



Constraining Non-Relativistic RG Flows with Holography

Sera Cremonini
Lehigh University



Today's talk

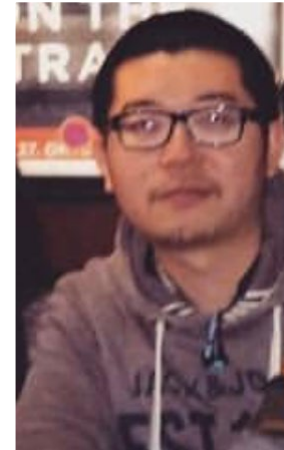
- ▶ Work with **Li Li, Kyle Ritchie and Yuezhang Tang** → **arXiv:2006.10780**
- ▶ We probe non-relativistic RG flows using holography
- ▶ Goal: identify generic properties and quantities that flow monotonically under RG



Li Li
CAS, Beijing

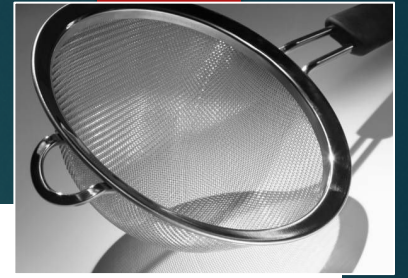


Kyle Ritchie
U. New Mexico
→ Berkeley for PhD

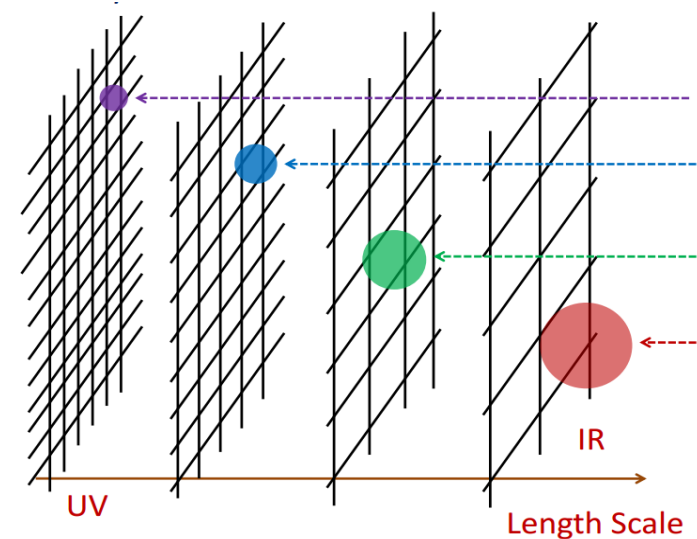


Yuezhang (John) Tang
Lehigh U.

Basic questions



- ▶ How do we **track the number of degrees of freedom (d.o.f.) in a QFT at different scales?**
- ▶ We expect to lose d.o.f. as the energy scale is lowered. How do we capture this loss in a generic QM system (without a lot of symmetry)?
- ▶ **How does gravity encode the process of integrating out d.o.f.?**
- ▶ **How do we geometrize RG flows** in the presence of various broken symmetries?



Basic questions

- ▶ How do we **track the number of degrees of freedom (d.o.f.) in a QFT at different scales?**
 - ▶ We expect to lose d.o.f. as the energy scale is lowered. How do we capture this loss in a generic QM system (without a lot of symmetry)?
- ▶ In (certain) relativistic QFTs, **c-theorems provide a measure for the # of d.o.f. → c-function decreases monotonically** from UV to IR, reproducing central charge at fixed points [Zamolodchikov, Casini/Huerta, Cardy, Komargodski/Schwimmer...] (also F-theorem)
 - ▶ Generalized c-theorems from holography, valid in any # of dimensions [Freedman/Gubser/Pilch/Warner, Girardello/Petrini/Porrati/Zaffaroni, Myers/Sinha, Myers/Singh,...]
 - ▶ Such theorems **rely on Lorentz invariance** and otherwise break down
→ **can they be extended to non-relativistic flows (at least under some set of restrictions)?**

Can we identify any generic features?

- ▶ With X. Dong (arXiv:1311.3307): we proposed **a generalized c-function from the EE of a strip** [building on Myers/Singh] for non-relativistic systems → **generically nonmonotonic, but we identified possible constraints** that make it monotonic. Can we say more?
- ▶ **Radial Hamiltonian formalism/Hamilton-Jacobi approach** → plays key role for understanding RG flow [de Boer, Verlinde², Papadimitriou, Skenderis,...].
- ▶ The **fake superpotential particularly useful to characterize RG flows** (for relativistic case it's essentially the holographic c-function [Freedman et al]) and classify GR solutions.

Our plan:

adopt the superpotential formalism to study non-relativistic solutions to EMD theories
and ask what we can learn about possible monotonic behaviors

Holographic flows and a c-function

$$S = \frac{1}{2\kappa^2} \int d^{d+1}x \sqrt{-g} \left(R - \frac{1}{2}(\partial\phi)^2 - V(\phi) \right)$$

$$ds^2 = dr^2 + e^{2A(r)}(-dt^2 + d\vec{x}^2), \quad \phi = \phi(r)$$

Einstein's equations

$$\dot{\phi}^2 + 2(d-1)\ddot{A} = 0$$

$$d(d-1)\dot{A}^2 - \frac{1}{2}\dot{\phi}^2 + V = 0$$

Define a fake superpotential W

$$W(\phi(r)) = -2(d-1)\dot{A}$$

To satisfy Einstein's EOM we need

$$\dot{\phi} = W_\phi$$

$$\frac{1}{2}W_\phi^2 - \frac{d}{4(d-1)}W^2 = V(\Phi)$$

$$E = E_0 e^A \Rightarrow \beta(\phi) = \frac{d\phi}{d \log E} = -2(d-1) \frac{W_\phi}{W}$$

See e.g. Kiritsis, Nitti, Pimenta
arXiv:1611.05493 for extensive
RG flow classification

Holographic flows and a c-function

The superpotential W is monotonic and is essentially the c-function.

Easy to see here:

$$\dot{W} = W_\phi \dot{\phi} = \dot{\phi}^2 \geq 0$$

$$\dot{A} = -\frac{1}{2(d-1)} W$$

$$\dot{\phi} = W_\phi$$

$$\text{NEC} \quad \Rightarrow \quad -2\ddot{A} \geq 0 \quad \text{or equivalently} \quad \dot{W} \geq 0$$

Famous result by Freedman et al.,
hep-th/9904017

$$a(r) \equiv \frac{\pi^{d/2}}{\Gamma(d/2) (\ell_P A'(r))^{d-1}}$$

- Null energy condition (NEC) ensures that the c-function is monotonic (see e.g. Freedman et al.) in a relativistic system
- Also same story with extracting c-function from entanglement

Holographic Entanglement Entropy and RG Flow

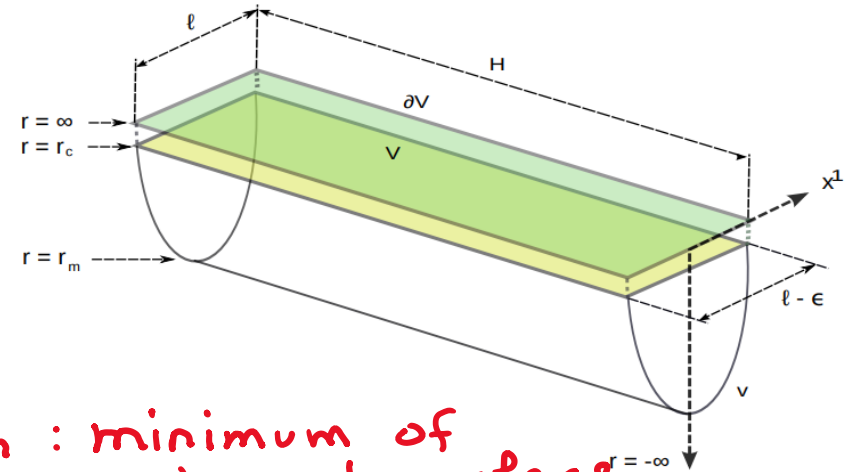
Candidate c-function from Holographic EE of a strip geometry
(generalize Casini/Huerta)

Myers and Singh
arXiv:1202.2068

$$c_d \equiv \beta_d \frac{\ell^{d-1}}{H^{d-2}} \frac{\partial S_{EE}}{\partial \ell}$$

For $ds^2 = dr^2 + e^{2A(r)}(-dt^2 + d\vec{x}^2)$

$$\frac{dc_d}{dr_m} = -\gamma \dot{A}(r_m) \int_0^\ell dx \frac{\ddot{A}}{\dot{A}^2}$$



r_m : minimum of
extremal surface
(depends on ℓ)

Sufficient condition for monotonicity:
stress tensor of matter fields obeys NEC

$$\text{NEC} \Rightarrow -2\ddot{A} \geq 0 \Rightarrow \frac{dc_d}{dr_m} \geq 0$$

Our Setup

[arXiv:2006.10780, SC, L.Li, K. Ritchie and Y. Tang]

We want to extend this story to Einstein-Maxwell-scalar theories:

$$S = \frac{1}{2\kappa^2} \int d^{d+1}x \sqrt{-g} \left(R - \frac{1}{2}(\partial\phi)^2 - V(\phi) - \frac{Z(\phi)}{4} F_{\mu\nu} F^{\mu\nu} \right)$$

$$ds^2 = dr^2 + e^{2A(r)} (-f(r)dt^2 + d\vec{x}^2), \quad \phi = \phi(r), \quad A_\mu dx^\mu = A_t(r)dt$$

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Characterizes deviation from
Lorentz invariance
→ Dual flow is non-relativistic

We restrict ourselves to geometries that approach AdS at the boundary
→ UV is relativistic

$f(r) \rightarrow 1$
as $r \rightarrow \infty$

Superpotential Formalism

Lindgren, Papadimitriou, Taliotis, Vanhoof
JHEP 1507 (094) 2015, arXiv:1505.04131

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$$ds^2 = dr^2 + e^{2A(r)} (-f(r)dt^2 + d\vec{x}^2), \quad \phi = \phi(r), \quad A_\mu dx^\mu = A_t(r)dt$$

We introduce **a (fake) superpotential W** $\rightarrow$ now more degrees of freedom

$$\dot{A} = -\frac{1}{2(d-1)} W$$

$$\frac{\dot{f}}{f} = -\frac{1}{d-1} W_A$$

$$\dot{\phi} = W_\phi$$

$$W_A = \partial_A W$$

$$\frac{1}{2} W_\phi^2 - \frac{1}{4(d-1)} (d + \partial_A) W^2 = V_{eff}(\phi)$$

$$V_{eff}(\phi, A) = V(\phi) + 2Z^{-1} e^{-2(d-1)A} (\kappa^2 \rho)^2$$

A few things to note

Recall definition of W :

$$\dot{A} = -\frac{1}{2(d-1)} W$$

$$\frac{\dot{f}}{f} = -\frac{1}{d-1} W_A$$

$$\dot{\phi} = W_\phi$$

W and $W_A \rightarrow$ **two effective degrees of freedom**

W_A encodes non-relativistic effects
($W_A = 0$ for Lorentz invariant case)

$$E = E_0 \sqrt{f} e^A \rightarrow \beta(\phi) \equiv \frac{d\phi}{d \log E} = -2(d-1) \frac{W_\phi}{W + W_A}$$

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$$ds^2 = dr^2 + e^{2A(r)} (-\underbrace{f(r) dt^2}_{\text{effective speed of light}} + d\vec{x}^2), \quad \phi = \phi(r), \quad A_\mu dx^\mu = A_t(r) dt$$

Note that f_{UV} describes the effective speed of light c_{UV}^2 in the UV theory
(similarly f_{IR} gives the one in the IR)

Index of refraction in holography

The **relative speed of propagation of light-like signals in the UV and IR** can be described using an “index of refraction” (see Gubser, Pufu, Rocha arXiv:0908.0011)

$$n = \sqrt{\frac{f_{UV}}{f_{IR}}}$$

quantifies the renormalization of scales from the UV to the IR

Also studied more recently by Donos et al. (1705.03000, 1712.08017), Hoyos et al. (2001.08218)

We examine the **radial flow** of $n(r) = \sqrt{f}$ $\longrightarrow \dot{n} = \frac{1}{2} \frac{\dot{f}}{\sqrt{f}} = \kappa^2 e^{-dA} (T_s + A_t \rho)$

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Both terms are non-negative:

$$\dot{A}_t = 2\kappa^2 e^{(2-d)A} \frac{\sqrt{f}}{Z} \rho \geq 0$$

$$A_t(r_h) = 0 \text{ and } \dot{A}_t \geq 0 \Rightarrow A_t(r) > 0$$

(without loss of generality take $\rho > 0$)



$$\dot{n} \geq 0$$

**$n(r)$ is monotonic
along RG flow in EMD theories**

Superpotentials and monotonicity conditions

The monotonicity of $n(r)$ is tied to W_A having a definite sign

$$n(r) = \sqrt{f}$$

$$\dot{n} = \frac{1}{2} \frac{\dot{f}}{\sqrt{f}} = -\frac{\sqrt{f}}{2(d-1)} W_A$$



$$\dot{f} \geq 0 \quad \text{or equivalently} \quad W_A \leq 0$$

Also easy to show that warp factor $A(r)$ is monotonic, increasing towards the UV:

$$\dot{A} \geq 0 \quad \Rightarrow \quad W \leq 0$$

Radial flow of W :

$$\frac{dW}{dr} = \dot{\phi}^2 - \frac{W W_A}{2(d-1)}$$

→ spoils monotonicity ($W W_A \geq 0$)

NEC doesn't help →

$$\left\{ \begin{array}{l} \dot{W} + \frac{1}{2(d-1)} W W_A \geq 0 \\ d W W_A - 2(d-1) \dot{W}_A + W_A^2 \geq 0 \end{array} \right.$$

Same structure visible from entanglement

SC and X.Dong [arXiv:1311.3307]: candidate c-function extracted from entanglement of infinite strip for non-relativistic geometries $ds^2 = dr^2 + e^{2A(r)}(-f(r)dt^2 + d\vec{x}^2)$

$$\frac{dc_d}{dr_m} = -\gamma \dot{A}(r_m) \int_0^\ell dx \frac{\ddot{A}}{\dot{A}^2} = \frac{\gamma}{2} \dot{A}(r_m) \int_0^\ell dx \frac{1}{\dot{A}^2} \left[\left(\frac{\dot{f}\dot{A}}{f} - 2\ddot{A} \right) - \frac{\dot{f}\dot{A}}{f} \right]$$

Null Energy Conditions no longer sufficient:

$$\frac{\dot{f}}{f}\dot{A} - 2\ddot{A} \geq 0 \quad \text{and} \quad d\dot{A}\dot{f} + \ddot{f} - \frac{\dot{f}^2}{2f} \geq 0$$

$$\begin{aligned}\dot{A} &= -\frac{1}{2(d-1)} W \\ \frac{\dot{f}}{f} &= -\frac{1}{d-1} W_A \\ \dot{\phi} &= W_\phi\end{aligned}$$

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For our EMD theory, there is always a competition between these terms

(recall $\dot{f}\dot{A} \geq 0$)

It's transparent why c_d is generically non-monotonic

$$\begin{aligned} \dot{A} &= -\frac{1}{2(d-1)} W \\ \frac{\dot{f}}{f} &= -\frac{1}{d-1} W_A \\ \dot{\phi} &= W_\phi \end{aligned}$$

Superpotentials and monotonicity conditions

Radial flow of W_A :

Competition between superpotential term and gauge field contribution:

$$\frac{dW_A}{dr} = \frac{d}{2(d-1)} W_A \left(W + \frac{W_A}{d} \right) - (d-1) Z(\phi) e^{-2A} \frac{\dot{A}_t^2}{f}$$

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always ≥ 0

Always opposite signs

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always ≥ 0

Always opposite signs

Focus on simpler Einstein-scalar theories

$$\frac{dW_A}{dr} = \frac{d}{2(d-1)} W_A \left(W + \frac{W_A}{d} \right) \geq 0$$

W_A is **always monotonic in Einstein-scalar theories**

BUT it becomes trivial in relativistic limit ($W_A=0$) $\rightarrow$ can we do better?

Superpotentials and monotonicity conditions

Einstein-scalar theory (turn off gauge field)

Interesting combination of W and W_A :

$$\frac{d}{dr} \left(W + \frac{1}{d} W_A \right) = \frac{1}{2d(d-1)} W_A^2 + \dot{\phi}^2 \geq 0$$

**monotonic
and reduces to
relativistic result**

Even with non-relativistic geometries, there is (at least) one function that behaves as a c-function (increases monotonically towards UV, reduces to known Lorentz invariant result)

Superpotentials and monotonicity conditions

Einstein-scalar theory (turn off gauge field)

Interesting combination of W and W_A :

$$\frac{d}{dr} \left(W + \frac{1}{d} W_A \right) = \frac{1}{2d(d-1)} W_A^2 + \dot{\phi}^2 \geq 0$$

**monotonic
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Even with non-relativistic geometries, there is (at least) one function that behaves as a c-function (increases monotonically towards UV, reduces to known Lorentz invariant result)

Einstein-Maxwell-scalar theory

$$\frac{d}{dr} \left(W + \frac{1}{d} W_A \right) = \frac{1}{2d(d-1)} W_A^2 + \dot{\phi}^2 - \frac{(d-1)}{d} Z(\phi) e^{-2A} \frac{\dot{A}_t^2}{f}$$

*spoils monotonicity
in general*

Surprisingly, still monotonic for many known BH solutions (why?)

Explicit examples of radial flow ($W + W_A/d$)

Maximal gauged SUGRA in 4D, charged black holes in AdS_4 [Cvetič et al, hep-th/9903214]

$$S = \frac{1}{2\kappa^2} \int d^{d+1}x \sqrt{-g} \left(R - \frac{1}{2}(\partial\phi)^2 - V(\phi) - \frac{Z(\phi)}{4} F_{\mu\nu} F^{\mu\nu} \right)$$

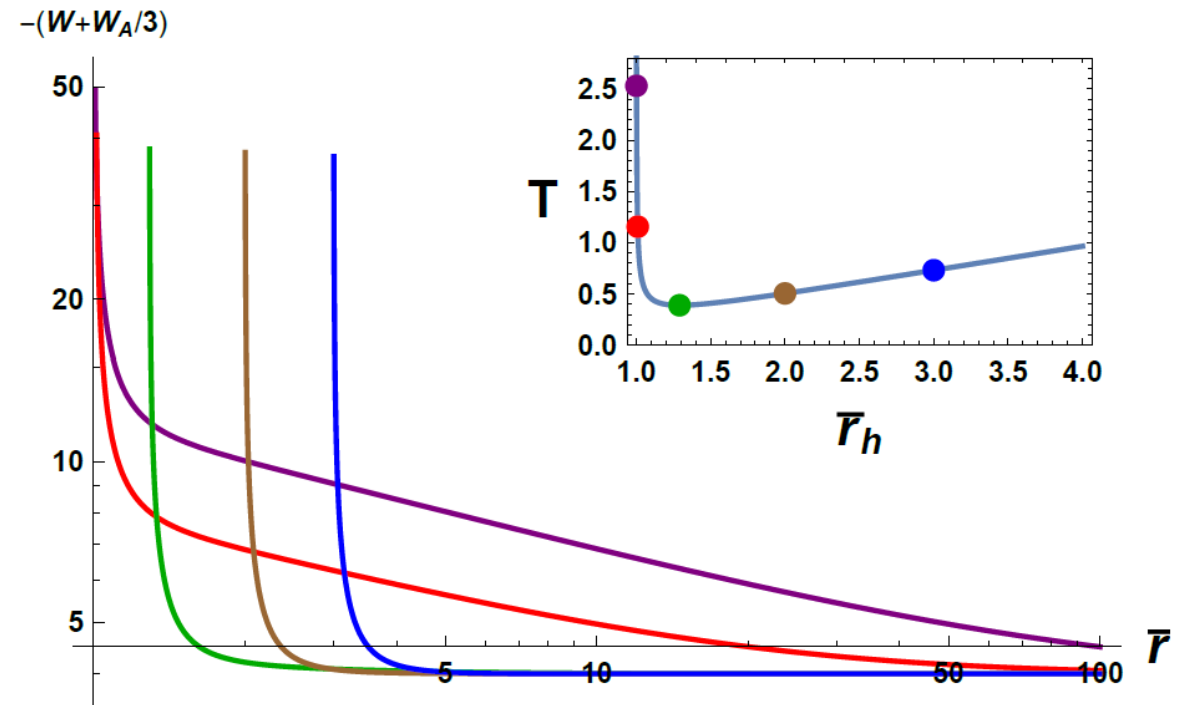
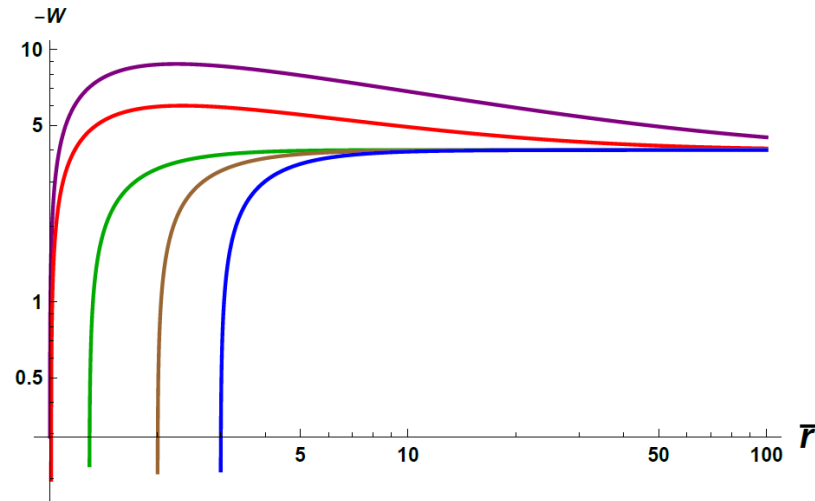
	one-charge	two-charge	three-charge
$V(\phi)$	$-6 \cosh(\phi/\sqrt{3})$	$-2(\cosh \phi + 2)$	$-6 \cosh(\phi/\sqrt{3})$
$Z(\phi)$	$e^{\sqrt{3}\phi}$	e^ϕ	$e^{\phi/\sqrt{3}}$
e^{2A}	$\bar{r}^{3/2}(\bar{r} + Q)^{1/2}$	$\bar{r}(\bar{r} + Q)$	$\bar{r}^{1/2}(\bar{r} + Q)^{3/2}$
f	$1 - \frac{\bar{r}_h^2(\bar{r}_h + Q)}{\bar{r}^2(\bar{r} + Q)}$	$1 - \frac{\bar{r}_h(\bar{r}_h + Q)^2}{\bar{r}(\bar{r} + Q)^2}$	$1 - \frac{(\bar{r}_h + Q)^3}{(\bar{r} + Q)^3}$
A_t	$\frac{\sqrt{Q}\bar{r}_h}{\sqrt{\bar{r}_h + Q}} \left(1 - \frac{\bar{r}_h + Q}{\bar{r} + Q} \right)$	$\sqrt{2Q\bar{r}_h} \left(1 - \frac{\bar{r}_h + Q}{\bar{r} + Q} \right)$	$\sqrt{3Q(\bar{r}_h + Q)} \left(1 - \frac{\bar{r}_h + Q}{\bar{r} + Q} \right)$
ϕ	$\frac{\sqrt{3}}{2} \ln \left(1 + \frac{Q}{\bar{r}} \right)$	$\ln \left(1 + \frac{Q}{\bar{r}} \right)$	$\frac{\sqrt{3}}{2} \ln \left(1 + \frac{Q}{\bar{r}} \right)$

$(d=3)$

Explicit examples of radial flow ($W + W_A/d$)

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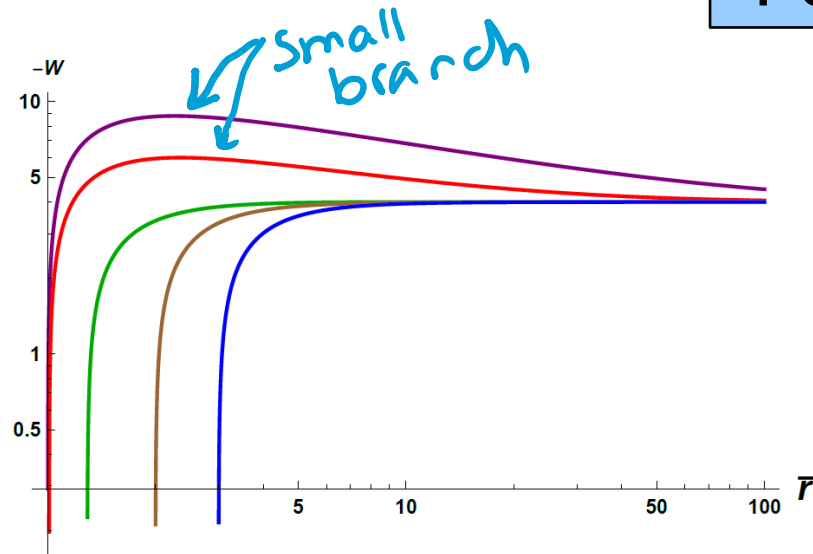
1-charge BH



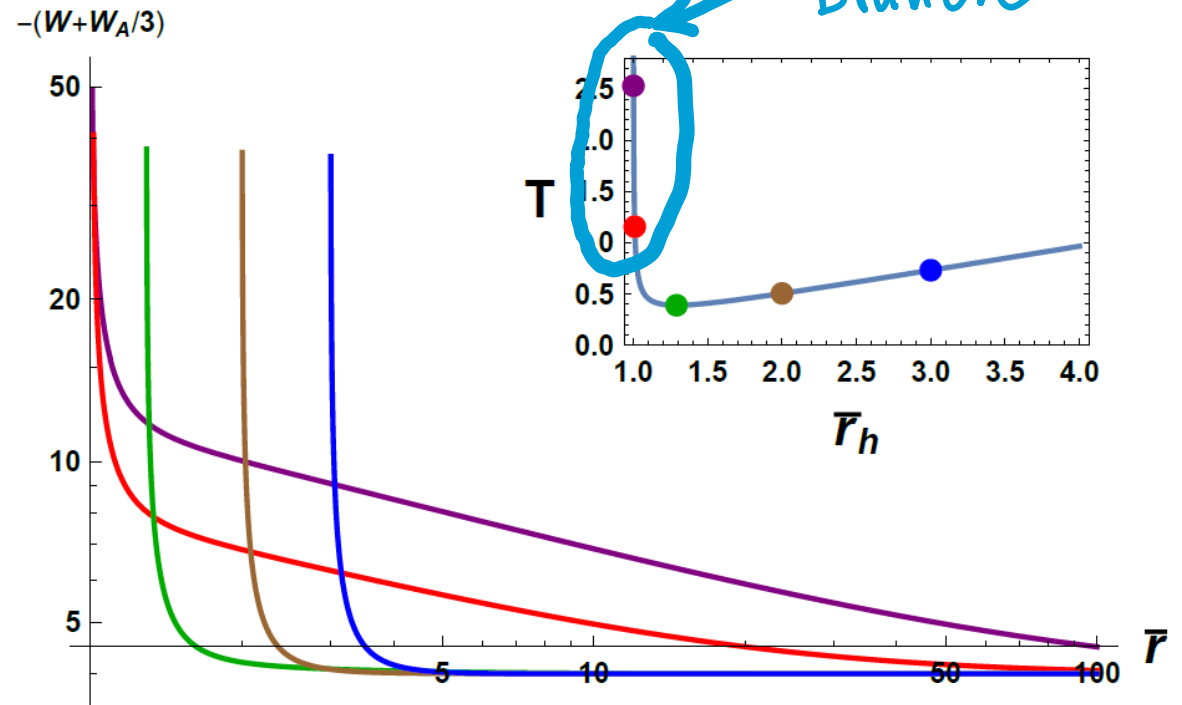
Explicit examples of radial flow ($W + W_A/d$)

Maximal gauged SUGRA in 4D, charged black holes in AdS_4 [Cvetič et al, hep-th/9903214]

1-charge BH



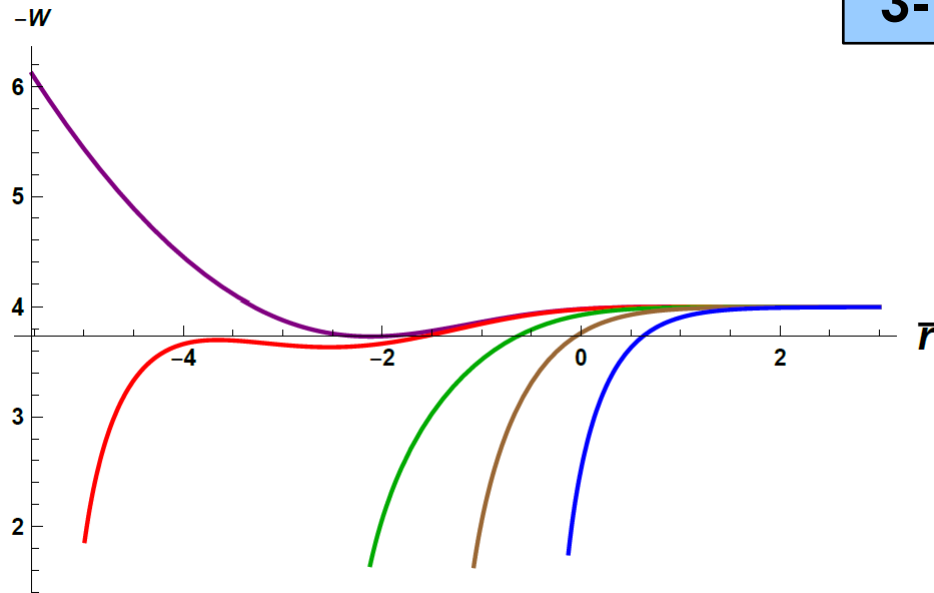
Combination $W + W_A/3$ is always **monotonic** even though **W isn't** for the **small black hole branch**



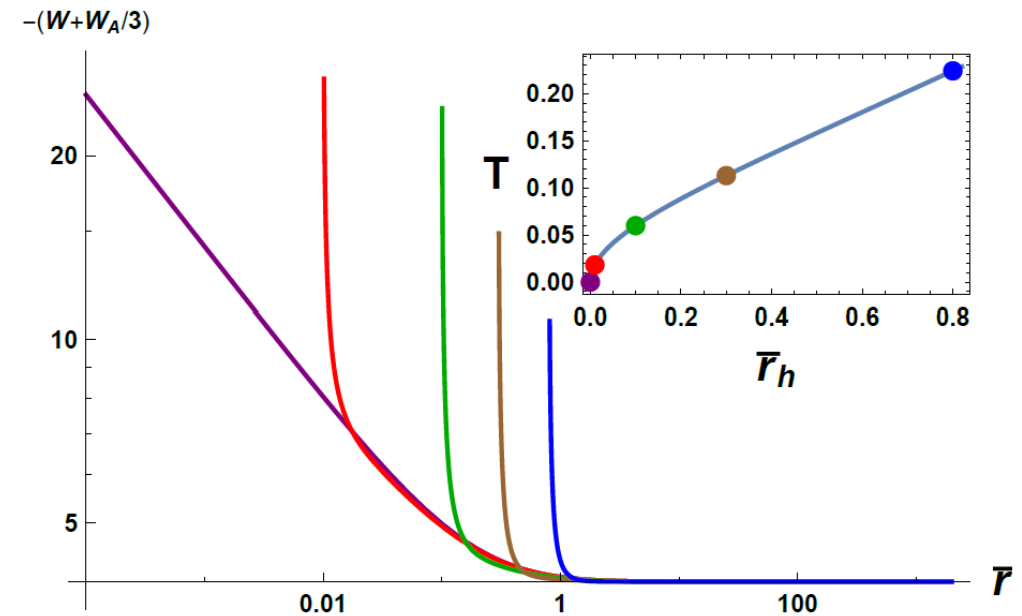
Explicit examples of radial flow ($W + W_A/d$)

Maximal gauged SUGRA in 4D, charged black holes in AdS_4 [Cvetič et al, hep-th/9903214]

3-charge BH



Here W is not monotonic at small T or small r_h (only one branch).
 $W + W_A/3$ is always monotonic



Examples in 5D (STU model)

5D BH solutions to STU Model in maximal gauged SUGRA (two equal charges)

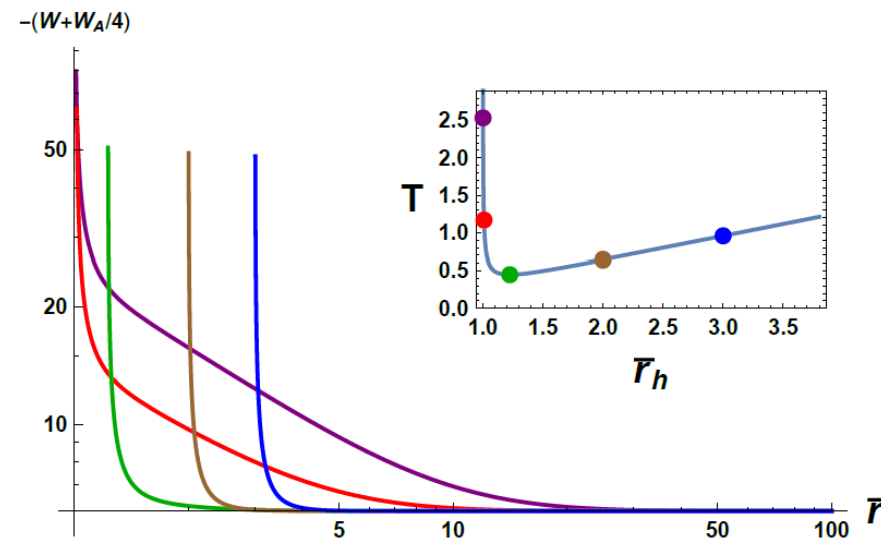
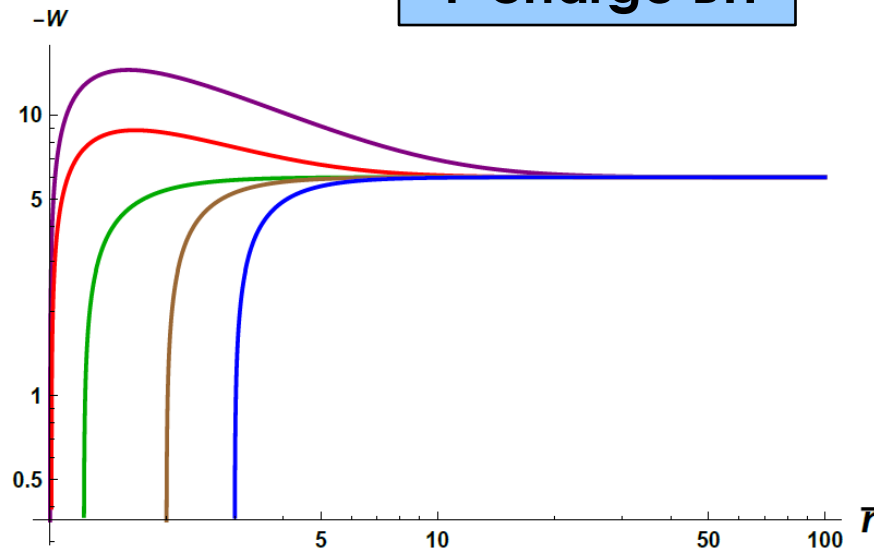
[DeWolfe,Gubser,Rosen 1207.3352]

$$V(\phi) = -\frac{8}{L^2}e^{\phi/\sqrt{6}} - \frac{4}{L^2}e^{-2\phi/\sqrt{6}}$$

$$Z(\phi) = e^{-4\phi/\sqrt{6}} \quad \text{one-charge case,} \quad Z(\phi) = e^{2\phi/\sqrt{6}} \quad \text{two-charge case}$$

$W + \frac{W_A}{4}$ monotonic
for all cases
(W isn't for small BH
branch)

1-charge BH



Examples in 5D (STU model)

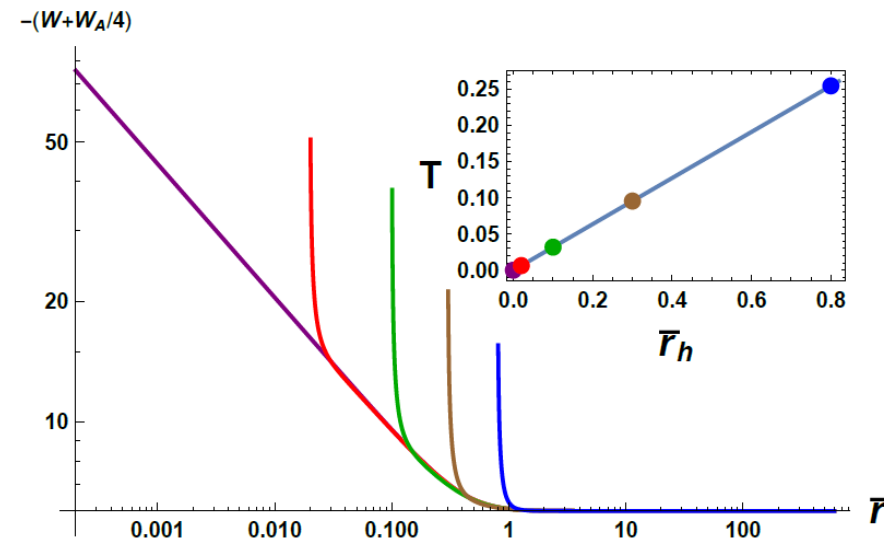
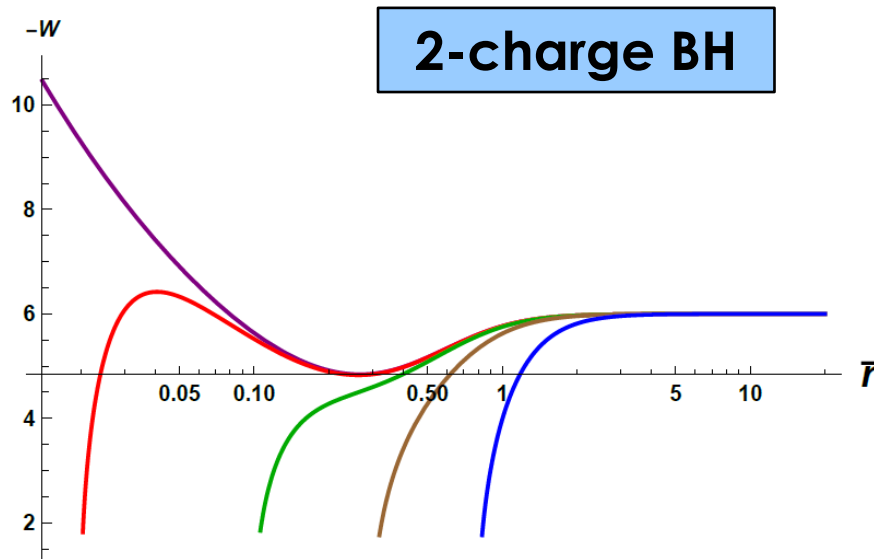
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$W + \frac{W_A}{4}$ monotonic
for all cases
(W only at high T)



Any generic statement on the breakdown of monotonicity?

As a first step, we look at UV expansion.
Near AdS boundary $\longrightarrow$

Assume no source for the scalar:

$$\frac{d}{dr} \left(W + \frac{1}{d} W_A \right) = w_M M^2 e^{-2dr/L} + w_v \phi_v^2 e^{-2\Delta_+ r/L} - w_\rho \rho^2 e^{-2(d-1)r/L} + \dots$$

Term becomes negative when $\Delta_+ > d - 1$ or $m^2 L^2 > 1 - d$
(with source, leading contributions are positive, so UV analysis doesn't help)

To say more, must look at entire flow

$$Z(\phi) = 1 + \alpha\phi + \dots, \quad V(\phi) = -\frac{d(d-1)}{L^2} + \frac{1}{2}m^2\phi^2 + \dots$$

$$\phi(r) = \phi_s e^{-\Delta_- r/L} + \dots + \phi_v e^{-\Delta_+ r/L} + \dots,$$

$$A_t(r) = \mu - \frac{2\kappa^2 L \rho}{d-2} e^{-(d-2)r/L} + \dots,$$

$$f(r) = 1 - M e^{-dr/L} + \dots,$$

$$A(r) = \frac{r}{L} + \dots,$$

$$\Delta_{\pm} = (d \pm \sqrt{d^2 + 4m^2 L^2})/2$$

Can violate
monotonically
increasing flow when

$$\phi_s = 0, \quad m^2 L^2 > 1 - d$$

To conclude

We have identified **a few generic features and several quantities that are monotonic under RG flow** (stronger constraints for Einstein-scalar theories)

- ▶ What is their physical interpretation and fundamental origin?
- ▶ How do we connect them to possible generalized c-functions?
- ▶ Can we interpret these results using properties of entanglement?
- ▶ Can we extend this analysis to geometries that break more symmetries?
- ▶ Is our combination of superpotentials monotonic for boomerang RG flows?
- ▶ ...

Many open questions, but it's a good sign that even without Lorentz invariance, some of the intuition of the relativistic case is present and results can be generalized.

A sign of a deeper structure?

Thank you