The p-spin glass model: a holographer's perspective

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Outline

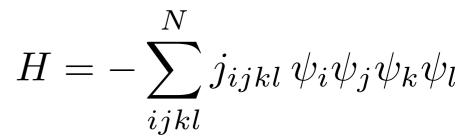
- Review: SYK, chaos, glassiness
- The p-spin glass model
 - Replica symmetry breaking
 - Conformal limits
 - Quantum chaos (OTOCs)
- Holographic speculations
- Conclusion

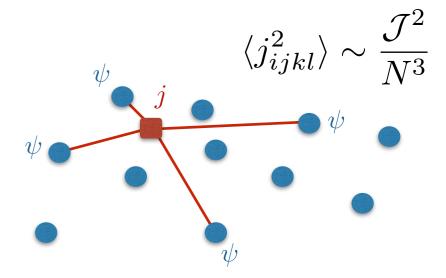
Review: SYK, chaos, glassiness

Reminder: SYK model

- N Majorana fermions with random, Gaussian couplings
- * Exactly solvable for $N \gg \beta J \gg 1$
- "Mean field" description at large N in terms of bilocal 2-point function

$$G(\tau, \tau') = \frac{1}{N} \sum_{i=1}^{N} \langle \psi_i(\tau) \psi_i(\tau') \rangle$$





- $\beta J \gg 1$: $S_{\text{eff}}[G]$ is approximately $\text{diff}(S^1)$ invariant, i.e., $\tau \to f(\tau)$
 - The saddle point solution breaks $\operatorname{diff}(S^1) \to SL(2,\mathbb{R})$:

$$G_c(\tau - \tau') \propto \frac{1}{(\tau - \tau')^{2/q}}$$

[Sachdev-Ye '93] [Kitaev '15] [Maldacena-Stanford '16] ...

* The pseudo-Goldstone associated with reparametrizations $\tau \to f(\tau)$ has a 'Schwarzian' effective action:

$$I_{\mathrm{Schw.}} \propto -\frac{N}{\mathcal{J}} \int d\tau \ \{f(\tau), \tau\}$$

- * This action also describes the boundary degree of freedom associated with dilaton gravity in AdS_2 [Maldacena-Stanford-Yang '16]
- The symmetry breaking pattern also implies a near-extremal entropy of the form

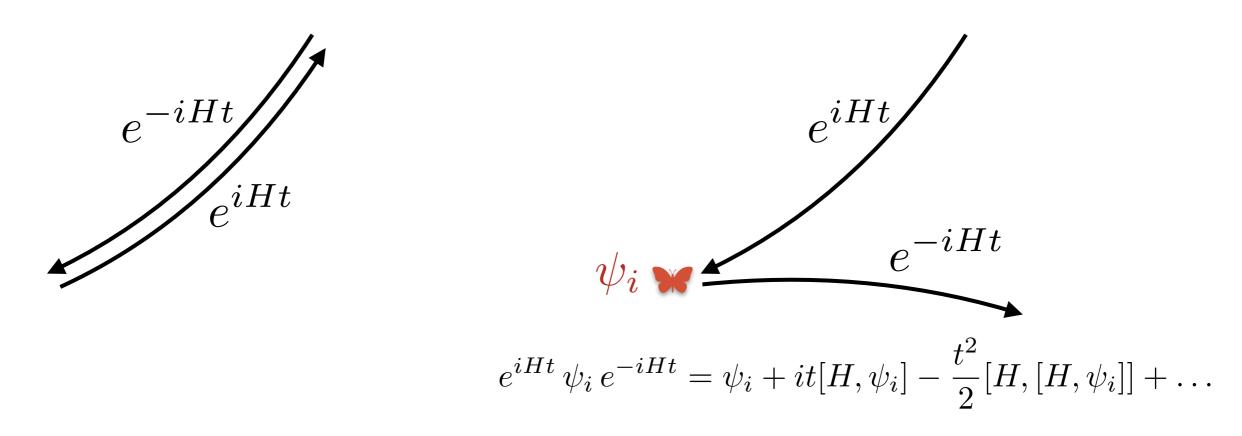
$$S = S_0 + \# \frac{N}{\beta \mathcal{J}}$$

from Schwarzian

 Finally: the Schwarzian mode describes a universal contribution to out-of-time-order correlation functions (OTOCs)

Quantum butterfly effect

 $\psi_i(t) = e^{iHt} \psi_i e^{-iHt}$ is 'complicated' even if ψ_i was 'simple'



To quantify this, compare the following two states:



The OTOC quantifies how much overlap these states have:

OTOC =
$$\langle \psi_i(t)\psi_j(0)|\psi_i(t)\psi_j(0)\rangle$$

The faster this overlap decays to 0, the more chaotic the system

[Larkin/Ovchinnikov '68] [Kitaev '14] [Shenker/Stanford '14]

The soft mode contribution in SYK is maximally chaotic:

$$OTOC_{SYK} \sim a_0 - \frac{a_1}{N} e^{\lambda_L(\beta J) t} + \dots$$

$$\lambda_L(\beta J \gg 1) = \lambda_L^{\max} \equiv \frac{2\pi}{\beta}$$

[Maldacena-Shenker-Stanford '15] [Kitaev '15]

Another signature of black hole physics

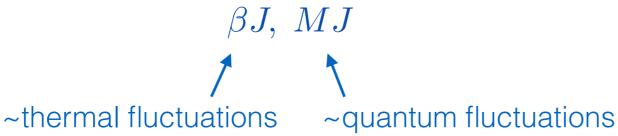
Spin glasses

will discuss a related model with some similar and some new features

Roughly: replace Majorana fermions by bosonic spins σ^i constrained to live on an N-dimensional sphere

[Crisanti/Sommers '92] [Cugliandolo/Grempel/da Silva Santos '01]

The model has two dimensionless couplings:





- Spin glass phase: if both thermal & quantum fluctuations are weak, the system gets "stuck" in one of many metastable states
- Useful order parameter: $u \equiv \frac{1}{N} \sum_{i=1}^{N} \overline{\langle \sigma^i \rangle^2}$

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[Edwards/Anderson '75]

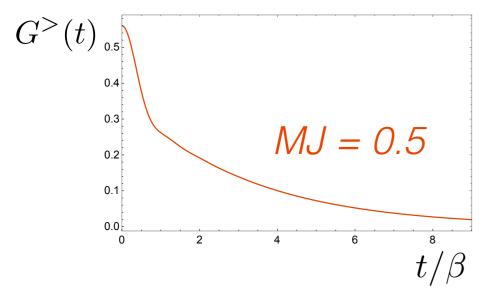
"paramagnetic" phase: u = 0

"spin glass" phase: u > 0

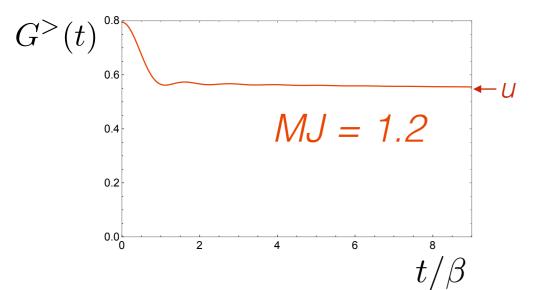
▶ E.g.: two-point correlation function $G^{>}(t) = \frac{1}{N} \sum \overline{\langle \sigma^i(t) \sigma^i(0) \rangle}$ (fixed βJ):

$$G^{>}(t)$$
0.2
0.1
 $MJ = 0.3$
 t/β

- Far above SG transition:
 - Strong quantum fluctuations
 - Relatively fast decay



- Near SG transition:
 - Competition between thermal & quantum effects
 - Slow decay ("two-step" relaxation)



- Below SG transition:
 - Correlator "freezes"
 - Decays to asymptotic value:
 EA order parameter u>0

Goals

- Characteristic features of SG phase: slow dynamics, many metastable states, inability to reach equilibrium, loss of ergodicity, ...
 - Universal features of the low temperature thermodynamics?
 - Interplay with other chaos characteristics such as OTOCs?
 - Emergent reparametrization symmetry?
 - Can we incorporate this in the $nAdS_2/nCFT_2$ paradigm?

The p-spin glass model

The p-spin model

$$Z[J_{i_1...i_p}] = \int D\sigma_i Dz \, \exp\left\{-\frac{N^{p-1}}{p!} \frac{J_{i_1...i_p}^2}{J^2}\right]$$

$$+i\int_{0}^{\beta} d\tau \, z(\tau) \left(\sum_{i=1}^{N} \sigma_{i}(\tau)\sigma_{i}(\tau) - N \right) \right\}$$

"spherical constraint"

- Dimensionless parameters: βJ , MJ
- Nonlinear sigma-model with fixed size spherical target space
- Spherical constraint will be crucial for stability of such a bosonic model

First goal: compute disorder averaged ("quenched") free energy

$$\beta \overline{F} = -\int dJ_{i_1...i_p} P(J_{i_1...i_p}) \log Z[J_{i_1...i_p}]$$

Strategy: use replica trick

$$\log Z = \lim_{n \to 0} \partial_n Z^n$$

$$\beta \overline{F} = -\lim_{n \to 0} \partial_n \overline{Z^n}$$

$$\overline{Z^n} = \int dJ_{i_1...i_p} P(J_{i_1...i_p}) \int D\sigma_i^a Dz^a \exp \left\{ -\int_0^\beta d\tau \left[\frac{M}{2} \dot{\sigma}_i^a(\tau) \dot{\sigma}_i^a(\tau) + \sum_{i_1 < ... < i_p} J_{i_1...i_p} \sigma_{i_1}^a(\tau) ... \sigma_{i_p}^a(\tau) \right] + i \int_0^\beta d\tau \, z^a(\tau) \left(\sum_{i=1}^N \sigma_i^a(\tau) \sigma_i^a(\tau) - N \right) \right\}$$

• Index a = 1,...,n labels the replica copy

Introduce collective bilocal field with replica indices:

$$Q_{ab}(\tau, \tau') = \frac{1}{N} \sum_{i=1}^{N} \sigma_i^a(\tau) \sigma_i^b(\tau')$$

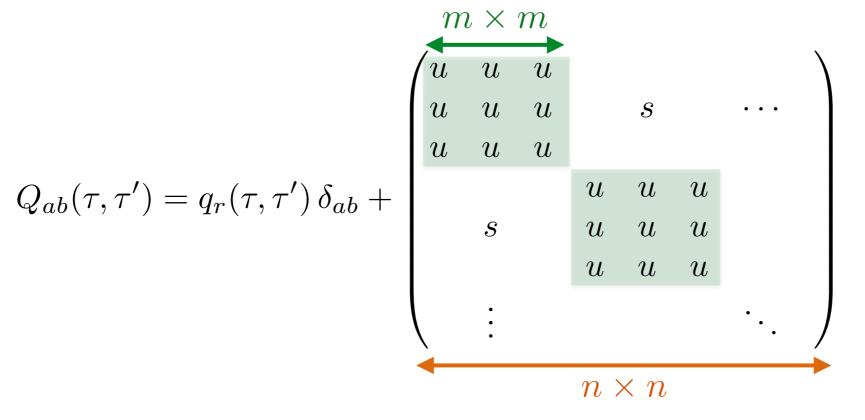
• After integrating out the disorder and the spins, we get an effective action for Q_{ab} . Schwinger-Dyson equation:

$$-\delta_{ab} \left[\frac{M}{2} \partial_{\tau}^{2} + i z^{a}(\tau) \right] Q_{ab}(\tau, \tau') - \frac{pJ^{2}}{4} \int_{0}^{\beta} d\tau'' Q_{ac}^{p-1}(\tau, \tau'') Q_{cb}(\tau'', \tau') = \frac{1}{2} \delta_{ab} \delta(\tau - \tau')$$

- Some notable features:
 - $Q_{a\neq b}(\tau,\tau') o rac{1}{N} \sum_i \overline{\langle \sigma_i^a(\tau) \rangle \langle \sigma_i^b(\tau') \rangle}$ can be non-zero
 - Two-derivative kinetic term with tunable coefficient M
 - Lagrange multiplier field $z^a(\tau)$

$$-\delta_{ab} \left[\frac{M}{2} \partial_{\tau}^{2} + iz^{a}(\tau) \right] Q_{ab}(\tau, \tau') - \frac{pJ^{2}}{4} \int_{0}^{\beta} d\tau'' Q_{ac}^{p-1}(\tau, \tau'') Q_{cb}(\tau'', \tau') = \frac{1}{2} \delta_{ab} \delta(\tau - \tau')$$

• "1-step replica symmetry breaking" ansatz (Parisi):



- Diagonal: $q(\tau, \tau') \equiv q_r(\tau, \tau') + u$ subject to $q(\tau, \tau) = 1$
- *u*: overlap of replicas
- *m*: block size parameter

both may remain finite as $n \rightarrow 0$

• s: can be consistently set to 0

- ightharpoonup SD equation for Q_{ab} gives equation of motion for $q(\tau, \tau')$ & u
 - After some rewritings, the equation of motion for $\hat{q}_r(k \neq 0)$ is:

$$\frac{1}{\hat{q}_r(k)} - \frac{1}{\hat{q}_r(0)} = M \left(\frac{2\pi k}{\beta}\right)^2 - J^2 \left(\hat{\Lambda}_r(k) - \hat{\Lambda}_r(0)\right)$$

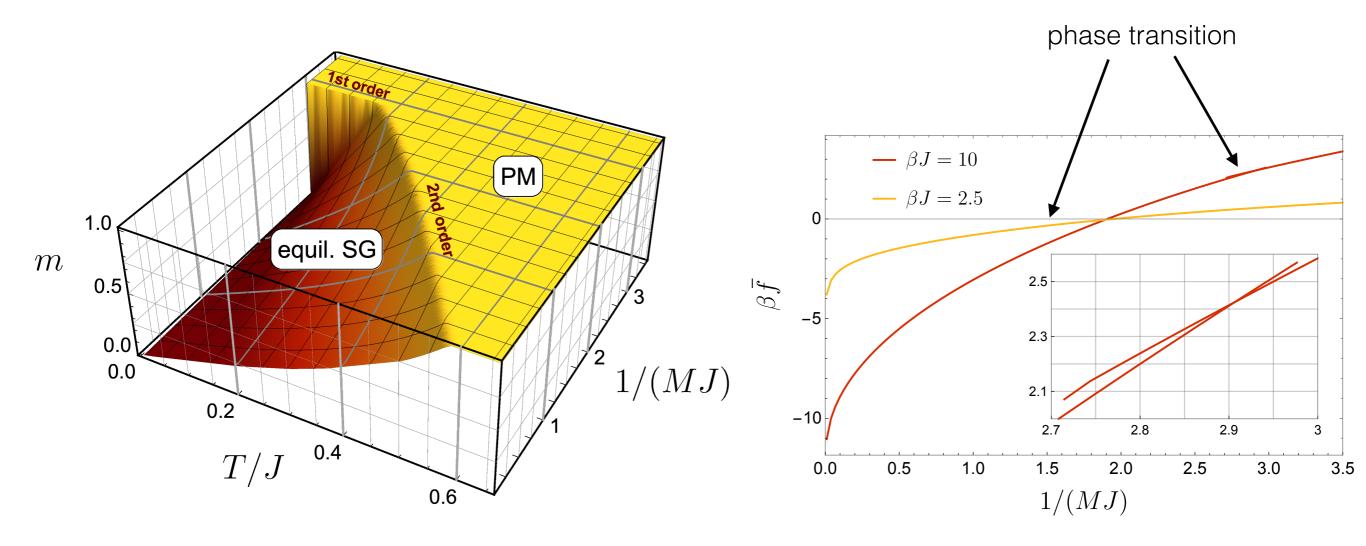
$$\uparrow$$

$$\Lambda_r(\tau) = \frac{p}{2} \left[\left(q_r(\tau) + u\right)^{p-1} - u^{p-1} \right]$$

- * In addition: 2 algebraic equations for $\hat{q}_r(0)$ and u
- ▶ What about *m*?
 - For replica symmetric solutions, m plays no role.
 - In spin glass, we could impose $\frac{\delta S_{eff}}{\delta m} = 0$ —> "equilibrium spin glass"
 - This turns out to be <u>not quite the right condition</u>
 (recall: the essence of glassy physics is an inability to reach equilibrium)

Phase diagram

- Solving the "equilibrium spin glass" equations numerically gives:
 - Small βJ , MJ: paramagnetic phase (u=0, m=1)
 - Large βJ , MJ: spin glass (RSB: 0 < u, m < 1)



Conformal paramagnetic solution

- ▶ For unbroken replica symmetry (u=0, m=1): seemingly similar to SYK
 - At strong coupling $\beta J \gg 1$, small frequencies:

$$\delta(\tau, \tau') \approx -J^2 \int_0^\beta d\tau'' \Lambda_r(\tau, \tau'') q_r(\tau'', \tau'), \qquad \Lambda_r(\tau, \tau') = \frac{p}{2} q_r(\tau, \tau')^{p-1}$$

- Reparametrization invariance!
 - —> spontaneously broken by the conformal solution:

$$q^{c}(\tau, \tau') \sim \left[\frac{\pi}{\beta \sin\left(\frac{\pi(\tau - \tau')}{\beta}\right)}\right]^{\frac{2}{p}}$$

Leads to Schwarzian action etc.

Conformal paramagnetic solution

- However, this solution is actually unstable
- Can compute the spectrum of operators appearing in the $\sigma^i \sigma^i$ OPE as determined by conformal symmetry

 [Kitaev '15] [Gross/Rosenhaus '16]

[Tikhanovskaya/Guo/Sachdev/Tarnopolsky '20]

Find a tower of allowed dimensions. E.g. for p=3:

$$h_0 = 2,$$
 $h_{n=1,2,3,...} = 4.303, 6.404, 8.456, 10.489, 12.511, ...$

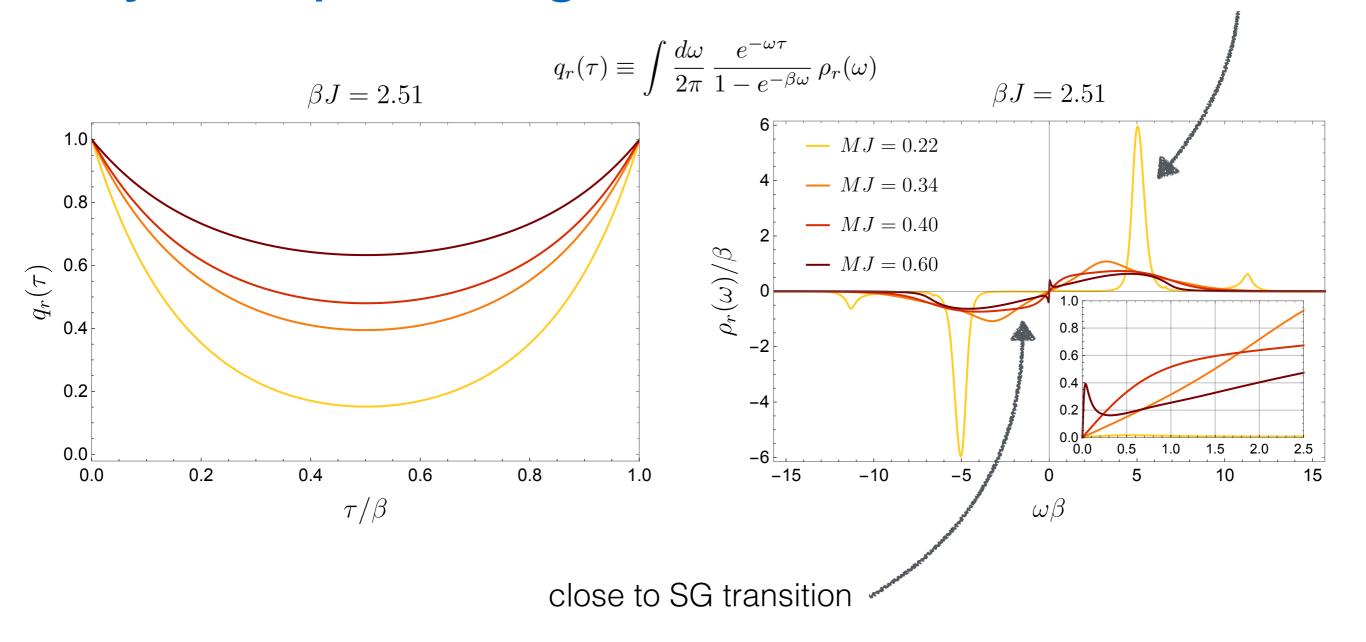
... and: an operator with complex dimension $h = \frac{1}{2} \pm 1.560 i$

c.f. [Giombi/Klebanov/Tarnopolsky '17]

- Indeed, there exists another paramagnetic solution, which has no conformal limit
 - -> construct numerically

Physical paramagnetic solution

far from SG transition



- Gap closes near spin glass transition
- Is there a (physical) conformal solution in the SG phase?

Conformal spin glass

Approximate analytical solution

$$\frac{1}{\hat{q}_r(k)} - \frac{1}{\hat{q}_r(0)} = M \left(\frac{2\pi k}{\beta}\right)^2 - J^2 \left(\hat{\Lambda}_r(k) - \hat{\Lambda}_r(0)\right)$$

- To get a feeling for the spin glass equations, start with an analytical analysis at strong coupling ("deep spin glass")
- Recall $q(\tau) \equiv q_r(\tau) + u$ and expand self-energy for $q_r(\tau) \ll u$:

$$\Lambda_r(\tau) = \frac{p}{2} \left[(q_r(\tau) + u)^{p-1} - u^{p-1} \right] = \frac{p(p-1)}{2} q_r(\tau) u^{p-2} + \dots$$

- At first non-trivial order we can solve e.o.m. analytically
 - This gives an approximate solution:

$$\left(\frac{\hat{q}_r^{\approx}(\omega)}{\hat{q}_r(0)} = 1 + 2\gamma^2\omega^2 - 2\sqrt{\gamma^2\omega^2 + \gamma^4\omega^4}\right) \qquad \gamma \equiv \sqrt{\frac{M\hat{q}_r^2}{2\omega^2}}$$

$$\gamma \equiv \sqrt{\frac{M\hat{q}_r(0)}{4}} \qquad \omega = \frac{2\pi k}{\beta}$$

$$\widehat{\frac{\hat{q}_r^{\approx}(\omega)}{\hat{q}_r(0)}} = 1 + 2\gamma^2 \omega^2 - 2\sqrt{\gamma^2 \omega^2 + \gamma^4 \omega^4}$$

$$\gamma \equiv \sqrt{\frac{M\hat{q}_r(0)}{4}} \qquad \omega = \frac{2\pi k}{\beta}$$

Low frequency limit:

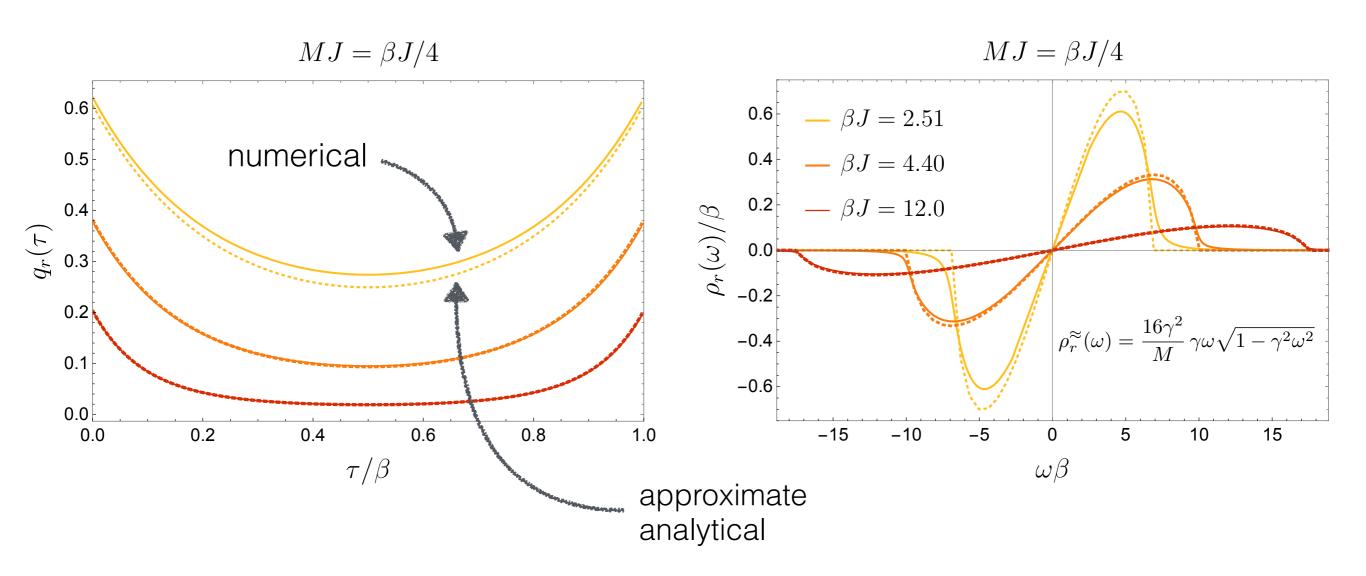
$$\frac{\hat{q}_r^{\approx}(\omega)}{\hat{q}_r(0)} = 1 - 2\gamma |\omega| + \dots$$

zero mode conformal term: $q_r^c(au) \equiv \frac{8\gamma^3}{M\pi} \, \frac{1}{ au^2}$

- --> conformal (dimension $\Delta=1$)
- <u>High frequency limit:</u> $\frac{\hat{q}_r^{\sim}(\omega)}{\hat{q}_r(0)} = \frac{1}{4\omega^2} + \dots$
 - —> finite (can consistently impose $q_r^{\approx}(\tau=0)=1-u$)
- The approximate solution is well-behaved at long and short distances

$$\frac{\hat{q}_r^{\approx}(\omega)}{\hat{q}_r(0)} = 1 + 2\gamma^2 \omega^2 - 2\sqrt{\gamma^2 \omega^2 + \gamma^4 \omega^4}$$

$$\gamma \equiv \sqrt{\frac{M\hat{q}_r(0)}{4}} \qquad \omega = \frac{2\pi k}{\beta}$$



▶ Spin glass physics for small temperatures is governed by conformal properties: power law scaling, gapless spectrum, ...

Subtlety: the value of m

- Conformal solution fixes m: doesn't extremize free energy!
 - --> useful to think of m as an external thermodynamic parameter (like T)
 - --> tune m to the value required for this solution to exist

[Monasson '95] [Mezard '99]

 Consider a thermodynamic ensemble where we consider m physical copies of the system & replica symmetry is explicitly broken:

$$\frac{S_{\text{eff}}(Q^*)}{Nn} = \beta m \Phi$$

$$\Sigma = -\partial_{1/m}(\beta \Phi) \qquad F = \partial_m(m\Phi)$$

'complexity': counts metastable states

$$\Sigma(Q^*) = \frac{1}{2} \log(p-1) - \frac{p-2}{p}$$

c.f. usual thermodynamics:

$$\frac{S_{\text{eff}}(Q^*)}{Nn} = \beta F$$

$$S = -\partial_T F \qquad E = \partial_\beta(\beta F)$$

Marginal stability criterion

- Another way to determine m:
 - Consider fluctuations:

$$Q_{ab} = Q_{ab}^* + \delta Q_{ab} \longrightarrow \delta^{(2)} S_{eff}[Q] = N \int d\tau d\tau' \, \delta Q_{ab}(\tau) \, G_{ab,cd}(\tau, \tau') \, \delta Q_{cd}(\tau')$$

- For physically sensible solutions: $G_{ab,cd}$ should have eigenvalues > 0
- Determine m by demanding existence of a vanishing eigenvalue
 - —> "Condition of marginal stability": $\mathcal{J}^2 u^{p-2} = (\hat{q}_r(0))^{-2}$
 - —> Coincides with the value in the conformal solution!

Recap: signs of gravity?

Spin glass phase has emergent conformal symmetry at strong coupling. Marginal mode on top of self-overlap:

$$q(\tau, \tau') = u + q_r^c(\tau, \tau') + \dots$$

- Reparametrization symmetry, gapless spectrum, ...
- An extensive number of nearby states is counted by the entropy-like density

$$m\bar{s} + \Sigma = \left[\frac{1}{2}\log(p-1) - \frac{p-2}{p}\right] + \dots$$

Let us now consider the quantum Lyapunov exponent as another diagnostic of gravitational physics...

Quantum chaos

Euclidean 4-point function

▶ Consider
$$\mathcal{F}(\tau_1, \tau_2, \tau_3, \tau_4) \equiv \frac{1}{N^2} \sum_{i,j} \left\langle \sigma_i(\tau_1) \sigma_i(\tau_2) \sigma_j(\tau_3) \sigma_j(\tau_4) \right\rangle \equiv 1 + \frac{1}{N} \mathcal{F}_{conn.}$$

Connected piece is built recursively from 'ladder diagrams':

$$\mathcal{F}_{\text{conn.}} = \frac{1}{(\beta \mathcal{J})^2} \sum_{n \ge 1} \tilde{K}^n = \underbrace{\frac{\tau_1}{q_{r\star}q_{r\star} + v} \cdots \frac{\tau_3}{q_{r\star}q_{r\star} + v}}_{\tau_2} + \underbrace{\cdots}_{\tau_4} \cdots \underbrace{\cdots}_{\tau_4} + \underbrace{\cdots}_{\tau_4} \cdots \underbrace{\cdots}_{\tau_4} + \underbrace{\cdots}_{\tau_4} \cdots \underbrace{\cdots$$

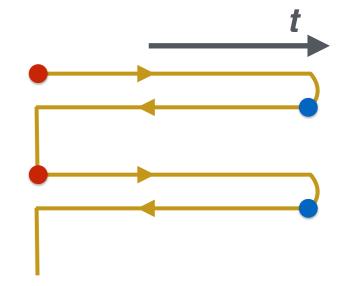
'rails' offset by 4-point version of Edwards-Anderson parameter:

$$v = \frac{p}{p-2} \frac{m^2 u^2}{m(p-1)^2 - p(p-2)}$$

Out-of-time-order correlator

ightharpoonup We wish to compute the OTOC $(t_1 pprox t_2 \gg t_3 pprox t_4)$:

$$\mathcal{F}(t_1, t_2, t_3, t_4) \equiv \frac{1}{N^2} \sum_{i,j} \left\langle \sigma_i \left(t_1 \right) \sigma_j \left(t_3 \right) \rho_{\beta}^{1/2} \sigma_i \left(t_2 \right) \sigma_j \left(t_4 \right) \rho_{\beta}^{1/2} \right\rangle$$



Analytically continue the Euclidean result. Retarded ladder kernel:

$$\tilde{K}_{\text{ret}}(t_1, t_2; t_3, t_4) = (\beta \mathcal{J})^2 q_{r_{\star}}^R(t_{13}) q_{r_{\star}}^R(t_{24}) \left[q_{r_{\star}}^{>}(t_{34} - i\beta/2) + u \right]^{p-2}$$

Condition for exponential growth of the OTOC:

$$\mathcal{F}_{\text{conn.}}(t_1, t_2; t_3, t_4) = \frac{1}{\beta^2} \int dt dt' \, \tilde{K}_{\text{ret}}(t_1, t_2; t, t') \, \mathcal{F}_{\text{conn.}}(t, t'; t_3, t_4)$$

- Two ways to solve this eigenvalue problem:
 - (1) perturbatively in the conformal limit
 - (2) numerically

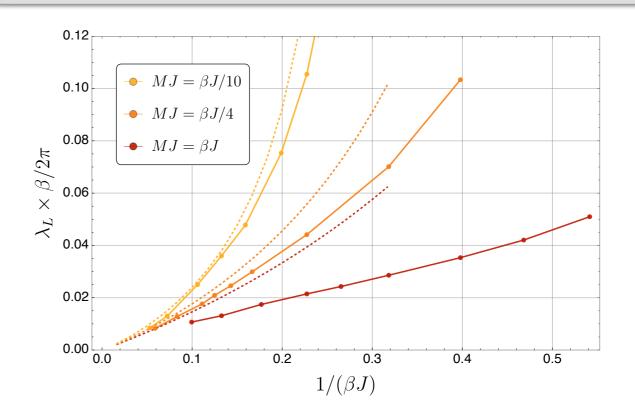
Perturbative analysis (conformal SG)

- Exponential growth ansatz: $\mathcal{F}_{\text{conn.}}(t_1, t_2, 0, 0) \sim f(t_1 t_2) e^{\lambda_L(t_1 + t_2)/2}$
- For $\beta J \sim MJ \gg 1$: conformal perturbation theory around q^{\approx} turns exponential growth condition into a 'Pöschl-Teller' Schrödinger problem:

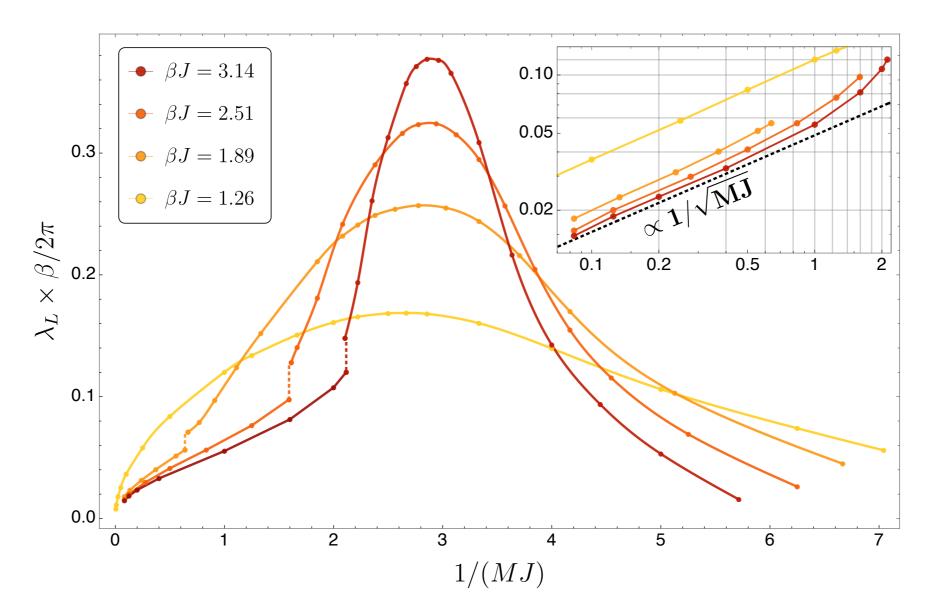
$$-\frac{1}{2}f''(x) - \frac{6}{\cosh^2 x}f(x) = -\left(2 + \frac{3Mu\beta^2\lambda_L}{2(p-2)\pi\gamma^2}\right)f(x)$$

—> unique positive solution:

$$\frac{\beta}{2\pi} \lambda_L \approx \frac{5m}{24} = 5(p-2) \left(\frac{1}{24\beta \mathcal{J}} + \frac{p}{36\pi\beta \mathcal{J}\sqrt{M\mathcal{J}}} + \dots \right)$$



Lyapunov exponents: numerics



- Paramagnetic phase: strong, non-monotonic dependence on MJ
 - λ_L "peaks" a finite distance above the SG transition
- * Spin glass phase: both thermal and quantum fluctuations increase λ_L

Holographic speculations

Signatures of gravity?

- p-spin glass has features reminiscent of gravity:
 - emergent conformal symmetry (appearance of $\Delta = 1$ mode)...
 - ... 'on top of' constant background value u
 - extensive number of (metastable) states...
 - ... contributing to the complexity
 - non-zero quantum Lyapunov exponent...
 - ... which vanishes at strong coupling
- Can we accommodate for these effects in $nAdS_2/nCFT_2$?
- At first sight: have learnt a lot in recent years about the replica trick in gravity to compute entropies, free energies, etc.

[Lewkowycz/Maldacena] [Saad/Shenker/Stanford] [Penington/Shenker/Stanford/Yang] [Almheiri/Hartman/Maldacena/Shaghoulian/Tajdini] [Engelhardt/Fischetti/Maloney]

...but it seems like we need a bit more to incorporate the above

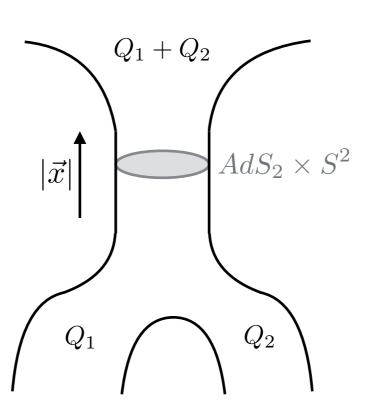
AdS₂ fragmentation

- Disclaimer: the following is a suggestion. We have not yet worked out any detailed picture.
- Near horizon of 4d extremal two-RN black holes with fixed charge:

$$ds^{2} = -V^{-2}dt^{2} + V^{2}d\vec{x}^{2}$$

$$\star F = dt \wedge dV^{-1}$$

$$V = \frac{Q_{1}}{|\vec{x} - \vec{x}_{1}|} + \frac{Q_{2}}{|\vec{x} - \vec{x}_{2}|}$$



[Majumdar/Papapetrou '47]

[Maldacena/Michelson/ Strominger '98]

- Large $|\vec{x}|$: same as geometry with a single throat with charge $Q_1 + Q_2$
- For $\vec{x} \to \vec{x}_{1,2}$: fragmentation into two (or more) AdS_2 regions

AdS₂ fragmentation

- Some features of AdS2 fragmentation are reminiscent of the spin glass phase we discussed:
 - Large moduli space of geometries which locally minimize free energy (variational parameters \vec{x}_i , Q_i)
 - —> counted by something like the complexity Σ ?
 - "Microscopic" fragmentation can be detected asymptotically as a nonzero average dipole moment
 - --> similar to u in the p-spin model?
 - Symmetries

String theory constructions

- ❖ N=2 SUGRA in d=4 has multi-horizon ("fragmented") black hole solutions:
 - Exponentially many bound states of black holes
 - Exhibit slow relaxation etc.

[Denef '00]
[Cardoso et al. '00]

- Certain brane constructions in string theory lead quiver quantum mechanics similar to the p-spin model:
 - Chiral and vector multiplets
 - SUSY fixes much of the Lagrangian —> bosonic potential:

$$V = \sum_{i,a} \left| \frac{\partial W(\phi)}{\partial \phi_i^a} \right|^2 + \frac{1}{g_{\rm YM}^2} \sum_a \left(\theta_a - \sum_i |\phi_i^a|^2 \right)^2$$
 superpotential FY parameters

 $W(\phi) = \Omega_{ijk}\phi_i^1\phi_j^2\phi_k^3 + \dots$

[Douglas/Moore '96] [Denef/Moore '07]

Summary

Summary

- Paramagnetic phase (similar to SYK) vs. spin glass phase
- Emergent reparametrization symmetry, gapless, nonzero quantum Lyapunov exponent, ...
 - More systematic conformal perturbation theory?
 - Holographic interpretation in terms of AdS2 fragmentation?
 - Connect to string theory constructions?

