beta\rangle = e^{-\frac{\beta}{2} H} |\psi\rangle, \quad |\psi\rangle \text{ random state}$$