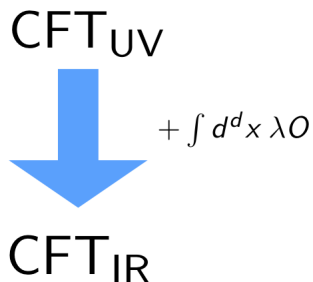


Holographic and Localization Calculations of Boundary F in $\mathcal{N} = 4$ SYM

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October 30, 2020

Based on arXiv:2010.14520

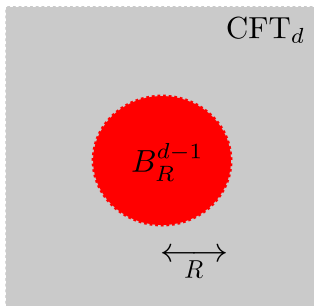


$$c_{UV} \geq c_{IR}$$

C-theorems known in

- $d = 2$: “c-theorem” [Zamolodchikov]
- $d = 3$: “F-theorem” [Jafferis, Klebanov, Pufu, Safdi; Casini, Huerta; Klebanov, Pufu, Safdi]
- $d = 4$: “a-theorem” [Cardy; Komargodski, Schwimmer]

Generalized F-Theorem



Candidate C-function: universal term in **vacuum entanglement entropy of ball-shaped region** (“generalized F”)

Generalizes results from $d = 2, 3, 4$

$$S[B_R^{d-1}] = \underbrace{a_{d-2} (R/\epsilon)^{d-2} + a_{d-4} (R/\epsilon)^{d-4} + \dots}_{\text{scheme-dependent}} + \underbrace{\begin{cases} 4(-1)^{\frac{d-2}{2}} A \ln(R/\epsilon) & 2 \mid d \\ (-1)^{\frac{d-1}{2}} F & 2 \nmid d \end{cases}}_{\text{universal}}$$

$$F_{UV} \geq F_{IR} \quad A_{UV} \geq A_{IR}$$

$$\ln Z[S_R^d]_{\text{univ.}} = S[B_R^{d-1}]_{\text{univ.}} \quad (\text{CHM})$$

Boundary Conformal Field Theory

Now take CFT_d and...

- Add a boundary
- Impose boundary conditions which preserve conformal symmetry of CFT_{d-1}
- (Possibly) couple in extra DOF at boundary

\implies boundary conformal field theory (BCFT)!

Boundary \tilde{F} -Theorem

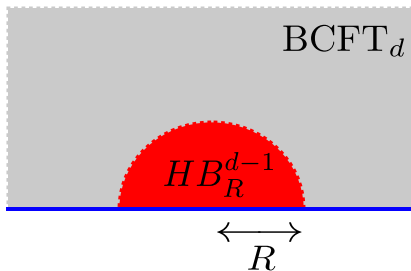
BCFT_{UV}



BCFT_{IR}

$$+ \int d^{d-1}x \lambda \hat{O}$$

↑
boundary op.



Now have UV divergences of both d -dimensional and $(d - 1)$ -dimensional origin

$$S[HB_R^{d-1}] = \tilde{a}_{d-2}(R/\epsilon)^{d-2} + \tilde{a}_{d-3}(R/\epsilon)^{d-3} + \dots + \begin{cases} 4(-1)^{\frac{d-2}{2}} A \ln(R/\epsilon) + (-1)^{\frac{d-2}{2}} \tilde{F} & 2 \mid d \\ 4(-1)^{\frac{d-3}{2}} \tilde{A} \ln(R/\epsilon) + (-1)^{\frac{d-1}{2}} F & 2 \nmid d \end{cases}$$

Want to extract universal contribution

Boundary \tilde{F} -Theorem

$$S[HB_R^{d-1}] = \tilde{a}_{d-2}(R/\epsilon)^{d-2} + \tilde{a}_{d-3}(R/\epsilon)^{d-3} + \dots + \begin{cases} 4(-1)^{\frac{d-2}{2}} A \ln(R/\epsilon) + (-1)^{\frac{d-2}{2}} \tilde{F} & 2 \mid d \\ 4(-1)^{\frac{d-3}{2}} \tilde{A} \ln(R/\epsilon) + (-1)^{\frac{d-1}{2}} F & 2 \nmid d \end{cases}$$

Want to extract universal contribution: define “boundary entropy”
(à la g-function in 2D BCFT [Affleck, Ludwig])

$$S_{\partial}(R) \equiv S^{(\text{BCFT})}[HB_R^{d-1}] - \frac{1}{2} S^{(\text{CFT})}[B_R^{d-1}]$$

$$= \underbrace{\tilde{a}_{d-3}(R/\epsilon)^{d-3} + \tilde{a}_{d-5}(R/\epsilon)^{d-5} + \dots}_{\text{scheme-dependent}} + \underbrace{\begin{cases} (-1)^{\frac{d-2}{2}} \tilde{F} & 2 \mid d \\ 4(-1)^{\frac{d-3}{2}} \tilde{A} \ln(R/\epsilon) & 2 \nmid d \end{cases}}_{\text{universal}}$$

$$\tilde{F}_{\text{UV}} \stackrel{?}{\geq} \tilde{F}_{\text{IR}} \quad \tilde{A}_{\text{UV}} \stackrel{?}{\geq} \tilde{A}_{\text{IR}}$$

$$\left(\ln Z[HS_R^d] - \frac{1}{2} \ln Z[S_R^d] \right)_{\text{univ.}} = S_{\partial}(R)_{\text{univ.}}$$

Boundary F -Theorems: Results, Conjectures, Questions

Known:

- $d = 2$: “ g -theorem” [Affleck, Ludwig; Friedan, Konechny; Casini, Landea, Torroba]
- $d = 3$: “ b -theorem” [Jensen, O’Bannon; Casini, Landea, Torroba]

Conjectured:

- $d = 4$: “boundary F -theorem” [Estes, Jensen, O’Bannon, Tsatis, Wrase; Gaiotto]

Questions:

- Is boundary \tilde{F} decreasing under boundary RG flow in arbitrary dimension? [Nozaki, Takayanagi, Ugajin; Estes, Jensen, O’Bannon, Tsatis, Wrase; Kobayashi, Nishioka, Sato, Watanabe; Giombi, Khanchandani]

Investigate in the most tractable theories we can think of...

Half-Supersymmetric B.C.s for $\mathcal{N} = 4$ SYM

- Detailed classification of $OSp(2, 2|4)$ -preserving boundary conditions of $\mathcal{N} = 4$ SYM [Gaiotto, Witten]
- Holographic duals for vacuum states known explicitly in type IIB [D'Hoker, Estes, Gutperle; Aharony, Berdichevsky, Berkooz, Shamir]
- Partition function amenable to supersymmetric localization, can draw on previous results:
 - 4D $\mathcal{N} = 2$ SUSY on sphere [Pestun] and hemisphere [Gava, Narain, Muteeb, Giraldo-Rivera; Wang; Komatsu, Wang]
 - 3D $\mathcal{N} = 2$ SUSY on S^3 [Kapustin, Willet, Yaakov; Benvenuti, Pasquetti; Nishioka, Tachikawa, Yamazaki]

Compute boundary F in $\mathcal{N} = 4$ SYM theory with half-SUSY BCs

We are able to find:

- General BCs: F_{∂} from holographic calculation in classical SUGRA
- Dirichlet-type and Neumann-type: Exact F_{∂} from localization

Boundary F behaves like a measure of local boundary degrees of freedom:

- Mainly negative for Dirichlet-type and small 't Hooft
- Mainly positive for Neumann-type and small 't Hooft
- Boundary F is unbounded from above

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Half-SUSY Boundary Conditions of $\mathcal{N} = 4$ SYM

Fields of 4D $\mathcal{N} = 4$ multiplet:

- Gauge field A^μ
- Fermion Ψ
- Scalars Φ^i (in fundamental of $SO(6)_R$)

$$PSU(2, 2|4) \xrightarrow{\text{SUSY boundary at } x_3 = 0} OSp(2, 2|4)$$

$$4\text{D } \mathcal{N} = 4 \xrightarrow{\text{SUSY boundary at } x_3 = 0} 3\text{D } \mathcal{N} = 4$$

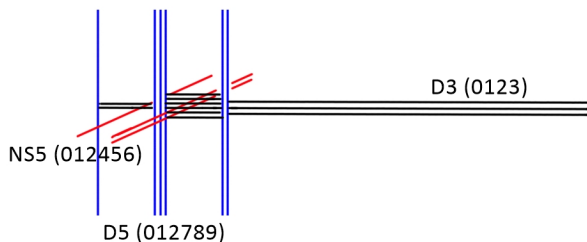
$$SO(6)_R \xrightarrow{\text{SUSY boundary at } x_3 = 0} SO(3) \times SO(3)_R$$

$$(A^\mu, \Psi, \Phi^{1, \dots, 6}) \xrightarrow{\text{SUSY boundary at } x_3 = 0} \boxed{3\text{D } \mathcal{N} = 4 \text{ vector } (A^{0,1,2}, \Psi_+, Y^{1,2,3})}$$

$$\boxed{3\text{D } \mathcal{N} = 4 \text{ hyper } (A_3, \Psi_-, X^{1,2,3})}$$

$$(X^1, X^2, X^3) = (\Phi^4, \Phi^5, \Phi^6) \quad (Y^1, Y^2, Y^3) = (\Phi^7, \Phi^8, \Phi^9) \quad \Psi_\pm = (1 \pm \Gamma_{3456})\Psi$$

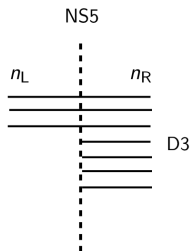
Half-BPS Boundary Conditions of $\mathcal{N} = 4$ SYM



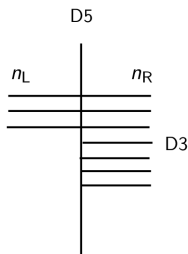
- Theories are low energy description of half-BPS configuration involving D3s ending on D5s/NS5s
- D3-branes semi-infinite in x_3 direction
- D5s/NS5s separated in x_3 direction, span different transverse directions

Half-BPS Boundary Conditions of $\mathcal{N} = 4$ SYM

- To completely specify boundary condition, need:
 - D5-brane “linking numbers” $\vec{L} = (L_1, \dots, L_{N_{D5}})$
 - NS5-brane “linking numbers” $\vec{K} = (K_1, \dots, K_{N_{NS5}})$



$$K = n_R - n_L + N_{D5}^{\text{Left}}$$

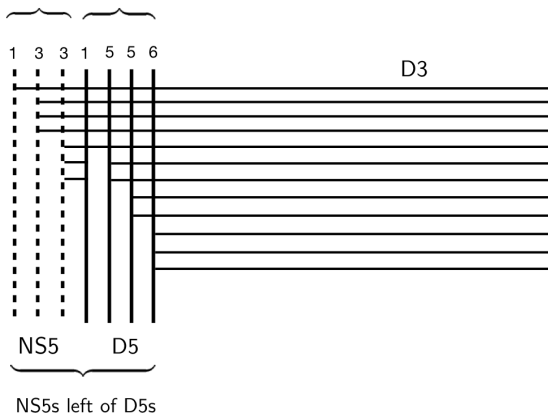


$$L = n_R - n_L + N_{NS5}^{\text{Left}}$$

Linking number: Net number of D3-branes ending on given 5-brane from the right, plus number of 5-branes of the opposite type to the left (i.e. smaller x_3).

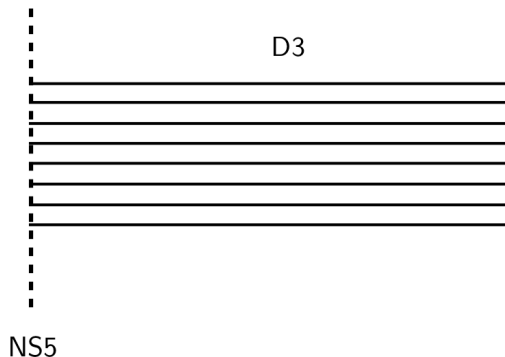
Example: General Boundary Condition

Linking numbers non-decreasing



$$N = 12, \quad \vec{K} = (1, 3, 3), \quad \vec{L} = (1, 5, 5, 6)$$

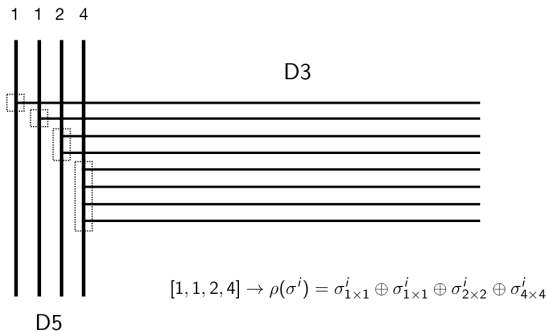
Example: Neumann Boundary Condition



Neumann for 3D vector $\longrightarrow F_{3\mu}| = D_3 Y^i| = 0$

Dirichlet for 3D hyper $\longrightarrow X^i| = \Psi_-| = 0$

Example: Generalized Dirichlet Boundary Condition



Dirichlet for 3D vector $\rightarrow Y^i| = \Psi_+| = 0$

Nahm pole for 3D hyper $\rightarrow F_{\mu\nu}| = \left[D_3 X^i - \frac{i}{2} \epsilon_{ijk} [X^j, X^k] \right] | = 0$

- **Nahm's equation** satisfied by "Nahm pole" $X^i \sim \frac{t^i}{x_3}$, where t^i obey $\mathfrak{su}(2)$
- Irrep dimensions determined by linking numbers

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Supergravity Duals

- Vacuum of $OSp(2, 2|4)$ -invariant $U(N)$ $\mathcal{N} = 4$ BCFT should be dual to $OSp(2, 2|4)$ -invariant solution of type IIB supergravity
- Remarkably, found by D'Hoker/Estes/Gutperle by explicitly solving BPS equations with ansatz

$$ds^2 = \text{AdS}_4 \times S_1^2 \times S_2^2 \times \Sigma$$

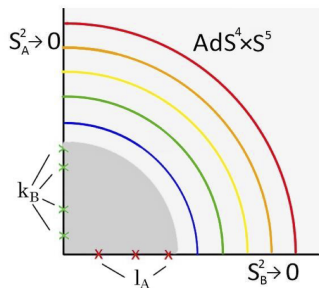
glob. symm. $SO(2, 3) \times SO(3) \times SO(3)$

conformal symm. of boundary R-symmetry

- Σ Riemann surface over which metric fibred
- Solutions given in terms of two harmonic functions $h_1, h_2 : \Sigma \rightarrow \mathbb{R}$

Supergravity Duals

Non-singular geometry \rightarrow poles of h_1, h_2 on boundary of Σ



SUGRA Data:

Poles of h_1 : l_A multiplicity: c_A

Poles of h_2 : k_B multiplicity: d_B

Field Theory Data:

Linking numbers: L_A, K_B

Multiplicities: $N_{D5}^{(A)}, N_{NS5}^{(A)}$

$$L_A = \sqrt{g} l_A + \frac{2}{\pi} \sum_{B=1}^n N_{NS5}^{(B)} \arctan \left(\frac{k_B}{l_A} \right) \quad N_{D5}^{(A)} = \frac{1}{\sqrt{g}} c_A$$
$$K_B = \frac{1}{\sqrt{g}} k_B + \frac{2}{\pi} \sum_{A=1}^n N_{D5}^{(A)} \arctan \left(\frac{k_B}{l_A} \right) \quad N_{NS5}^{(B)} = \sqrt{g} d_B$$

Holographic Computation of Boundary F

- Use RT formula $S[HB_R^3] = \frac{\text{Area}(\text{RT surface})}{4G_N}$
- Extract boundary F using

$$F_{\partial} = \lim_{\epsilon \rightarrow 0} \left(R \frac{d}{dR} - 1 \right) S_{\partial}(R)$$

- Find general expression of the form

$$\begin{aligned} F_{\partial}(c_A, d_A, k_A, l_A) = & \left[\sum_A c_A \mathcal{I}^c(l_A) + \sum_A d_A \mathcal{I}^d(k_A) \right] \text{ linear} \\ & + \left[\sum_{A,B} c_A c_B \mathcal{I}^{cc}(l_A, l_B) + \sum_{A,B} d_A d_B \mathcal{I}^{dd}(k_A, k_B) + \sum_{A,B} c_A d_B \mathcal{I}^{cd}(l_A, k_B) \right] \text{ quadratic} \\ & + \left[\sum_{A,B,C} c_A c_B d_C \mathcal{I}^{ccd}(l_A, l_B, k_C) + \sum_{A,B,C} d_A d_B c_C \mathcal{I}^{ddc}(k_A, k_B, l_C) \right] \text{ cubic} \\ & + \left[\sum_{A,B,C,D} c_A c_B d_C d_D \mathcal{I}^{ccdd}(l_A, l_B, k_C, k_D) \right] \text{ quartic} \end{aligned}$$

- **Take-away:** we can write general F_{∂} in terms of SUGRA parameters c_A, d_A, k_A, l_A

Interesting Features: D5-Like and NS5-Like

For BCs with D5-branes only or NS5-branes only:

$$F_{\partial}^{D5} = \frac{N^2}{4} \left(\frac{3}{2} + \ln \left(\frac{\lambda}{4\pi^2} \right) \right) - \frac{\pi^2 N}{3\lambda} \sum_A L_A^3$$
$$- \frac{1}{16} \sum_{A,B} \{ (L_A + L_B)^2 \ln ((L_A + L_B)^2) - (L_A - L_B)^2 \ln ((L_A - L_B)^2) \}$$
$$F_{\partial}^{NS5} = \frac{N^2}{4} \left(\frac{3}{2} + \ln \left(\frac{4N^2}{\lambda} \right) \right) - \frac{\lambda}{48N} \sum_A K_A^3$$
$$- \frac{1}{16} \sum_{A,B} \{ (K_A + K_B)^2 \ln ((K_A + K_B)^2) - (K_A - K_B)^2 \ln ((K_A - K_B)^2) \}$$

- Simple λ -dependence
- Related by S-duality $\{K_A\} \leftrightarrow \{L_A\}, \frac{\lambda}{4\pi N} \leftrightarrow \frac{4\pi N}{\lambda}$

Interesting Features: D5-Like and NS5-Like

For both D5-brane only and NS5-brane only BCs, one can show that

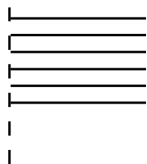
$$F_{\partial}^{-} \leq F_{\partial} \leq F_{\partial}^{+}$$

with

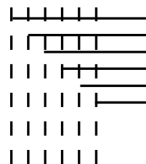
$F_{\partial}^{-} = F_{\partial}$ of “minimum entropy” config.

$F_{\partial}^{+} = F_{\partial}$ of “maximum entropy” config.

“minimum entropy”



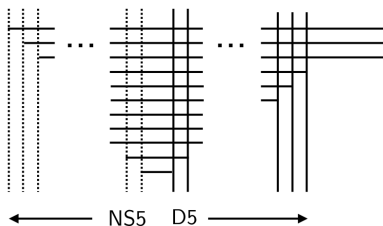
“maximum entropy”



Interesting Features: Unboundedness of F_∂

Consider family of BCs (parametrized by N_{NS5})

$$K = 1 \quad L = N_{NS5} - 1$$



- Corresponds to coupling in increasingly large 3D quiver for $N_{NS5} \rightarrow \infty$
- We find $F_\partial = N_{NS5}^2 \ln N_{NS5} + O(N_{NS5}^2)$
- Expected corrections to classical SUGRA approximation $O(N_5^2)$

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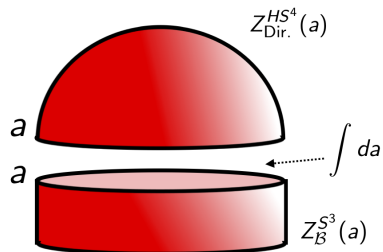
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Localization Calculation of F_∂

Apply SUSY “gluing formula” to write partition function for NS5-type boundary condition [Dedushenko]

$$\begin{aligned} Z_B^{HS^4} &= \int da \mu(a) \langle \mathcal{B} | a \rangle \langle a | HS^4 \rangle \\ &= \int da \mu(a) Z_B^{S^3}(a) Z_{Dir.}^{HS^4}(a) \end{aligned}$$

- $Z_{Dir.}^{HS^4}$ for $\mathcal{N} = 2$ SUSY gauge theory from [Gava, Narain, Muteeb, Giraldo-Rivera]
- $Z_B^{S^3}$ for $\mathcal{N} = 2$ SUSY gauge theory from [Kapustin, Willet, Yaakov; Benvenuti, Pasquetti; Nishioka, Tachikawa, Yamazaki]



- We are able to compute the resultant integral for a general NS5-like boundary condition $L = \emptyset, K = (K_1, \dots, K_{NS5})$
- In the limit

$$K_A \gg 1 \quad K_{A+1} - K_A \gg 1$$

exact result at leading order is

$$F_{\partial} = \frac{N^2}{4} \left(\frac{3}{2} + \ln \left(\frac{4N^2}{\lambda} \right) \right) - \frac{\lambda}{48N} \sum_A K_A^3 - \frac{1}{16} \sum_{A,B} \left\{ (K_A + K_B)^2 \ln \left((K_A + K_B)^2 \right) - (K_A - K_B)^2 \ln \left((K_A - K_B)^2 \right) \right\}$$

- Reproduces precisely the result from SUGRA (for any value λ)!
- Can obtain exact result for D5-like BCs using Montonen-Olive duality of $\mathcal{N} = 4$ SYM

Distribution of Boundary F

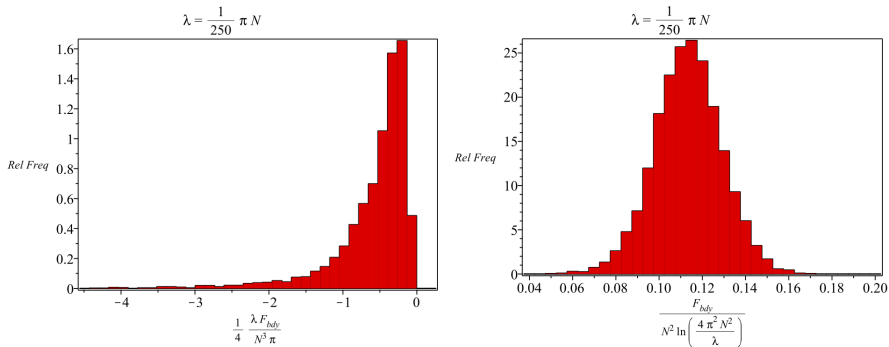


Figure: (Left) Histograms of F_{∂} for D5-like BCs; (Right) Histograms of F_{∂} for NS5-like BCs. In both cases, we have fixed $N = 100$. We have scaled F_{∂} by a positive quantity for convenience.

$\lambda > 4\pi N$ D5-type dist. $\xleftrightarrow{S\text{-duality}}$ $\lambda < 4\pi N$ NS5-type dist. (and vice versa)

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Conclusions and Outlook

We have

- Computed F_∂ holographically in type IIB supergravity for all $OSp(2, 2|4)$ boundary conditions of $U(N)$ $\mathcal{N} = 4$ SYM
- Computed F_∂ via supersymmetric localization for the “D5-like” and “NS5-like” boundary conditions of $U(N)$ $\mathcal{N} = 4$ SYM
- Found that F_∂ behaves as expected for measure of local degrees of freedom at the boundary
 - Unbounded from above
 - Mostly negative/positive for D5-like/NS5-like and small λ
 - Minimized/maximized on “minimum/maximum entropy” BCs for D5-only and NS5-only

Natural follow-up:

- Use results for detailed analysis of boundary RG flows
- Use identical techniques to analyze theories with same symmetry (interface theories)

Thank you!