

Outer entropy
=
Bartnik-Bray quasilocal mass

Jinzhao Wang

ETH Zürich

Holotube Junior 2020

arXiv: 2007.00030

Outline

- This work brings together two notions from different contexts: **Outer Entropy** from holography, and **Bartnik-Bray quasilocal mass** from mathematical relativity, and shows their **equivalence**.
- Motivated by holography, it gives us some insights about an unsolved geometrical problem in geometric analysis/mathematical relativity.

The outer entropy

- The outer entropy is introduced by Engelhardt & Wall (EW) as a **coarse-grained** Black Hole entropy associated with an apparent horizon μ .

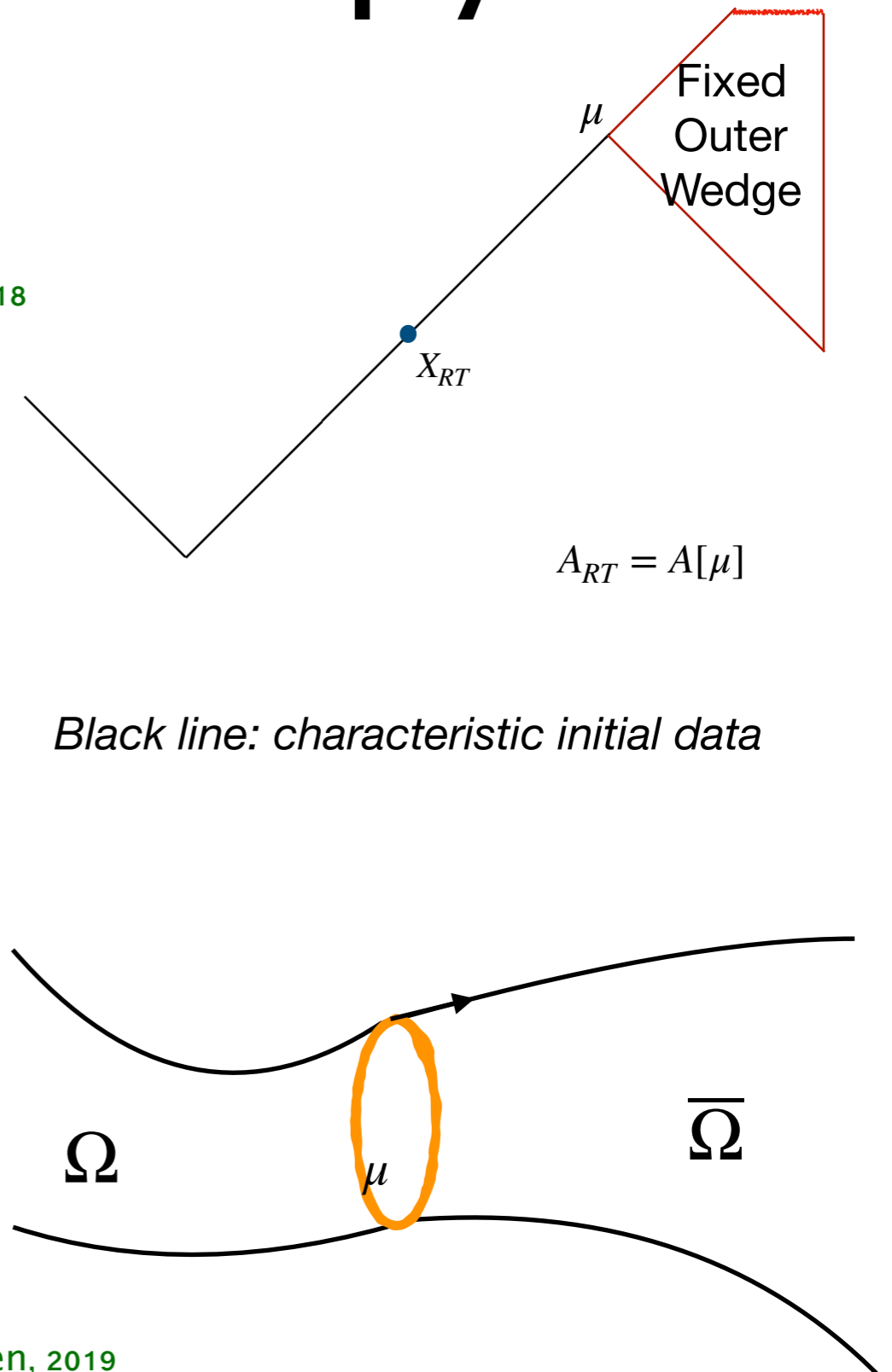
Engelhardt, Wall, 2018

$$\mathcal{S}(\mu) := \sup_{\rho} S_{\text{vN}}(\rho) : D(\bar{\Omega}) \text{ fixed}$$

- Motivated by holography, the von Neumann entropy is computed using the **Ryu-Takayanagi** surface X_{RT} , that is the extremal surface with the minimal area homologous to the boundary region.
- EW shows that for an apparent horizon μ , the maximiser always exists. $\mathcal{S}(\mu) = \text{Area}[\mu]/4G\hbar$.
- Statistical interpretation of the BH entropy and area law. Built-in area laws associated with trapping horizons.
- Generalised by Bousso, Nomura & Remmen (BNR) to normal surfaces. They develop a EWBNR algorithm for construct fill-in data.

Nomura, Remmen, 2018

Bousso, Nomura, Remmen, 2019



Outer entropy

The outer entropy of the outer wedge data $(\bar{\Omega}, h_0, K_0)$ bounded by $\Sigma = \partial\bar{\Omega}$ with the asymptotic end B is

$$\mathcal{S}(\bar{\Omega}, h_0, K_0) := \sup_{(\Omega, h, K)} \frac{A[HRT(B)]}{4G_N \hbar} = \sup_{(\Omega, h, K)} \overbrace{\max_{N \subset D(\Omega \cup_{\Sigma} \bar{\Omega})}}^{\text{maximin}} \min_{\substack{\sigma \subset N \\ \sigma \in [B]}} \frac{A[\sigma]}{4G_N \hbar} \quad \text{Wall [2013]}$$

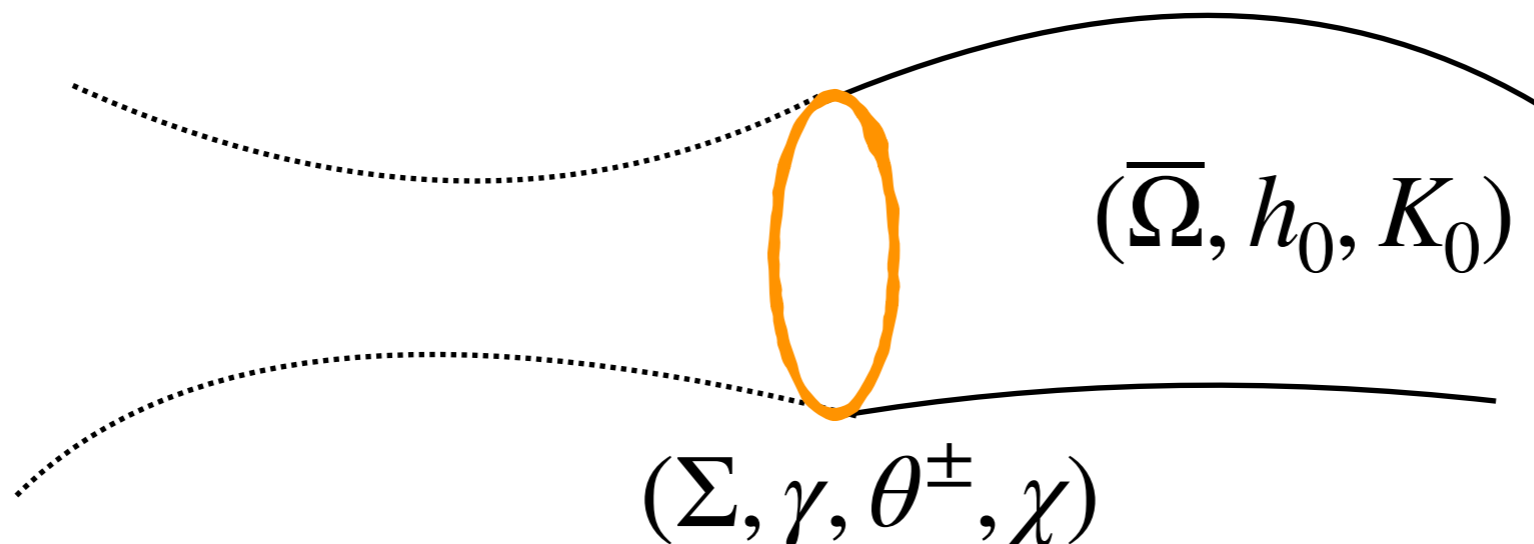
where (Ω, h, K) is the fill-in data that joins the fixed $(\bar{\Omega}, h_0, K_0)$ at Σ satisfying DEC and the following constraints:

$$\gamma|_{\Sigma_{in}} = \gamma|_{\Sigma_{out}}; \quad \theta^{\pm}|_{\Sigma_{in}} = \theta^{\pm}|_{\Sigma_{out}}; \quad \chi|_{\Sigma_{in}} = \chi|_{\Sigma_{out}}$$

**gluing
conditions**

where $\chi := K(\cdot, \ell^-)$ is the twist or anholonomicity 1-form and ℓ^- is the ingoing null vector normal to Σ .

Engelhardt, Wall, 2018



Bartnik mass

- Bartnik had an idea about quasilocal mass back in the 80's. **The Bartnik mass** has been an active research interest in geometric analysis ever since. We seek a minimal mass extension $(\bar{\Omega}, h, K)$ of initial data **without horizons** satisfying DEC.
- Given the positive mass theorem, the Bartnik mass $M_B(\Sigma)$ can be defined as the **infimum** ADM mass over all **horizon-free** extensions of the given surface Σ .

Bartnik, 1989

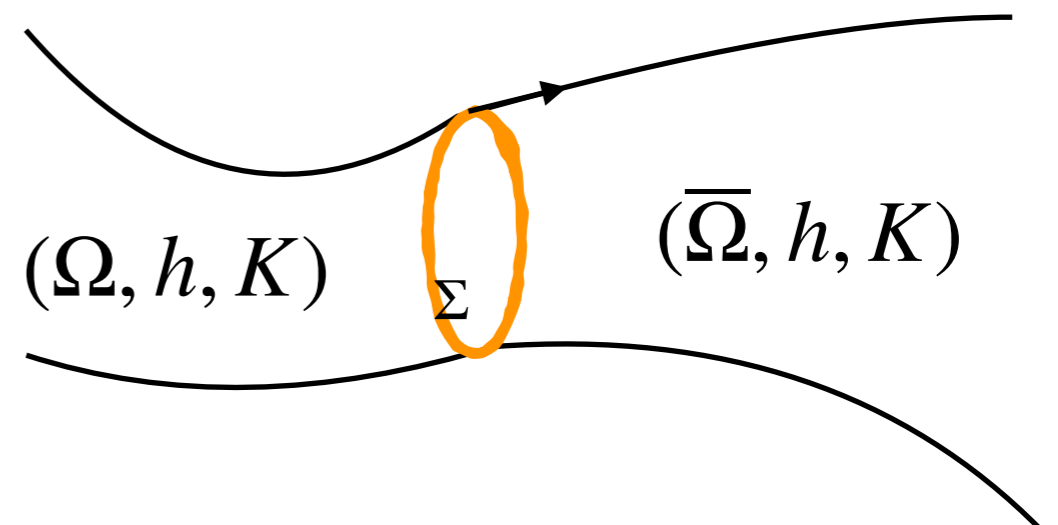
$$M_{\text{outer}}(\Sigma) := \inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K).$$

- Bray proposed a **dual/inner** version of the Bartnik mass in his seminal paper proving the Riemannian Penrose Inequality (RPI) :

$$M(N, h, K) \geq M_{\text{irr}}(A[\mu]) := \frac{1}{2} \left(\frac{A[\mu]}{\Omega_{n-2}} \right)^{\frac{n-3}{n-2}}.$$

Bray, 2001

$$M_{\text{inner}}(\Sigma) := \sup_{(\Omega, h, K)} \min_{\substack{\sigma \subset \Omega, \\ \sigma \in [\Sigma]}} M_{\text{irr}}(A[\sigma]).$$



Equivalence

Our main result is that the outer entropy is **equivalent** to the Bartnik-Bray inner mass:

$$M_{\text{inner}}(\Sigma) = M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma))$$

for an **outer-minimising, mean-convex** Σ .

The proof uses standard focusing arguments.
Check out the details in the paper 2007.00030

A few remarks

- Σ is **outer-minimizing** means that for any Σ' enclosing Σ , $A[\Sigma] \leq A[\Sigma']$.
- Σ is **mean-convex** (normal) means that $\pm\theta^\pm \geq 0$.
- Both (1) **outer-minimisation** and (2) **mean-convexity** are “necessary”.
- Bartnik: (1) is used to avoid “bag of gold”-like extensions trivialising the Bartnik mass.

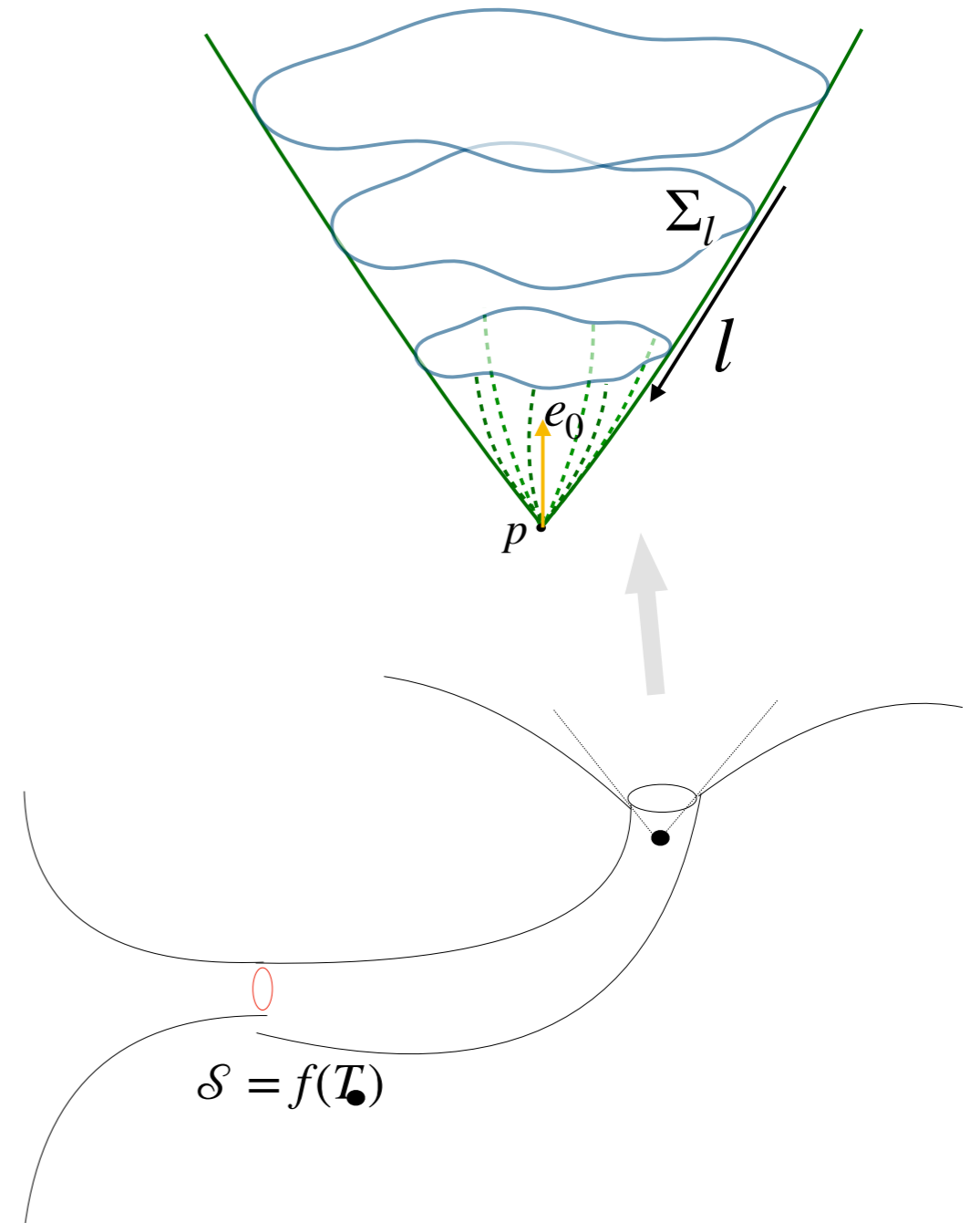
EW: (1), as part of their “minimar” condition, is used to ensure the HRT surface can be found following their procedure.
- (2) is common in geometric analysis. e.g. Weyl problem, positivity of Brown-York mass, Liu-Yau mass, etc.

A few implications

- The area laws = monotonicity of the quasilocal mass.
- In the **small sphere limit**, any quasilocal mass should reduce to the **stress tensor**, so should the outer entropy. Calculation using the EWBNR algorithm confirms this:

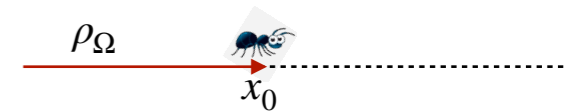
$$\mathcal{S}(\Sigma_l) = \frac{\Omega_{n-2} l^{n-2}}{4G_N \hbar} \left(\frac{2l^2 \Omega_{n-2} G_N T(e_0, e_0) |_p}{n-1} \right)^{\frac{n-2}{n-3}} .$$

$$\lim_{l \rightarrow 0} l^{-(n-1)} M_{inner}(\Sigma_l) = \frac{\Omega_{n-2} T(e_0, e_0) |_p}{n-1} .$$



Wall's ant conjecture

- A marching ant wonders what's the **minimal energy** given what she has observed so far. We look for the energy-minimising state over the purifications while **holding fixed** the interior marginal state.



- Wall's conjecture in 1+1 dimensions:

$$\inf_{\rho: \text{tr}_{\bar{\Omega}} \rho = \rho_{\Omega}} \int_{\bar{\Omega}} \langle T \rangle_{\rho} dx = -\frac{\hbar}{2\pi} \partial_x S_{\rho}(\Omega) |_{x_0}, \quad \bar{\Omega} = (x_0, \infty), \Omega = (-\infty, x_0).$$

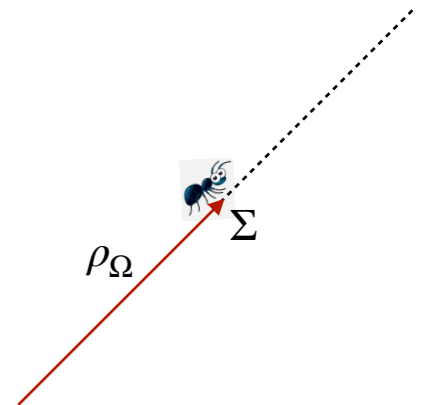
Wall, 2017

- **Ceyhan-Faulkner** proved it for a “null” ant on a Rindler Horizon in Minkowski spacetime w.r.t. any **null** variation X on a cut Σ .

$$\inf_{\rho: \text{tr}_{\bar{\Omega}} \rho = \rho_{\Omega}} \int_{\Omega \cup \bar{\Omega}} \langle T \rangle_{\rho} dx = \frac{\hbar}{2\pi} \mathcal{L}_X D(\rho_{\Omega} || \sigma_{\Omega}) |_{\Sigma}.$$

Ceyhan, Faulkner, 2020

- This conjecture concerns the **matter sector**, do we have one for the **gravity sector**?



Gravitational ant conjecture

- The Penrose Inequality implies $\inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K) \geq M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma))$.

- We propose the **gravitational analog** of the ant conjecture (GAC):

$$\inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K) = M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma)).$$

- In words, it conjectures the Bartnik mass of some closed surface is given by the irreducible mass of the largest black hole that can be fit behind it.
- It's natural that the outer entropy sits at the lower bound. It relates **coarse-grained** entropy with quasilocal mass, whereas Wall's ant conjecture concerns **fine-grained** entropy and stress tensor.
- It is a **purely geometrical** statement. Trivially, the conjecture is true if the Bartnik data can be isometrically embedded into Schwarzschild. There are also some non-trivial examples supporting this claim in the Riemannian setting.

Conclusions

- We've shown that the Bartnik-Bray quasilocal mass is equivalent to the Outer Entropy.

- We propose the gravitational ant conjecture:

$$\inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K) = M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma)).$$

- Can we prove a Riemannian version of the conjecture ? It would be an important result in geometric analysis/mathematical relativity.
- Can we understand the microscopic origin of **any** quasilocal mass in **any** candidate theory of quantum gravity?

**Thank
You !**

Equivalence

- We want to prove $M_{\text{inner}}(\Sigma) = M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma))$ for an **outer-minimising mean-convex** Σ .
- Firstly, the gluing conditions in both problems are essentially the same. They are related by a basis change in the normal bundle. In particular, $(\theta^+, \theta^-) \rightarrow (\text{tr}_\Sigma K, H)$.
- We need the following lemma to “**quasilocalise**” the outer entropy:

Lemma 1: For an outer-minimising surface Σ , the HRT surface for the outer entropy, if it exists, always lies inside the inner wedge.

Nomura, Remmen, 2018

- The outer entropy is more restrictive than the inner mass:

Lemma 2: For an outer-minimising surface Σ , the supremum areas for the Bartnik-Bray inner mass and the HRT surface satisfy $A_{\text{inner}} \leq A_{\text{HRT}}$.

- We also want $A_{\text{inner}} \leq A_{\text{HRT}} \leq A[\Sigma]$. For this, we need mean-convexity.

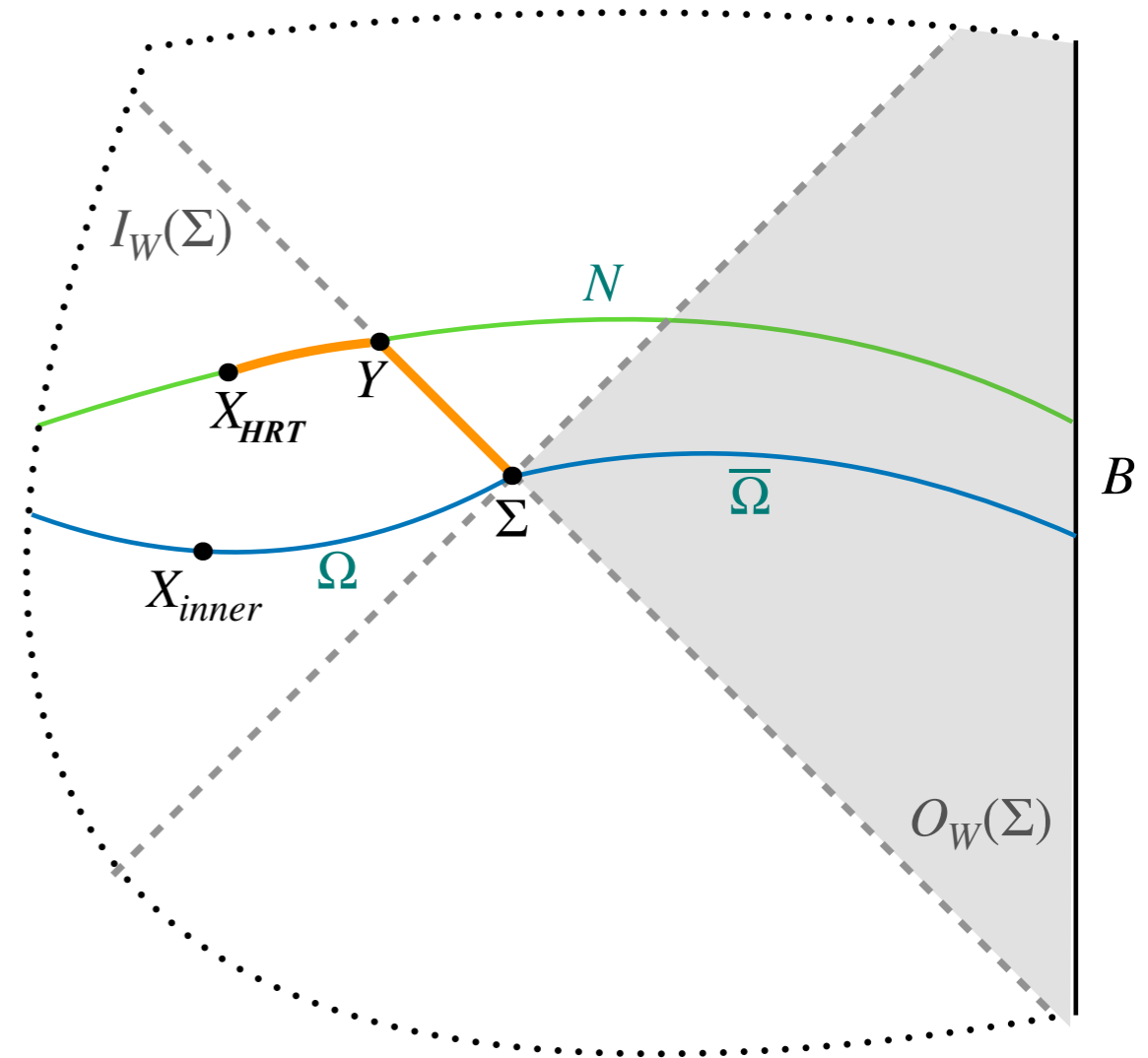
Lemma 3: For a mean-convex surface Σ , the HRT surface for the outer entropy, if it exists, has area $A_{\text{HRT}} \leq A[\Sigma]$.

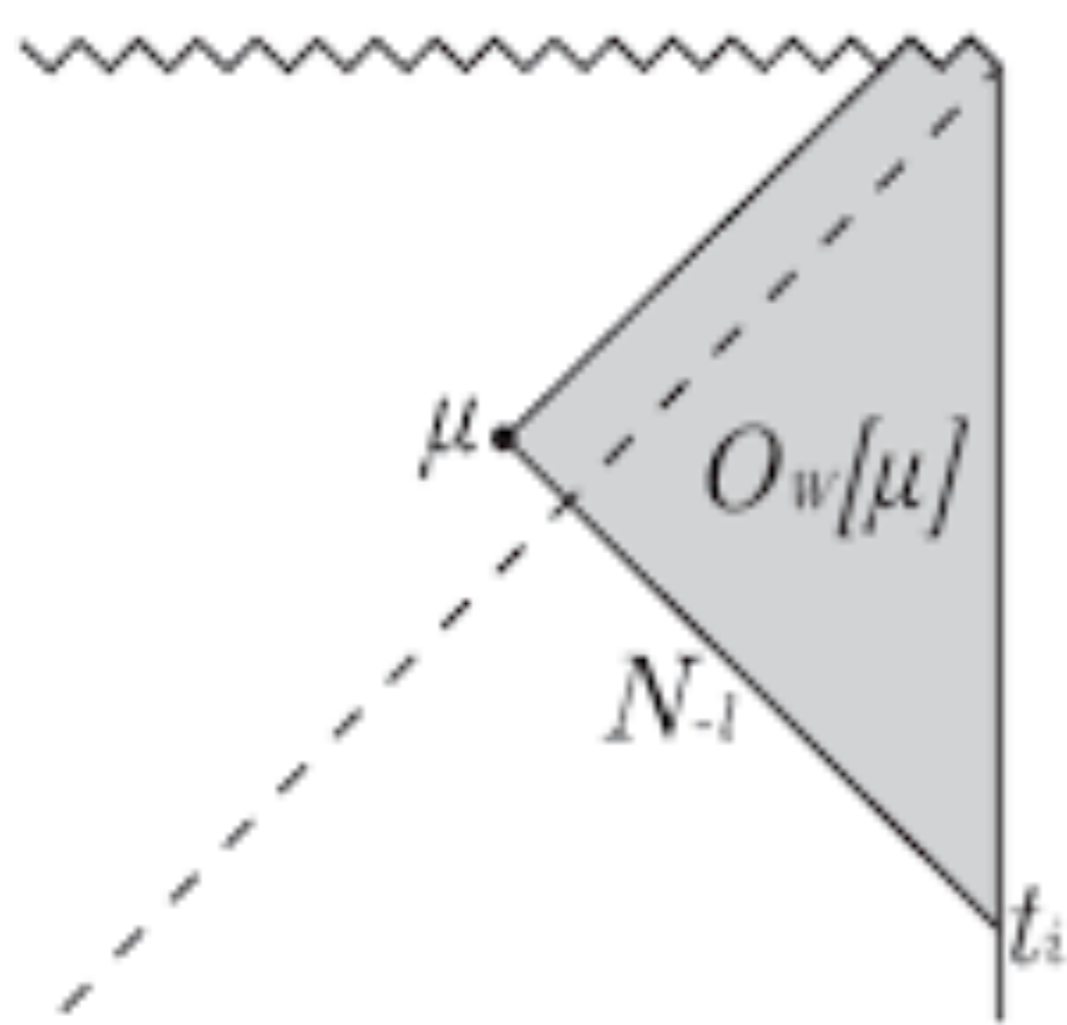
Engelhardt, Wall, 2018

Nomura, Remmen, 2018

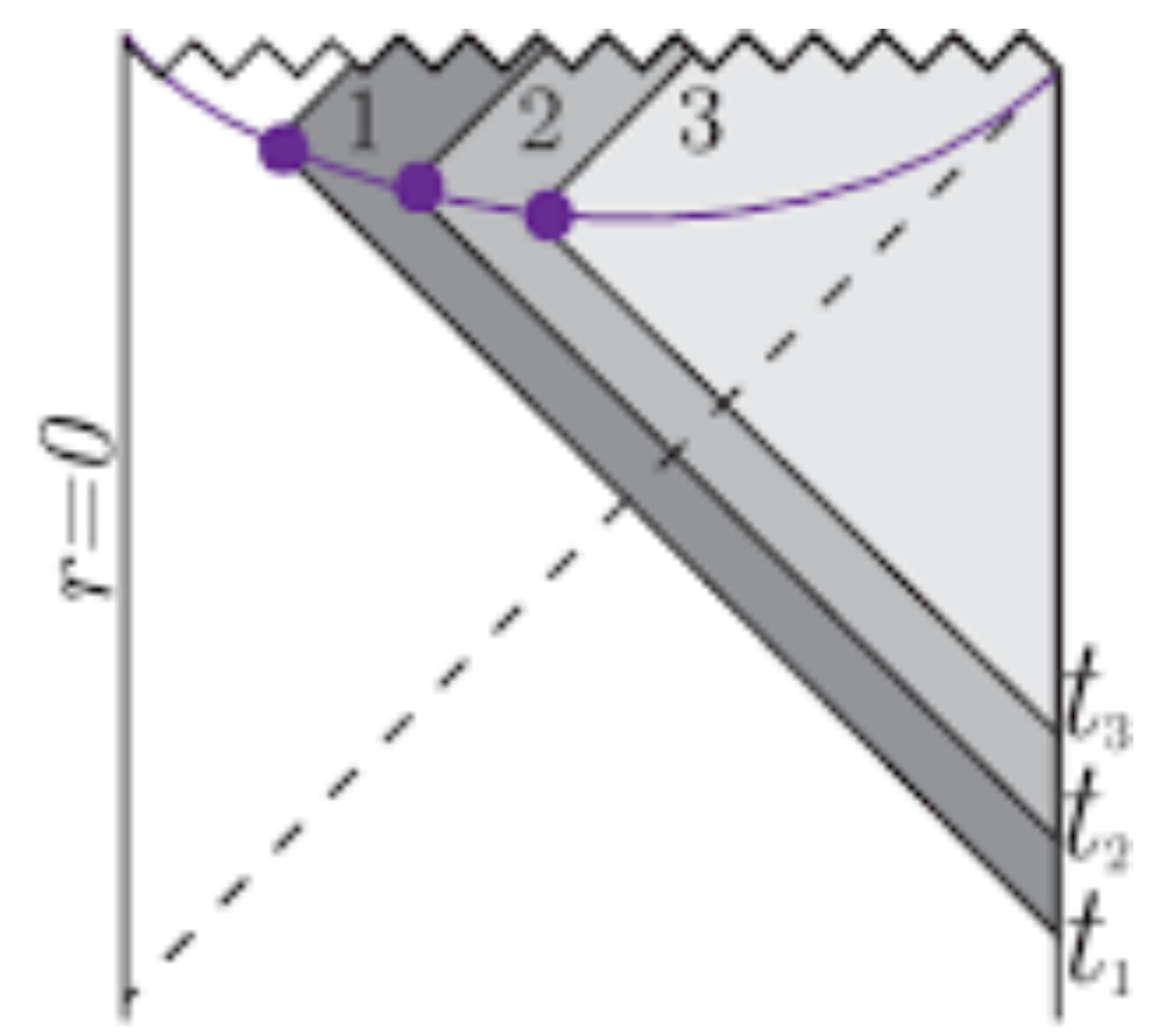
Equivalence

- Suppose X_{HRT} , X_{inner} both exist but differ, X_{HRT} is extremal on some slice N in the interior (Lemma 1), so $A[X] \leq A[Y]$.
- The standard focusing argument, mean-convexity and DEC gives $A[X_{HRT}] \leq A[Y] \leq A[\Sigma]$, so X_{HRT} is minimal on slice $X_{HRT} - Y - \Sigma$.
- By definition of inner mass, $A[X_{inner}] \geq A[X_{HRT}]$. Lemma 2 also implies that $A[X_{inner}] \leq A[X_{HRT}]$, so they are equal.
- Consider now the case that **neither optimiser exists**. Suppose the supremum areas satisfy $A_{HRT} > A_{inner}$, then $A_{HRT} - \epsilon > A_{inner}$ for some ϵ . Area $A_{HRT} - \epsilon$ is realised at some fill-in, so $A_{inner} \geq A_{HRT} - \epsilon \implies$ Contradiction.
- Similarly, one can show that X_{HRT} & X_{inner} both exist or neither exists.





(a)



(b)

Secretly an Semidefinite program?

Is the holographic dual an SDP ? SDP is a very ^{$\otimes N$} important tool in QI.

$$\inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K) \geq M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma))$$

Primal

Dual

$$\inf_{(\bar{\Omega}, h, K)} M(\bar{\Omega}, h, K) = M_{\text{irr}}(4\hbar G_N \mathcal{S}(\Sigma))$$

The gravitational ant conjecture implies the Penrose Inequality.

PI is Weak Duality:

$$\text{Primal} \geq \text{Dual}$$

GAC is Strong Duality:

$$\text{Primal} = \text{Dual}$$