

Dynamics of Fluids without Boost Symmetries

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as well as earlier work with Stefan Vandoren

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Introduction

- Standard treatments of fluid dynamics use a notion of rest frame.
- What if a fluid moves through a medium that breaks boost symmetries?
- Often translations get broken as well but we will assume that happens at a different (smaller) scale.
- Example: relativistic fluid in EM background:
$$\partial_\mu T^\mu{}_\nu = J^\mu F_{\mu\nu} \implies \partial_\mu (T^\mu{}_\nu - J^\mu A_\nu) \sim \lambda^{-1} \partial_\nu \lambda \text{ where}$$
$$A_\nu = \lambda \delta_\nu^0 \text{ with } \partial_\mu J^\mu = 0 \text{ for } \lambda = \text{cst.}$$
- For slowly varying λ we recover translation invariance at the expense of boost symmetry as $T_{\text{new}}{}^\mu{}_\nu = T^\mu{}_\nu - J^\mu A_\nu$ is no longer symmetric.

Introduction

- From an algebraic perspective (if there is time translation invariance) translation breaking implies boost breaking as $[H, B_i] = P_i$ but the converse is not true.
- We see that translations break at smaller scales than boosts.
- So there can exist a regime in which we effectively recover translation invariance but without boost symmetry.
- This includes cases with Lifshitz scale symmetry.
- Earlier work: [Hoyos, Kim, Oz, 2013], [de Boer, JH, Obers, Sybesma, Vandoren, 2017] and [Novak, Sonner, Withers, 2019].

Introduction

- A thermodynamic system with Lifshitz scale invariance is only compatible with boost symmetries when the dynamical critical exponent $z = 1, 2$ [de Boer, JH, Obers, Sybesma, Vandoren, 2017].
- This is despite the existence of algebras with Galilean boosts and dilatations for all z (Schroedinger algebras).
- The UIR of the associated group do not form a discrete spectrum when placing the system in finite volume. See also [Grinstein, Pal, 2018].
- The nogo result can be generalised to systems with hyperscaling violation exponent θ and charge anomalous dimension α in that boosts can only exist when $z = \alpha + 2$ (Galilei) or $z = 1$ (Lorentz).

Introduction

- What is the medium in the case of a Lifshitz invariant system that is responsible for the boost breaking?
- Example of a domain wall separating two superfluids: capillary waves with $z = 3/2$ [Watanabe, Murayama, 2014]:

$$L = \frac{1}{2} \int d^2x d^2y \frac{\dot{\phi}(t, \vec{x}) \dot{\phi}(t, \vec{y})}{|\vec{x} - \vec{y}|} - \frac{\sigma}{2} \int d^2x \frac{\partial \phi(t, \vec{x})}{\partial \vec{x}} \cdot \frac{\partial \phi(t, \vec{x})}{\partial \vec{x}}$$

- Results from integrating out gapless superfluid modes. ϕ is displacement field of 2D domain wall.
- Notice this Lagrangian has $z = 3/2$ but no fractional powers of derivatives.

Outline

- Thermodynamics, perfect fluids and geometry of absolute spacetime
- Entropy current formalism
- Hydrostatic partition function and action for non-dissipative transport
- Constitutive relations
- Dissipative transport
- Comments on holographic realisations of Lifshitz fluids
- Outlook

Thermodynamics

- Consider a thermodynamic system with grandcanonical partition function

$$\mathcal{Z}(T, V, \mu, \vec{v}) = \text{Tr} e^{-\beta(\hat{H} - \mu\hat{N} - \vec{v}\cdot\vec{\hat{P}})}$$

- We treat velocity as a chemical potential to describe systems in different inertial frames.
- Grand potential: $\Omega = -T \log \mathcal{Z}(T, V, \mu, \vec{v})$
- Extensivity: $\Omega = -PV$
- First law: $d\Omega = -SdT - PdV - P_i dv^i - Nd\mu$
- Here P_i is the (ensemble averaged) momentum

Thermodynamics

- Energy density: $\mathcal{E} = Ts + \mu n + \mathcal{P}_i v^i - P$
- First law: $\delta\mathcal{E} = T\delta s + \mu\delta n + v^i\delta\mathcal{P}_i$
- Momentum density: $\mathcal{P}_i = \rho v^i$ by rotational symmetry
- Gibbs-Duhem: $\delta P = s\delta T + n\delta\mu + \frac{1}{2}\rho\delta v^2$
- Boost symmetries fix ρ . For example for Galilean boosts $\rho = n$ so that $dP = sdT + nd\hat{\mu}$ with $\hat{\mu} = \mu + \frac{1}{2}v^2$ and all explicit v^2 -dependence has disappeared.
- Scaling: $\delta P = (d - \theta + z)\lambda P$, $\delta T = z\lambda T$, $\delta\mu = (z + \alpha)\lambda\mu$,
 $\delta v^2 = 2(z - 1)\lambda v^2$
- Scaling dimensions $[n] = d - \theta + \alpha$ and $[\rho] = d - \theta - z + 2$ are equal (which they must for Galilean invariance) iff $z = \alpha + 2$

Perfect Fluids

- Based on rotational symmetry only, the energy-momentum tensor is more general than allowed by thermodynamics.
- Charges flow with velocity v^i :

$$T^0_0 = -\mathcal{E} \quad T^i_0 = -(\mathcal{E} + P)v^i \quad T^0_j = \rho v^j \quad T^i_j = P\delta^i_j + \rho v^i v^j$$
$$J^0 = n \quad J^i = n v^i$$

- momentum flow: $\rho v^i v^j$, energy flux: $(\mathcal{E} + P)v^i$, charge flow: $n v^i$
- Equations of motion are conservation of energy, momentum and charge: $\partial_\mu J^\mu = 0$, $\partial_\mu T^\mu_\nu = 0$
- Lorentz and Galilei boosts:

$$T^0_i = -T^i_0 \implies \rho = \mathcal{E} + P \quad T^0_i = J^i \implies \rho = n$$

Geometry of absolute spacetime

- Fluids on curved backgrounds useful because:
 - Hydrostatic partition function and generalisations (non-dissipative transport)
 - Kubo formulas
 - General structure of derivative terms in constitutive relations and transport coefficients
- Metric structure of absolute spacetime:
 - Clock 1-form τ_μ and spatial metric $h_{\mu\nu}$ with signature $(0, 1, \dots, 1)$.
 - Also known as Aristotelian geometry [Penrose, 1968].
- Appears in Hořava–Lifshitz gravity and Lifshitz field theories etc. See e.g. the works: [Griffin, Grosvenor, Hořava, Yan, 2013-15].

Geometry of absolute spacetime

- Due to signature of $h_{\mu\nu}$ we can write $h_{\mu\nu} = \delta_{ab}e_{\mu}^a e_{\nu}^b$ where e_{μ}^a with $a = 1, \dots, d$ are spatial vielbeins.
- The spatial vielbeins transform under local $SO(d)$ transformations.

- Inverse vielbeins:

$$v^{\mu}\tau_{\mu} = -1 \quad v^{\mu}e_{\mu}^a = 0 \quad e_{\mu}^a\tau_{\mu} = 0 \quad e_{\mu}^a e_{\mu}^b = \delta_a^b$$

- Integration measure: $e = \det(\tau_{\mu}, e_{\mu}^a)$
- It is possible to define a metric compatible connection but we will not need it for our purposes: first order derivative corrections can be treated with Lie derivatives only.

Perfect fluids on curved space

- Remainder of this talk: no charge current.
- Energy-momentum tensor can be decomposed as
$$T^\mu{}_\nu = -T^\mu \tau_\nu + T^{\mu\rho} h_{\rho\nu}$$
- energy current: $T^\mu = \mathcal{E}u^\mu + Ph^{\mu\rho}h_{\rho\nu}u^\nu$
- momentum-stress tensor: $T^{\mu\nu} = Ph^{\mu\nu} + \rho u^\mu u^\nu$
- Covariant velocity u^μ normalised as $\tau_\mu u^\mu = 1$
- Curved space EM conservation (diffeo Ward identity):

$$e^{-1} \partial_\mu (e T^\mu{}_\rho) + T^\mu \partial_\rho \tau_\mu - \frac{1}{2} T^{\mu\nu} \partial_\rho h_{\mu\nu} = 0$$

- This is the analogue of $\nabla_\mu T^\mu{}_\nu = 0$ in the relativistic case.

Perfect fluids on curved space

- The equation for energy-momentum conservation can be rewritten as

$$\mathcal{L}_\beta \tau_\rho = \frac{1}{2} X_\rho^{\mu\nu} (\mathcal{L}_\beta h_{\mu\nu} - h_{\mu\sigma} u^\sigma \mathcal{L}_\beta \tau_\nu - h_{\nu\sigma} u^\sigma \mathcal{L}_\beta \tau_\mu)$$

where $\beta^\mu = u^\mu / T$ and $X_\rho^{\mu\nu}$ is a tensor that is constructed from thermodynamic objects.

- On flat space this relates temperature derivatives to the velocity derivatives: $\partial_t v_i$ and $\partial_i v_j + \partial_j v_i$.
- The Lie derivatives along β of the metric objects τ_μ and $h_{\mu\nu}$ can be viewed as derivatives of the fluid variables.

Entropy current formalism

- There must exist an entropy current S^μ built from the fluid variables such that $e^{-1}\partial_\mu(eS^\mu) \geq 0$.

- We split this into a canonical and non-canonical part:

$$S^\mu = S_{\text{can}}^\mu + S_{\text{non}}^\mu, \quad S_{\text{can}}^\mu = -T^\mu{}_\nu\beta^\nu + P\beta^\mu$$

- The canonical part obeys: $\tau_\mu S_{\text{can}}^\mu = s = \frac{1}{T}(\mathcal{E} + P - \rho v^2)$

- Using EOM and first law:

$$e^{-1}\partial_\mu(eS^\mu) = \left(T^\mu - T_{(0)}^\mu\right) \mathcal{L}_\beta \tau_\mu - \frac{1}{2} \left(T^{\mu\nu} - T_{(0)}^{\mu\nu}\right) \mathcal{L}_\beta h_{\mu\nu} + e^{-1}\partial_\mu(eS_{\text{non}}^\mu)$$

- (0) denotes the perfect fluid part, i.e. the part at zeroth order in derivatives.

Entropy current formalism

$$e^{-1} \partial_\mu (e S^\mu) = \left(T^\mu - T_{(0)}^\mu \right) \mathcal{L}_\beta \tau_\mu - \frac{1}{2} \left(T^{\mu\nu} - T_{(0)}^{\mu\nu} \right) \mathcal{L}_\beta h_{\mu\nu} + e^{-1} \partial_\mu (e S_{\text{non}}^\mu)$$

- We decompose the EMT as:

$$T^\mu - T_{(0)}^\mu = T_D^\mu + T_{\text{HS}}^\mu + T_{\text{NHS}}^\mu, \quad T^{\mu\nu} - T_{(0)}^{\mu\nu} = T_D^{\mu\nu} + T_{\text{HS}}^{\mu\nu} + T_{\text{NHS}}^{\mu\nu}$$

where the three parts are defined as:

- Dissipative transport (equality iff currents vanish):

$$e^{-1} \partial_\mu (e S^\mu) = T_D^\mu \mathcal{L}_\beta \tau_\mu - \frac{1}{2} T_D^{\mu\nu} \mathcal{L}_\beta h_{\mu\nu} \geq 0$$

- Non-hydrostatic non-dissipative transport:

$$T_{\text{NHS}}^\mu \mathcal{L}_\beta \tau_\mu - \frac{1}{2} T_{\text{NHS}}^{\mu\nu} \mathcal{L}_\beta h_{\mu\nu} = 0$$

- Hydrostatic non-dissipative transport:

$$e^{-1} \partial_\mu (e S_{\text{non}}^\mu) = -T_{\text{HS}}^\mu \mathcal{L}_\beta \tau_\mu + \frac{1}{2} T_{\text{HS}}^{\mu\nu} \mathcal{L}_\beta h_{\mu\nu}$$

Hydrostatic partition function

- Consider a weakly curved background with time translation symmetry generated by a Hamiltonian with Killing vector β [Jensen, Kaminski, Kovtun, Meyer, Ritz, 2012].
- Killing equations: $\mathcal{L}_\beta \tau_\mu = 0 = \mathcal{L}_\beta h_{\mu\nu}$. These conditions define global thermal equilibrium.
- Analytically continue time (affine parameter along integral curves of β) and impose periodicity.
- Hydrostatic PF: $S_{\text{HPF}} = -i \log \mathcal{Z} = \sum_n S_{\text{HPF}}^{(n)}$, $\mathcal{Z} = \text{Tr} [e^{-H/T}]$
- Up to first order in derivatives the allowed terms, taking into account conditions of thermal equilibrium, are ($u^2 = h_{\mu\nu} u^\mu u^\nu$)

$$S_{\text{HPF}} = \int d^{d+1}x e (P(T, u^2) + F_1(T, u^2) v^\mu \partial_\mu T + F_2(T, u^2) v^\mu \partial_\mu u^2) + \mathcal{O}(\partial^2)$$

Non-dissipative transport

- We drop the condition that β is a Killing vector and vary the geometric objects to obtain an EMT. This is possible because varying β under diffeos leads to the fluid EOM [Haehl, Loganayagam, Rangamani, 2014].

$$\delta_\xi S_{\text{HS}} = \int_{\mathcal{M}} d^{d+1}x e \left(-T^\mu \delta_\xi \tau_\mu + \frac{1}{2} T^{\mu\nu} \delta_\xi h_{\mu\nu} + F_\mu \delta_\xi \beta^\mu \right) = 0$$

- This leads to the on shell diffeomorphism Ward identity:

$$e^{-1} \partial_\mu (e T^\mu{}_\rho) + T^\mu \partial_\rho \tau_\mu - \frac{1}{2} T^{\mu\nu} \partial_\rho h_{\mu\nu} = 0$$

- This is not an action principle in the usual sense because we do not view $\beta^\mu = \frac{u^\mu}{T}$ as a fundamental variable.
- The HS action is the same as the hydrostatic partition function but without the restriction that β is Killing.

Hydrostatic non-dissipative transport

- Varying the HS action and going to Landau frame

$T^\mu{}_\nu u^\nu = -(\mathcal{E} - \rho u^2) u^\mu$ we find at first order in derivatives:

$$T_{(1)\text{HS}}^{\mu\nu} = \frac{T}{2} \eta_{\text{HS}}^{\mu\nu\alpha\beta} (\mathcal{L}_\beta h_{\alpha\beta} - u_\alpha \mathcal{L}_\beta \tau_\beta - u_\beta \mathcal{L}_\beta \tau_\alpha) + \frac{1}{2} \eta_{\text{rot}}^{\mu\nu\alpha\beta} (\partial_\alpha u_\beta - \partial_\beta u_\alpha) + \dots$$

- Here $u_\mu = h_{\mu\nu} u^\nu$. Dots contain terms that are only nonzero on curved backgrounds.
- The viscosity tensors η are decomposed in terms of $u^\mu, v^\mu, h^{\mu\nu}$ with coefficients depending on the functions appearing in the action.
- For details see [\[de Boer, JH, Have, Obers, Sybesma, 2020\]](#).

Hydrostatic non-dissipative transport

- It can be checked that (in Landau frame) the HS part of the EMT obeys:

$$e^{-1} \partial_\mu \left(e S_{(1)\text{non}}^\mu \right) = \frac{1}{2} T_{(1)\text{HS}}^{\mu\nu} \left(\mathcal{L}_\beta h_{\mu\nu} - u_\nu \mathcal{L}_\beta \tau_\mu - u_\mu \mathcal{L}_\beta \tau_\nu \right)$$

$$S_{(1)\text{non}}^\mu = -\frac{1}{T} \left(v^\mu u^\rho - v^\rho u^\mu \right) \left(F_1 \partial_\rho T + F_2 \partial_\rho u^2 \right)$$

- This can also be derived from constitutive relations for the non-canonical entropy current, and the definition of the HS part of the EMT.
- Scale invariance: only one free function in the HS sector ($\alpha = u^2 T^{\frac{2}{z}-2}$):

$$S_{\text{HS(Lif)}} = \int d^{d+1}x e \left(T^{1+\frac{d}{z}} p(\alpha) + T^{\frac{d}{z}} q(\alpha) v^\mu \partial_\mu \alpha \right) + \mathcal{O}(\partial^2)$$

Non-hydrostatic non-dissipative transport

- When we drop the condition that β is Killing we can add 4 additional independent terms to the action.

$$S_{\text{NHS}} = \int d^{d+1}x e \left(F_3 u^\mu \partial_\mu T + F_4 u^\mu \partial_\mu u^2 - T F_5 v^\mu \mathcal{L}_\beta \tau_\mu - 2T F_6 u^\mu v^\nu \mathcal{L}_\beta h_{\mu\nu} \right)$$

- The EMT obeys: $T_{\text{NHS}}^\mu \mathcal{L}_\beta \tau_\mu - \frac{1}{2} T_{\text{NHS}}^{\mu\nu} \mathcal{L}_\beta h_{\mu\nu} = 0$. In Landau frame this means:

$$T_{\text{NHS}}^{\mu\nu} = \frac{T}{2} \eta_{\text{NHS}}^{\mu\nu\alpha\beta} \left(\mathcal{L}_\beta h_{\alpha\beta} - u_\alpha \mathcal{L}_\beta \tau_\beta - u_\beta \mathcal{L}_\beta \tau_\alpha \right), \quad \eta_{\text{NHS}}^{\mu\nu\alpha\beta} = -\eta_{\text{NHS}}^{\alpha\beta\mu\nu}$$

- The HS terms in the action belong to the coset space of non-dissipative terms modulo the NHS terms.
- When we start from the most general constitutive relations for the EMT and demand that it corresponds to the NHS sector we also find 4 free functions.

Constitutive relations

- Divergence of the entropy (after rewriting the non-can. part):

$$e^{-1} \partial_\mu (e S^\mu) = -\frac{1}{2} \left(T_{(1)}^{\mu\nu} - T_{(1)\text{HS}}^{\mu\nu} \right) (\mathcal{L}_\beta h_{\mu\nu} - h_{\rho\nu} u^\rho \mathcal{L}_\beta \tau_\mu - h_{\mu\rho} u^\rho \mathcal{L}_\beta \tau_\nu)$$

- Non-negative entropy production dictates that:

$$T_{(1)}^{\mu\nu} - T_{(1)\text{HS}}^{\mu\nu} = \frac{T}{2} \eta^{\mu\nu\rho\sigma} (\mathcal{L}_\beta h_{\rho\sigma} - h_{\kappa\sigma} u^\kappa \mathcal{L}_\beta \tau_\rho - h_{\rho\kappa} u^\kappa \mathcal{L}_\beta \tau_\sigma)$$

- What is left is to classify all the allowed terms in $\eta^{\mu\nu\rho\sigma}$.
- Allowed terms must obey the $SO(d)$ Ward identities and all terms must be invariant under the symmetries of the thermal state around which we expand.
- Thermal state spontaneously breaks $SO(d)$ down to $SO(d-1)$ consisting of all rotations that leave $h^{\mu\rho} h_{\rho\sigma} u^\sigma$ invariant.

Dissipative transport

- The NHS terms correspond to the antisymmetric part of $\eta^{\mu\nu\rho\sigma}$. Linearised these are set to zero by the Onsager relations. There are 4 such coefficients (2 for Lifshitz).
- For the dissipative terms on flat space we have

$$\begin{aligned}(T_{(1)\text{D}})^{0j} &= \frac{1}{2}\eta_{jkl} \left(\partial_k v^l + \partial_l v^k \right) + \kappa_{jk} \partial_t v^k \\ (T_{(1)\text{D}})^{ij} &= \frac{1}{2}\eta^{ijkl} \left(\partial_k v^l + \partial_l v^k \right) + \kappa^{ijk} \partial_t v^k\end{aligned}$$

- The η and κ tensors are expanded in terms of the $SO(d-1)$ invariants: $n^i = \frac{v^i}{\sqrt{v^2}}$, $P^{ij} = \delta_j^i - n^i n^j$
- There are 10 dissipative transport coefficients (obeying inequalities to ensure positive entropy production). This reduces to 7 when we impose the z-deformed tracelessness condition for scale invariance: $zT^0_0 + T^i_i = 0$.

Holographic realisations

- Bulk theory admitting Lifshitz solutions:

$$\mathcal{L}_{(4)} = \sqrt{-g} \left(R - \frac{1}{4} Z(\Phi) F^2 - W(\Phi) B^2 - \frac{1}{2} (\partial\Phi)^2 - V(\Phi) \right) + \mathcal{L}_{\text{ct}}$$

- For examples of counterterm actions and near-boundary analysis see [Christensen, JH, Obers, Rollier, 2013] and [JH, Kiritsis, Obers, 2014/15] as well as [Chemissany, Papadimitriou, 2014].
- Answer for general actions and general z still elusive.
- Can we do fluid/gravity for moving Lifshitz black branes beyond the EMD model studied in [Kiritsis, Matsuo, 2015/16]?

Holographic realisations

- Ansatz for moving black brane solutions ($z = 2$) [JH, Obers, Sanchioni, 2016]:

$$ds_4^2 = -r^{-4}F_1 dt^2 + \frac{dr^2}{r^2 F_2} + \frac{F_3}{r^2} dx^2 + \frac{F_4}{r^2} (dy + N^y dt)^2$$

$$B = r^{-2}G dt + A_y (dy + N^y dt), \quad \Phi = \Phi(r)$$

- Near boundary ($r = 0$) exp.: $ds_4^2 = e^{\Phi} \frac{dr^2}{r^2} + h_{\mu\nu} dx^\mu dx^\nu$

$$\Phi = -\frac{1}{8}r^2 \rho - r^4 \left(\frac{1}{6}T^t_t + \frac{1}{64}\rho^2 \right) + \mathcal{O}(r^6)$$

$$B_t = r^{-2} + \frac{1}{4}\rho + r^2 \left(\frac{1}{12}T^t_t + \frac{1}{16}\rho^2 \right) + \mathcal{O}(r^4)$$

$$B_i = -\frac{1}{4}r^2 T^t_i + \mathcal{O}(r^4) \quad \text{likewise for } h_{\mu\nu}$$

Holographic realisations

- Ansatz for full solution (all functions depend on r only):

$$ds_4^2 = -r^{-4}F_1 dt^2 + \frac{dr^2}{r^2 F_2} + \frac{F_3}{r^2} dx^2 + \frac{F_4}{r^2} (dy + N^y dt)^2$$

$$B = r^{-2}G dt + A_y (dy + N^y dt), \quad \Phi = \Phi(r)$$

- Effective action for this ansatz has two scale symmetries:

1). $[G] = 1, \quad [F_1] = 2, \quad [F_{3,4}] = 1, \quad [A_y] = -1/2, \quad [N^y] = 3/2$

2). $[F_3] = 2, \quad [F_4] = -2, \quad [A_y] = -1, \quad [N^y] = 1$

- Associated Noether charges Q_1 and Q_2 are 1st int. of motion along r (similar to) [Bertoldi, Burrington, Peet, 2009].
- Near horizon regularity: G, F_1, F_2 first order zeros at $r = r_h$, the rest are nonzero.

Holographic realisations

- Horizon generator $X = \partial_t - N^y(r_h)\partial_y$ gives temperature and chemical potential ($N^y(r_h) = -v$).
- Conserved Noether charges: $Q_1 - \frac{3}{2}Q_2 = Ts$ at the horizon and $\tilde{\mathcal{E}} + P$ at the boundary.
- 1st law from on-shell action, grand potential as a function of temperature T and chemical potential v^2 :
$$\tilde{\mathcal{E}} + P = Ts, \quad \delta P = s\delta T + \frac{1}{2}\rho\delta v^2.$$
- Open problems:
 - Can we do fluid/gravity when the 0th order solution is not analytically known?
 - What is the holographic renormalisation for generic actions admitting Lifshitz solutions?

Outlook

- Adding a $U(1)$ current for charge or particle no. see e.g. [de Boer, JH, Obers, Sybesma, Vandoren, 2017] and [Novak, Sonner, Withers, 2019].
- Kubo formulas and higher point correlation functions.
- In [de Boer, JH, Obers, Sybesma, Vandoren, 2017] we studied the hydrodynamic modes for fluctuations of a charged fluid around a fluid at rest. This can now be generalised to perturbations around a moving fluid.
- We now understand the role of boost breaking for speed of sound, transport coefficients, hydro modes but can we measure such effects?

Outlook

- Find examples of systems with a hierarchy in boost and translation symmetry breaking.
- Expand fluid/gravity for Lifshitz fluids beyond EMD models studied in [Kiritsis, Matsuo, 2015/16] and Hořava–Lifshitz gravity [Garbiso, Kaminski, 2019]?
- Can we find holographic realisations of non-dissipative transport?
- Find new universal bounds involving the new transport coefficients?