



EFT at FASER_ν : An experiment to probe them all

HiDDeN Webinar Series

11 January 2022

Zahra Tabrizi

Neutrino Theory Network (NTN) fellow

Northwestern University



Based on:

“EFT at FASERv”

A. Falkowski, M. Gonzalez-Alonso, J. Kopp, Y. Soreq, Z. Tabrizi,
JHEP 10 (2021), 086 [arXiv: 2105.12136 [hep-ph]]

“Consistent QFT description of non-standard neutrino interactions”

A. Falkowski, M. González-Alonso and Z. Tabrizi,
JHEP 11 (2020), 048 [arXiv:1910.02971 [hep-ph]]

“Reactor neutrino oscillations as constraints on Effective Field Theory”

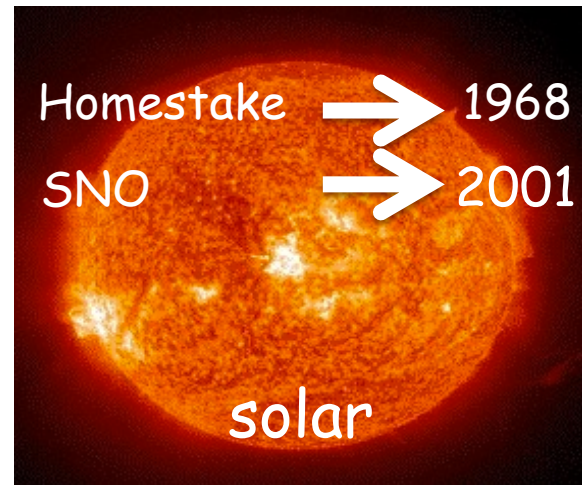
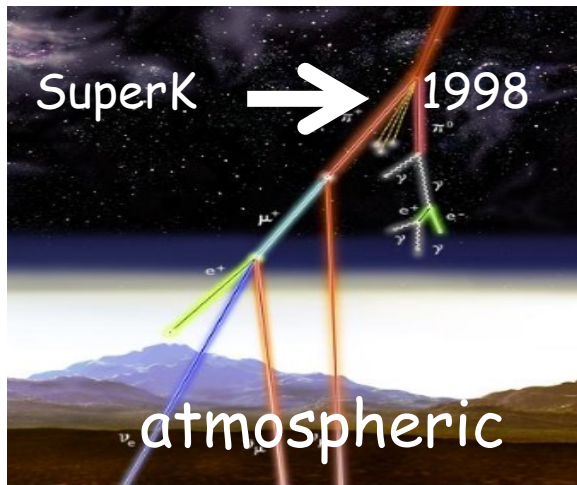
A. Falkowski, M. González-Alonso and Z. Tabrizi,
JHEP 1905, 173 (2019) [arXiv:1901.04553 [hep-ph]]

Outline

- Why EFT?
- EFT ladder
- EFT at neutrino experiments
 - FASER ν
- Non-Oscillation experiments
- Conclusion

Neutrinos are massless in the SM!

However in nature.....



Neutrino oscillation needs masses and mixing!



The mass and flavor eigenstates do not coincide



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

↓

The coefficient of the linear combination of neutrino mass eigenstates that couple to each flavor eigenstate!

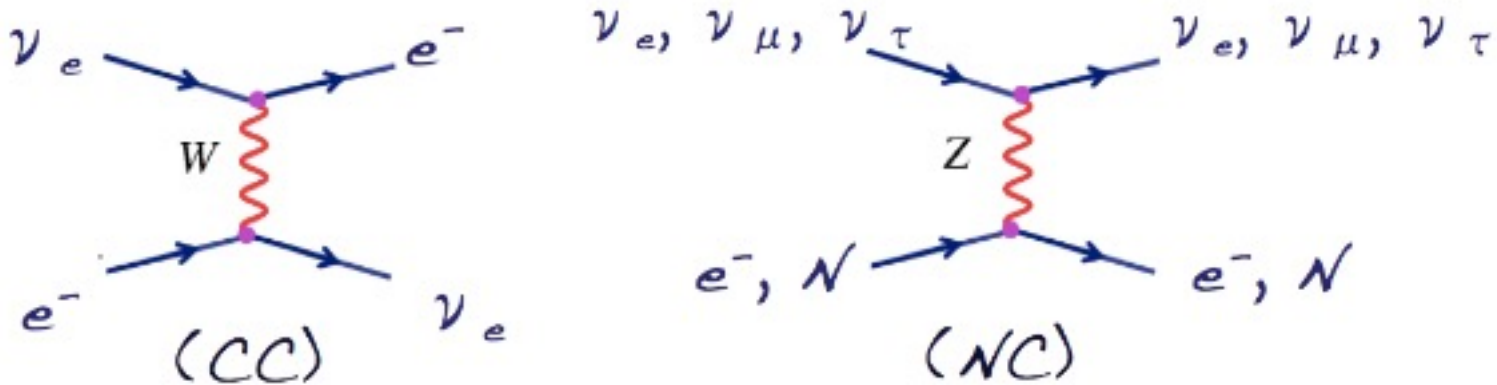
Oscillation probability in vacuum:

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \delta_{\alpha\beta} - 4 \sum_{k>j} \Re[U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin^2 \left(\frac{\Delta m_{kj}^2 L}{4E} \right) + 2 \sum_{k>j} \Im[U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^*] \sin \left(\frac{\Delta m_{kj}^2 L}{2E} \right)$$

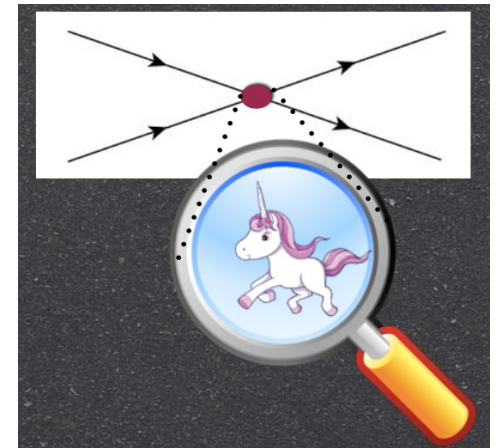
Oscillation experiments are sensitive not only to neutrino masses and mixing, but also to how neutrinos interact with matter.

- Coherent CC and NC forward scattering of neutrinos



New effective 4-fermion interactions between leptons and quarks may give observable effects in neutrino production, propagation, and detection.

How to use EFT language to “systematically” explore new physics beyond the neutrino masses and mixing in neutrino experiments?

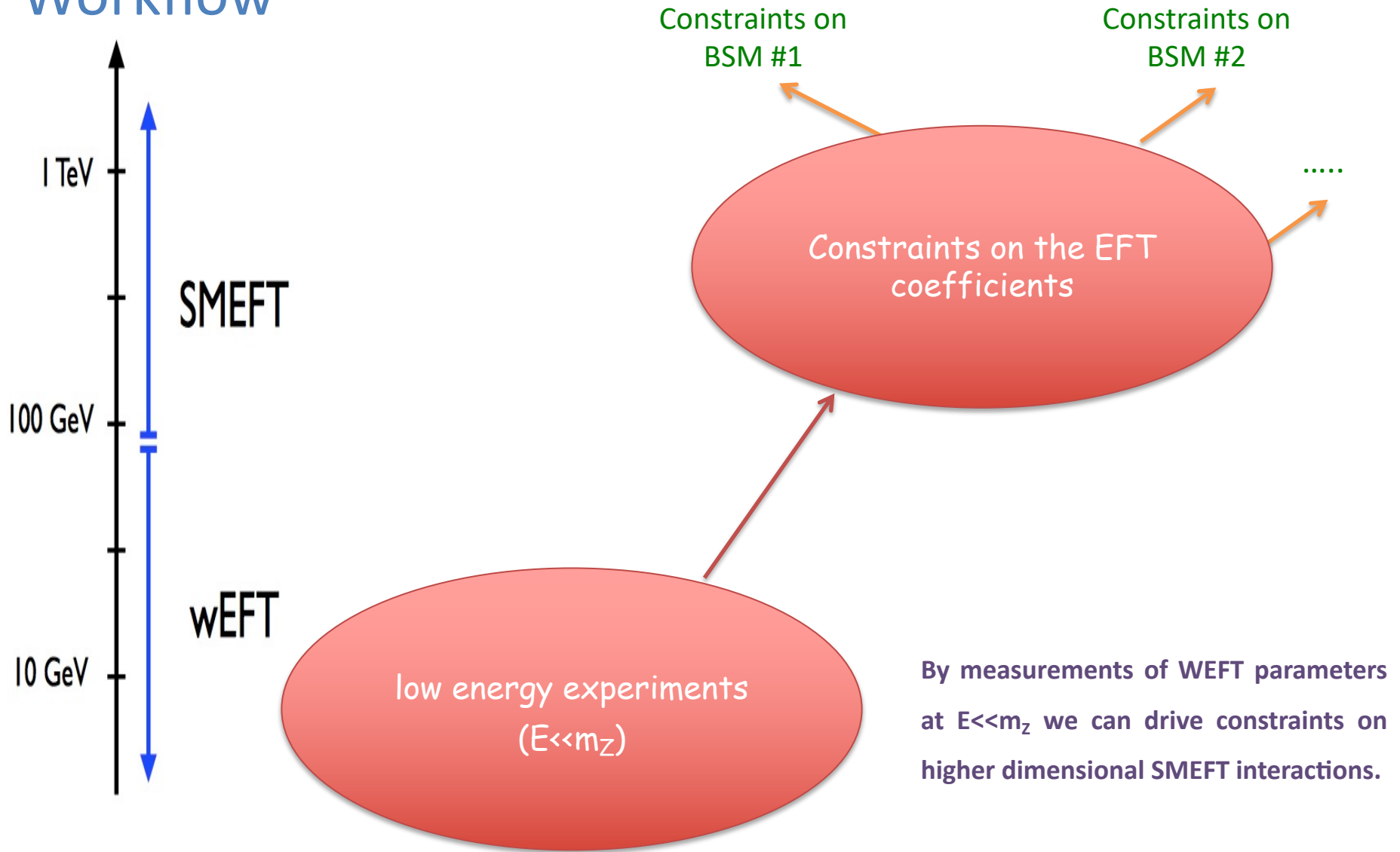


Why EFT?

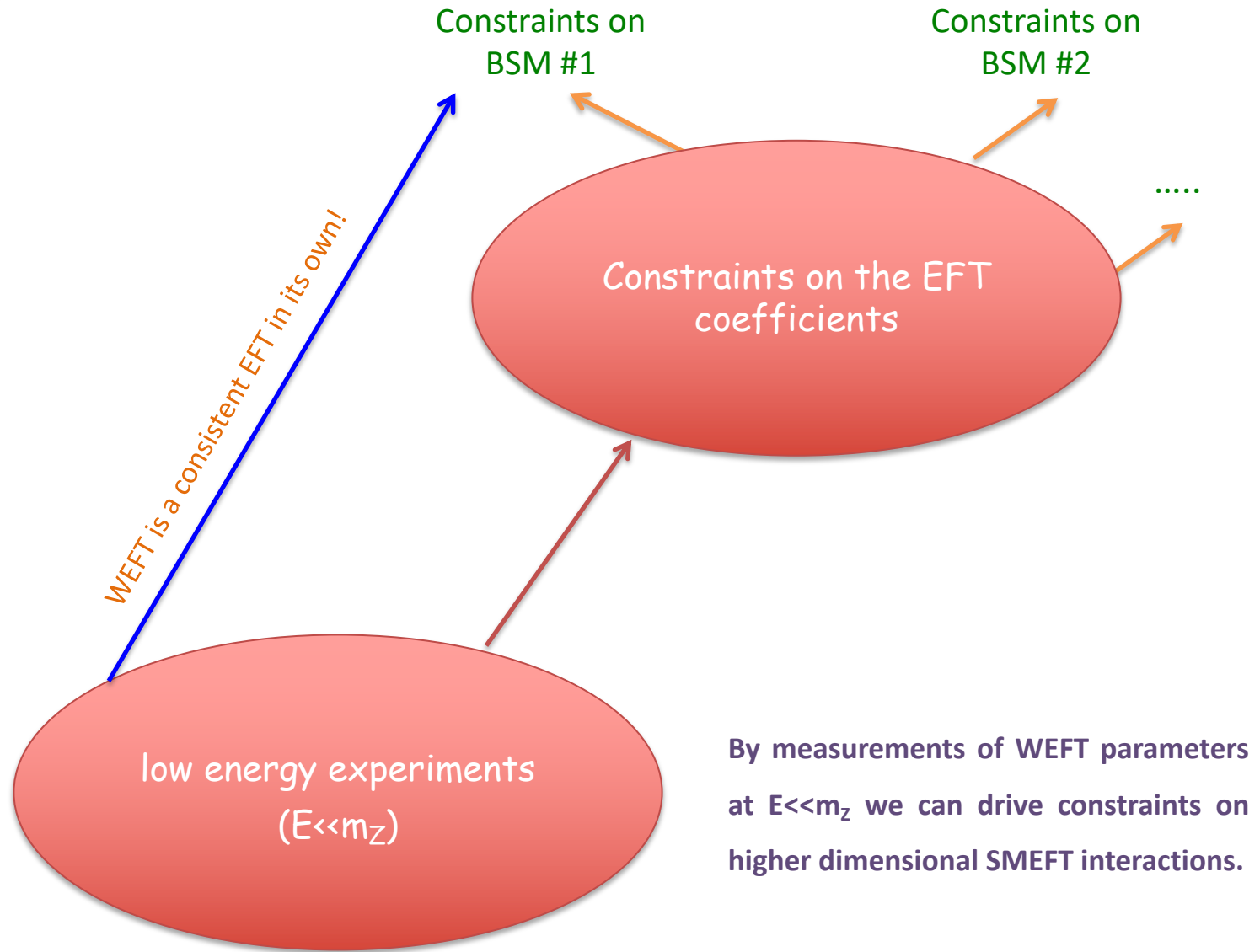
- Wealth of low-energy observables probing different aspects of particle interactions are described within one consistent framework.
- Constraints from different observables can be meaningfully compared.
- Results obtained in the language of EFT can be translated into constraints on particular new physics models.

The point is that one can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables.

Workflow



Workflow



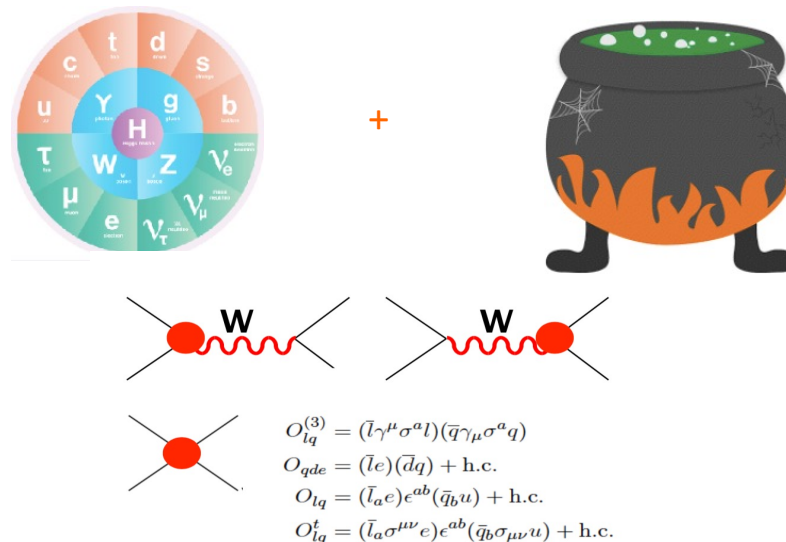
Approach:

$$E > m_Z$$

- If BSM particles are much heavier than the Z boson mass and the EWSB is linearly realized, then the relevant effective theory above the weak scale is the so-called SMEFT.
- It has the same particle content and local symmetry as the SM, but differs by the presence of higher-dimensional (non-renormalizable) interactions in the Lagrangian.

$$\mathcal{L}_{\text{SM EFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda_L} \mathcal{L}^{D=5} + \frac{1}{\Lambda^2} \mathcal{L}^{D=6}$$

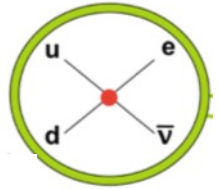
- The SMEFT framework allows one to describe effects of new physics beyond the SM in a model independent way



Approach:

$$E \ll m_Z$$

- In particular, considering the CC interactions of neutrinos.
- At this scale heavy particles such as W and Z bosons, Higgs and top can be integrated out from the SMEFT, leading to Weak EFT (WEFT).

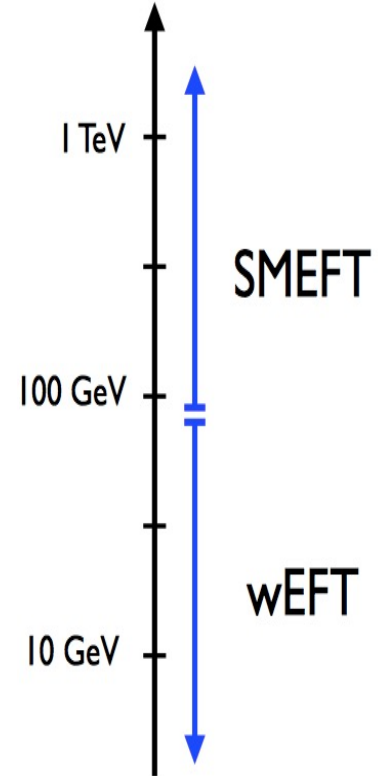


$$\begin{aligned} \mathcal{L}_{\text{WEFT}} \supset & -\frac{2V_{ud}}{v^2} \left\{ [1 + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\ & + \epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ & + \frac{1}{2} [\epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} [\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\ & \left. + \frac{1}{4} [\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\} \end{aligned}$$

- Apart from the SM-like V-A interactions ($1+\epsilon_L$), right-handed (ϵ_R), scalar (ϵ_S), pseudoscalar (ϵ_P), and tensor (ϵ_T) interactions are allowed.

Matching WEFT and SMEFT parameters:

$$\begin{aligned}
 [\epsilon_L]_{\alpha\beta} &\approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{Hl}^{(3)}]_{\alpha\beta} + V_{jd} [c_{Hq}^{(3)}]_{1j} \delta_{\alpha\beta} - V_{jd} [c_{lq}^{(3)}]_{\alpha\beta 1j} \right) \\
 [\epsilon_R]_{\alpha\beta} &\approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11} \delta_{\alpha\beta}, \\
 [\epsilon_S]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* + [c_{ledq}]_{\beta\alpha 11}^* \right), \\
 [\epsilon_P]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* - [c_{ledq}]_{\beta\alpha 11}^* \right), \\
 [\hat{\epsilon}_T]_{\alpha\beta} &\approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}^{(3)}]_{\beta\alpha j1}^*,
 \end{aligned}$$

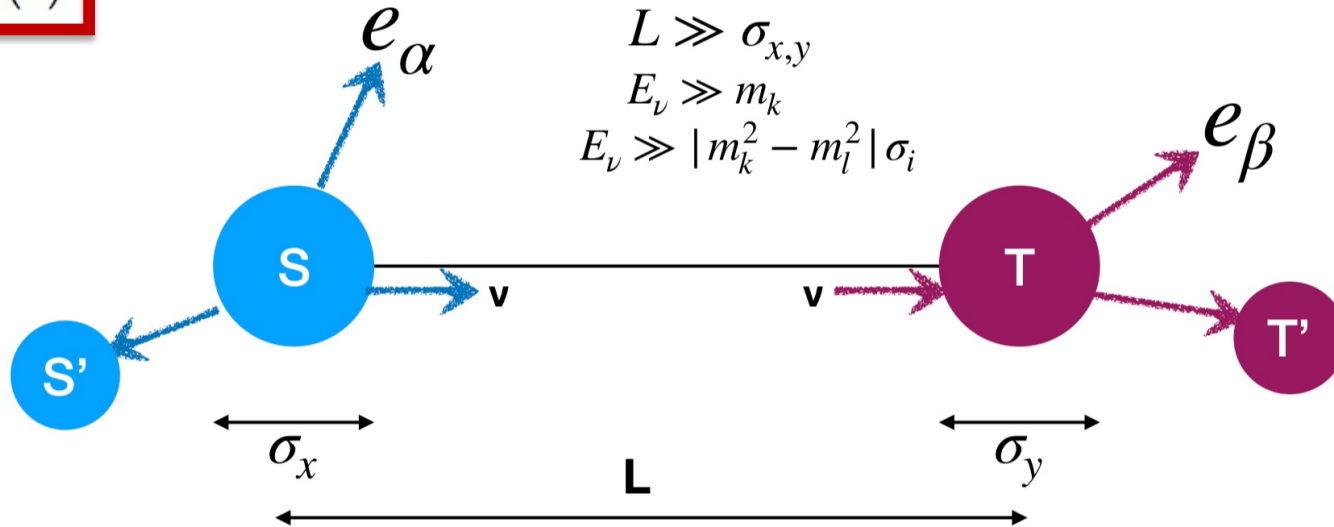


- All ϵ_x arise at $O(\Lambda^{-2})$ in the SMEFT, thus they are equally important.
- No off-diagonal right handed interactions in SMEFT.

A. Falkowski, M. González-Alonso, ZT
JHEP 05 (2019) 173

QFT Description

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971



$$\begin{aligned}
 & -\frac{2V_{ud}}{v^2} \left[\left[1 + \epsilon_L \right]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_L \gamma^\mu d_L \right. \\
 & + \left[\epsilon_R \right]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_R \gamma^\mu d_R \\
 & + \frac{1}{2} \bar{e}_\alpha P_L \nu_\beta \cdot \bar{u} \left[\epsilon_S - \epsilon_P \gamma_5 \right]_{\alpha\beta} d \\
 & \left. + \frac{1}{4} \left[\epsilon_T \right]_{\alpha\beta} \bar{e}_\alpha \sigma_{\mu\nu} P_L \nu_\beta \cdot \bar{u}_R \sigma^{\mu\nu} d_L \right] + \text{h.c.}
 \end{aligned}$$



$$\begin{aligned}
 \mathcal{M}_{\alpha k}^P &= U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P \\
 \mathcal{M}_{\beta k}^D &= U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D
 \end{aligned}$$

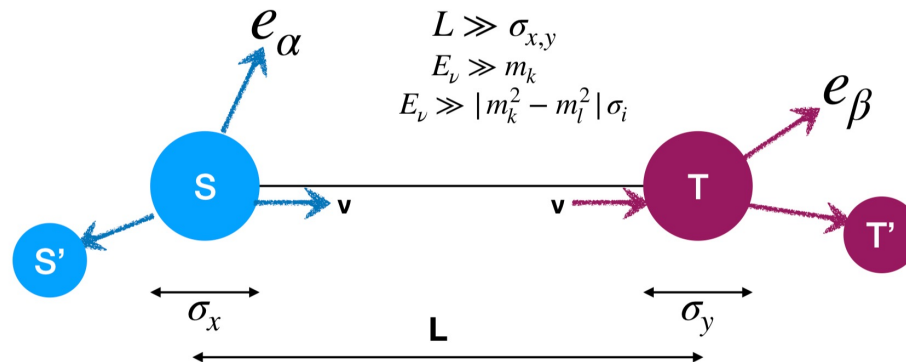
depends on the kinematic and spin variables

QFT Description

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971

Observable: rate of detected events

$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$



EFT at Oscillation Experiments:

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971, JHEP (2020)...

SM

$$R_{\alpha\beta}^{\text{SM}} = \Phi_{\alpha}^{\text{SM}} \sigma_{\beta}^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_{\nu}}} U_{\alpha k}^* U_{\alpha l} U_{\beta k} U_{\beta l}^*$$

$$U_{\text{PMNS}} \parallel \begin{array}{c} \nu_e \\ \nu_{\mu} \\ \nu_{\tau} \end{array} \begin{bmatrix} \text{blue} & \text{red} & \text{small red} \\ \text{red} & \text{red} & \text{purple} \\ \text{red} & \text{red} & \text{purple} \end{bmatrix} \begin{array}{c} \nu_1 \\ \nu_2 \\ \nu_3 \end{array}$$

EFT at Oscillation Experiments:

A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971, JHEP (2020)...

$$R_{\alpha\beta} = \Phi_{\alpha}^{\text{SM}} \sigma_{\beta}^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_{\nu}}}$$

$$\begin{aligned} & \times [U_{\alpha k}^* U_{\alpha l} + p_{XL} (\epsilon_X U)_{\alpha k}^* U_{\alpha l} + p_{XL}^* U_{\alpha k}^* (\epsilon_X U)_{\alpha l} + p_{XY} (\epsilon_X U)_{\alpha k}^* (\epsilon_Y U)_{\alpha l}] \\ & \times [U_{\beta k} U_{\beta l}^* + d_{XL} (\epsilon_X U)_{\beta k} U_{\beta l}^* + d_{XL}^* U_{\beta k} (\epsilon_X U)_{\beta l}^* + d_{XY} (\epsilon_X U)_{\beta k} (\epsilon_Y U)_{\beta l}^*] \end{aligned}$$

Production and detection coefficients, depend on amplitudes

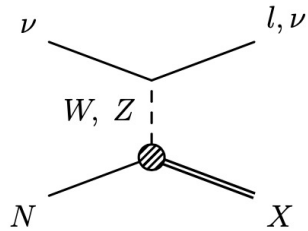
One needs to calculate these coefficients for different production and detection processes.

$$U_{\text{PMNS}} \equiv \begin{bmatrix} \nu_e & \nu_{\mu} & \nu_{\tau} \\ \nu_1 & \nu_2 & \nu_3 \end{bmatrix}$$

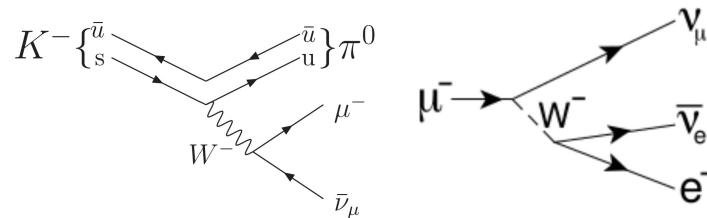
$$\begin{aligned} \mathcal{M}_{\alpha k}^P &= U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P \\ \mathcal{M}_{\beta k}^D &= U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D \end{aligned}$$

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}.$$

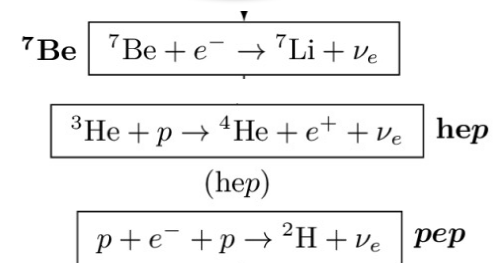
DIS: FASERv



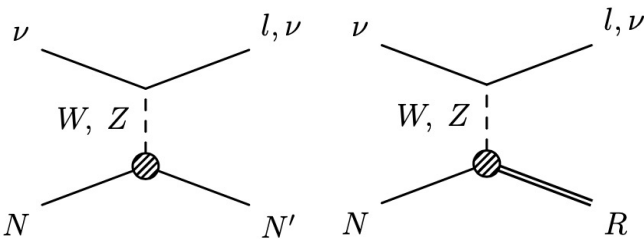
Kaon/Muon decay:
ISODAR, KDAR



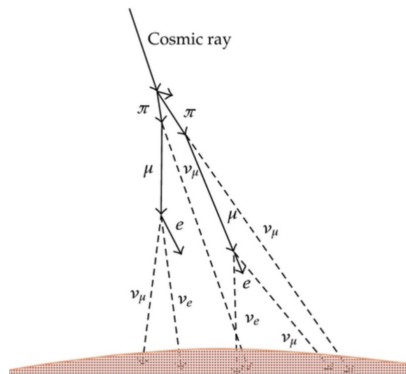
Solar neutrinos:
Borexino



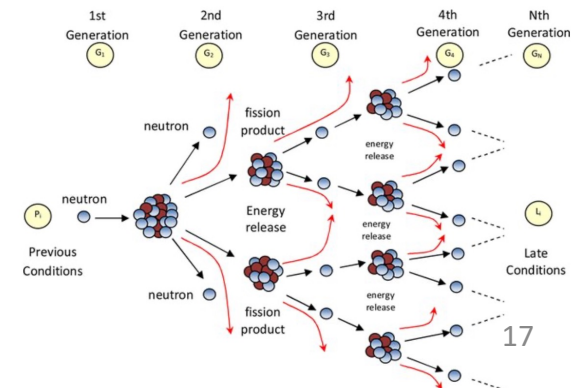
QE,
Resonances:
MINOS, NOvA,
DUNE



Atmospheric
Neutrinos:
IceCube

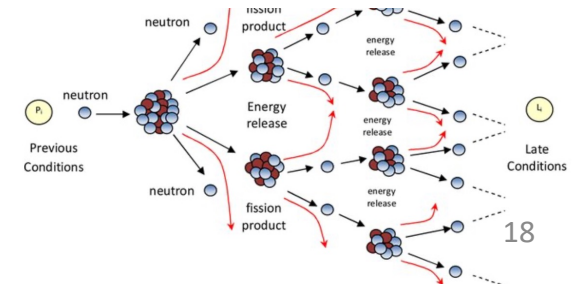
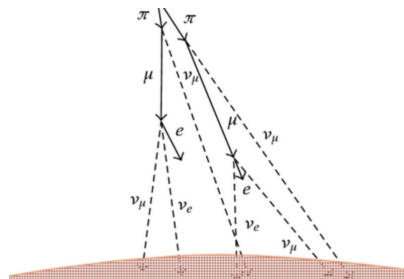
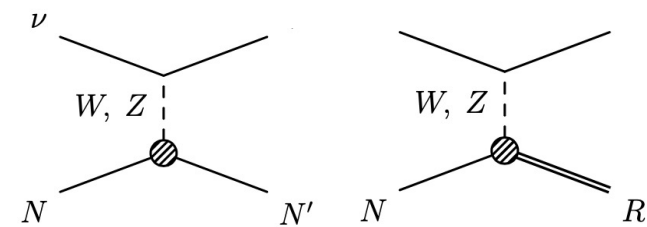


Beta decay and
IBD: Reactor
Experiments



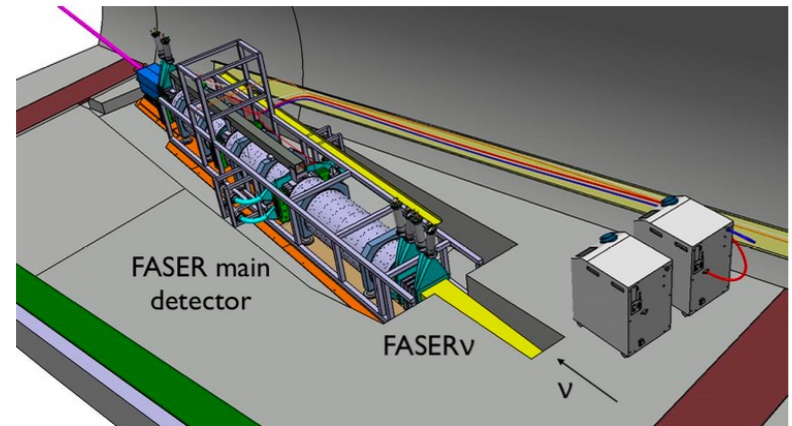


Well...

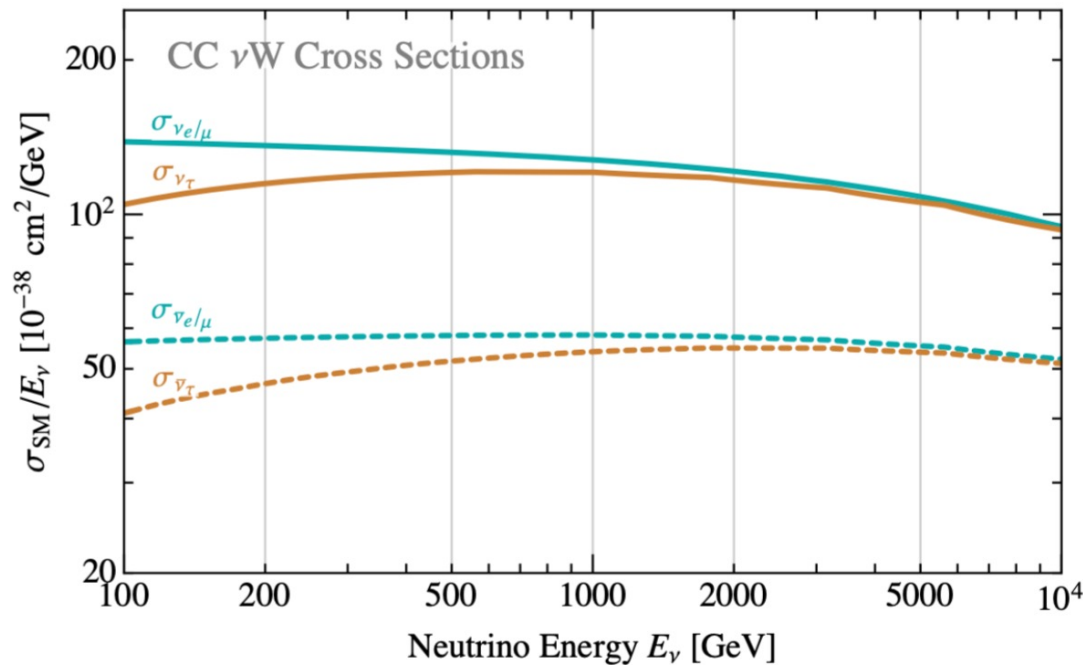


EFT at FASERv

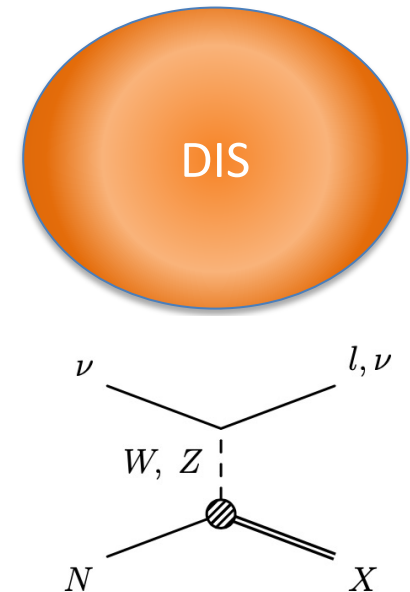
- will be located downstream of the ATLAS interaction point at a distance of 480 m.
- Ideal for detecting high-energy neutrinos produced at LHC.
- 1.2-t of tungsten material.

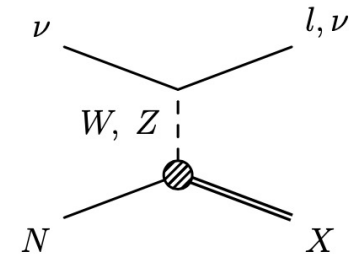
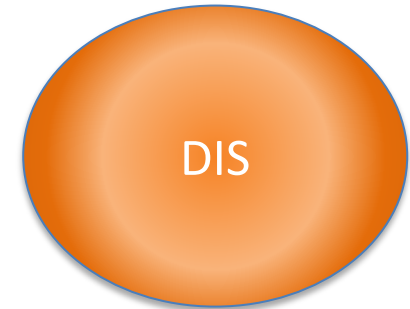
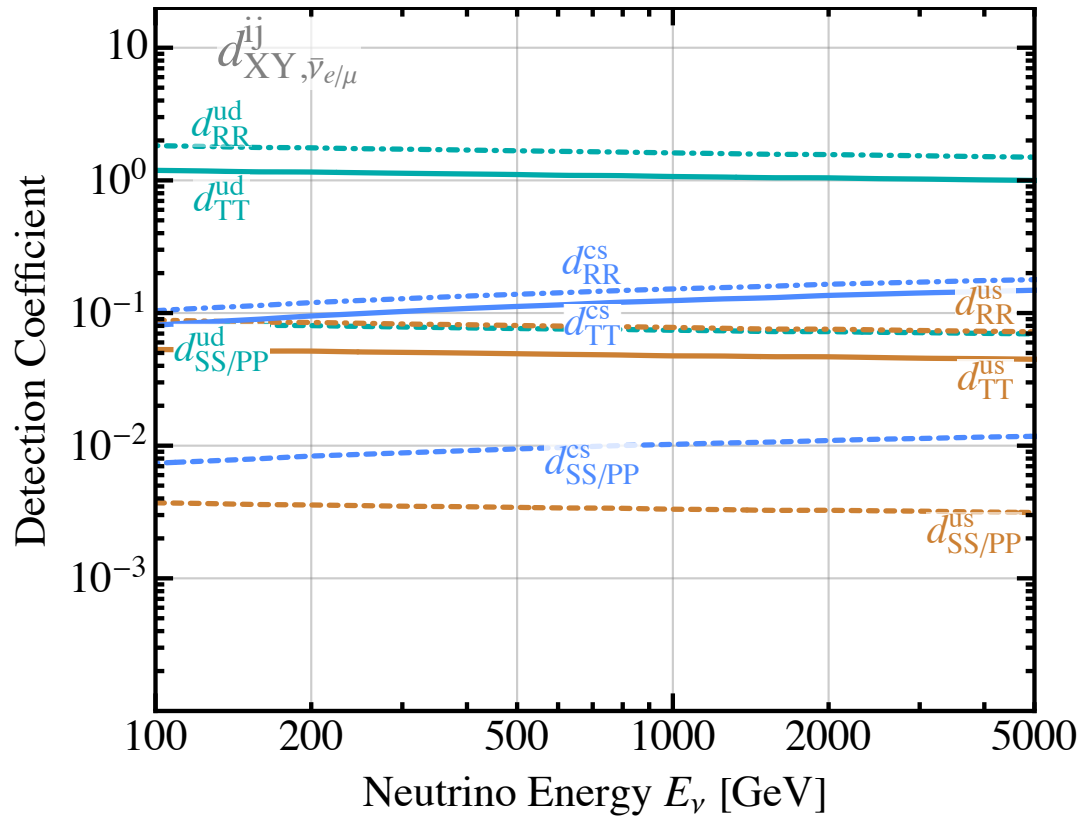


Why FASERv?



DIS detection, easy to include NP
 (compared with QE and Resonances)

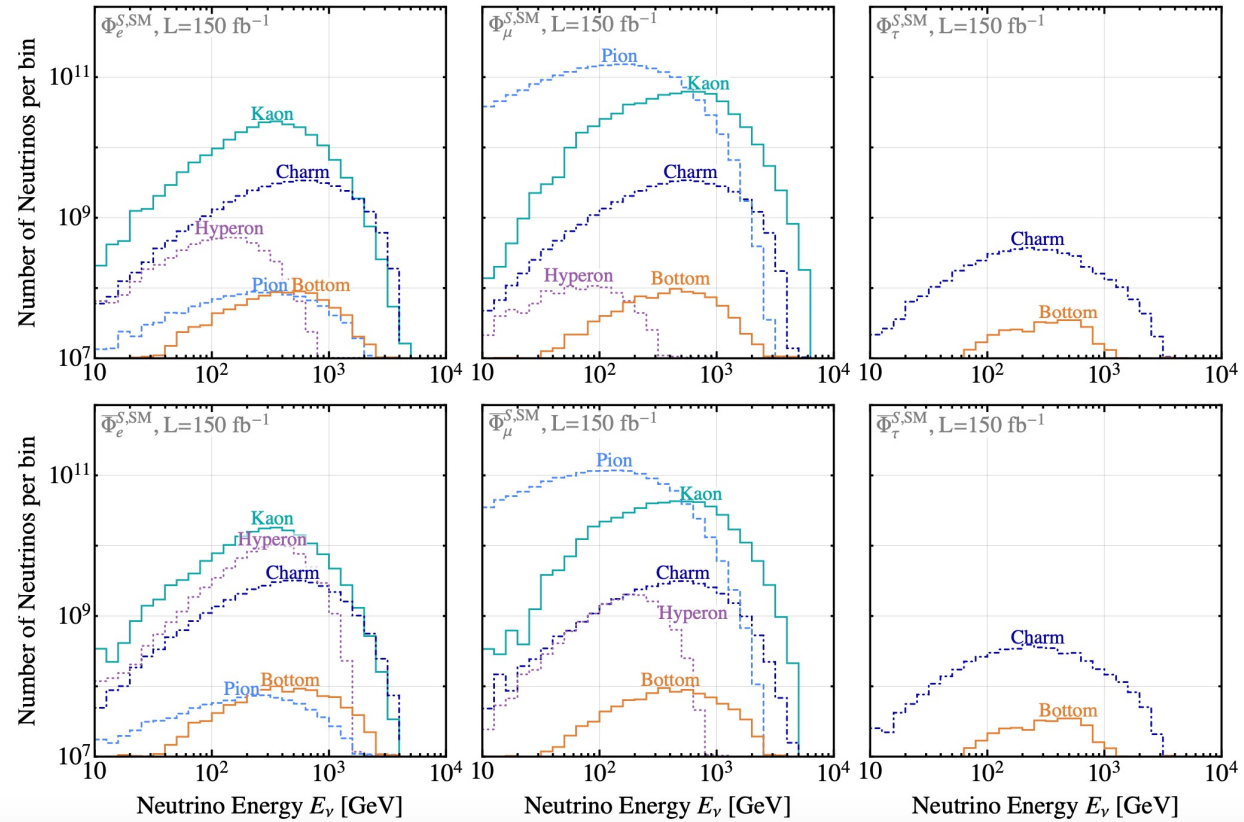




- No new physics at the linear order!
- Good sensitivity to the right handed and tensor interactions.

Why FASERv?

- Several production modes
- Pion and Kaon decays are the dominant ones
- All (anti)neutrino flavors are available



Generators		FASER ν		
light hadrons	heavy hadrons	$\nu_e + \bar{\nu}_e$	$\nu_\mu + \bar{\nu}_\mu$	$\nu_\tau + \bar{\nu}_\tau$
SIBYLL	SIBYLL	1343	6072	21.2
DPMJET	DPMJET	4614	9198	131
EPOS LHC	Pythia8 (Hard)	2109	7763	48.9
QGSJET	Pythia8 (Soft)	1437	7162	24.5

Pion decay

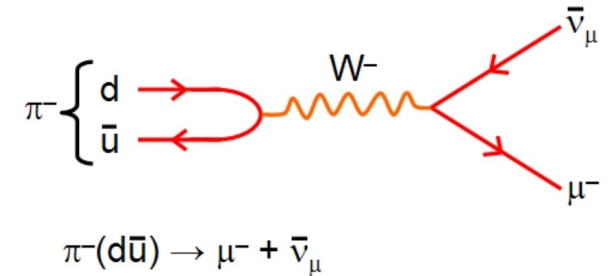
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, *JHEP* 10 (2021) 086

Due to the pseudoscalar nature of the pion, it is sensitive only to axial (ϵ_L - ϵ_R) and pseudo-scalar (ϵ_P) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~-27



- Larger $P_{XY} \Rightarrow$ smaller ϵ !

$$R_{\alpha\beta} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} \times [U_{\alpha k}^* U_{\alpha l} + p_{XL} (\epsilon_X U)_{\alpha k}^* U_{\alpha l} + p_{XL}^* U_{\alpha k}^* (\epsilon_X U)_{\alpha l} + p_{XY} (\epsilon_X U)_{\alpha k}^* (\epsilon_Y U)_{\alpha l}] \times [U_{\beta k} U_{\beta l}^* + d_{XL} (\epsilon_X U)_{\beta k} U_{\beta l}^* + d_{XL}^* U_{\beta k} (\epsilon_X U)_{\beta l}^* + d_{XY} (\epsilon_X U)_{\beta k} (\epsilon_Y U)_{\beta l}^*]$$

Pion decay

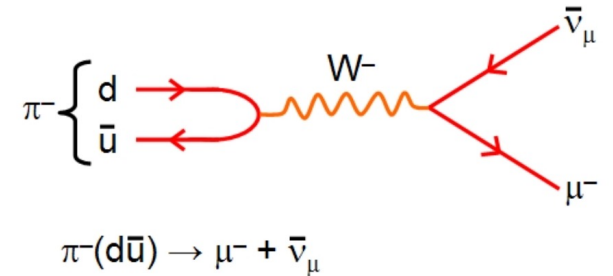
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$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

$\sim 700!$



We will have a great chiral enhancement for the pseudoscalar NP!

kaon decay

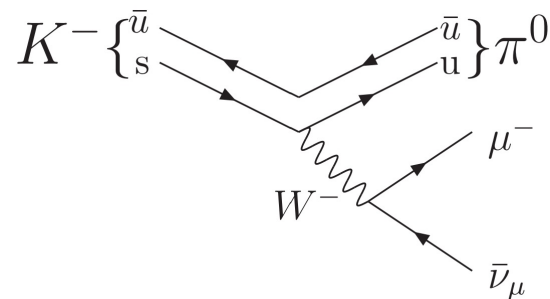
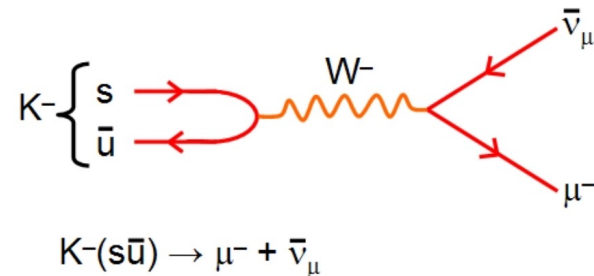
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, *JHEP* 10 (2021) 086

Both 2-body and 3-body kaon decays contribute:

$$p_{XY,\alpha}^{S,jk} \equiv \frac{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_i \beta_i^S(E_S) \int d\Pi_{P'_i} A_{X,\alpha}^{S_i,jk} A_{Y,\alpha}^{S_i,jk*}}{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_{i'j'k'} \beta_{i'}^S(E_S) \int d\Pi_{P'_{i'}} |A_{L,\alpha}^{S_i,j'k'}|^2}$$

Energy distribution of K^\pm , K_L or K_S

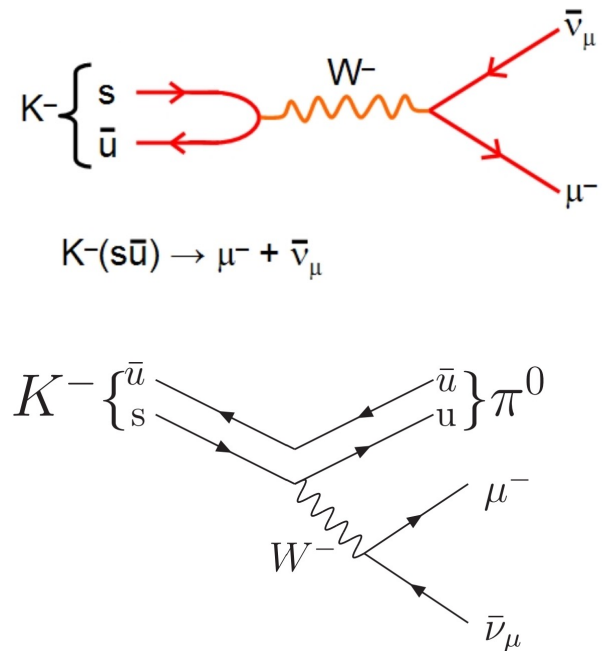
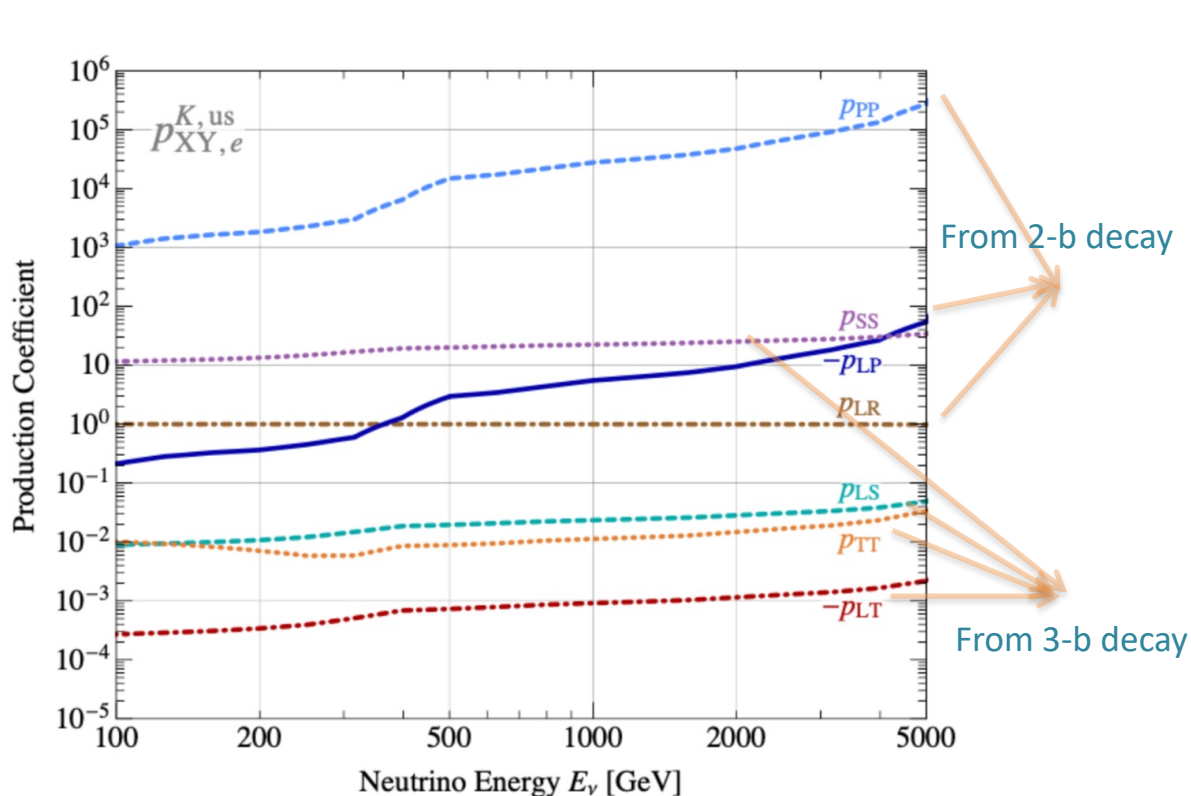
Thanks to Felix Kling



kaon decay

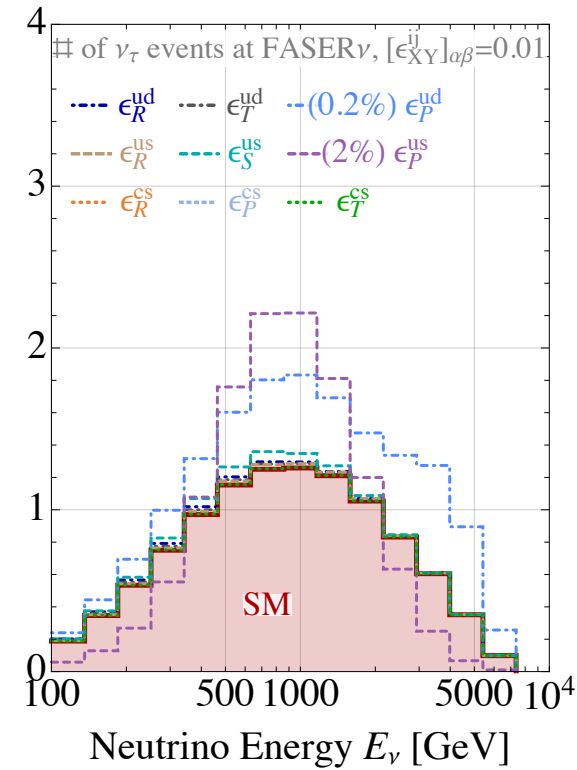
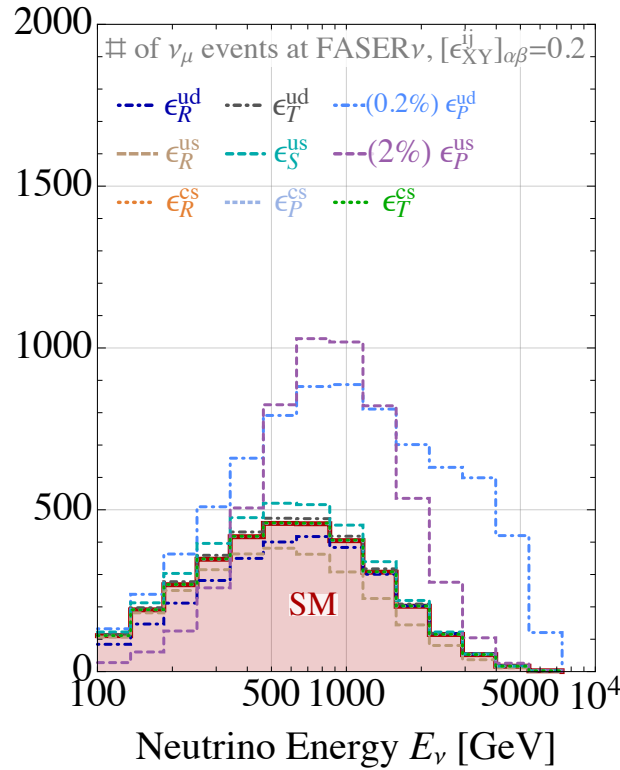
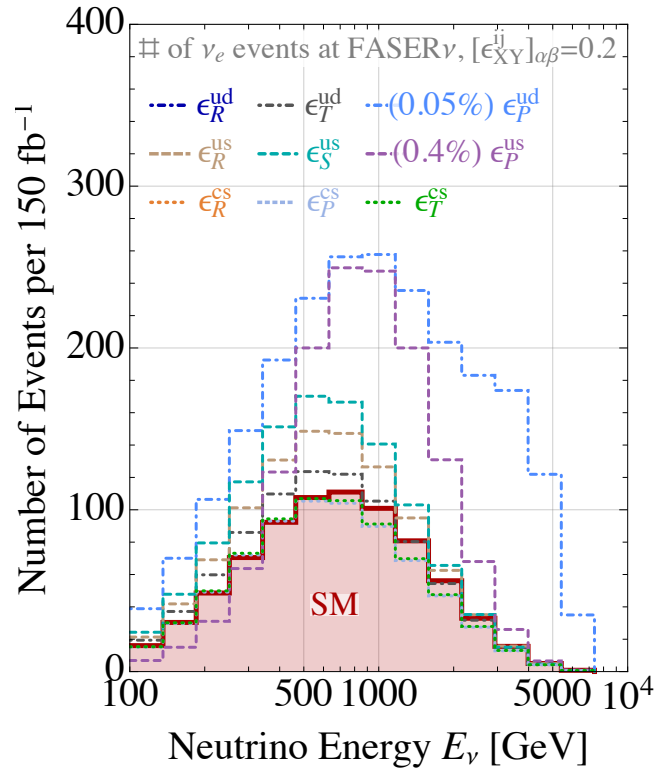
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, *JHEP* 10 (2021) 086

Both 2-body and 3-body kaon decays contribute:



We see ``more'' chiral-enhancement for the decay into electrons!!!

EFT at FASERv



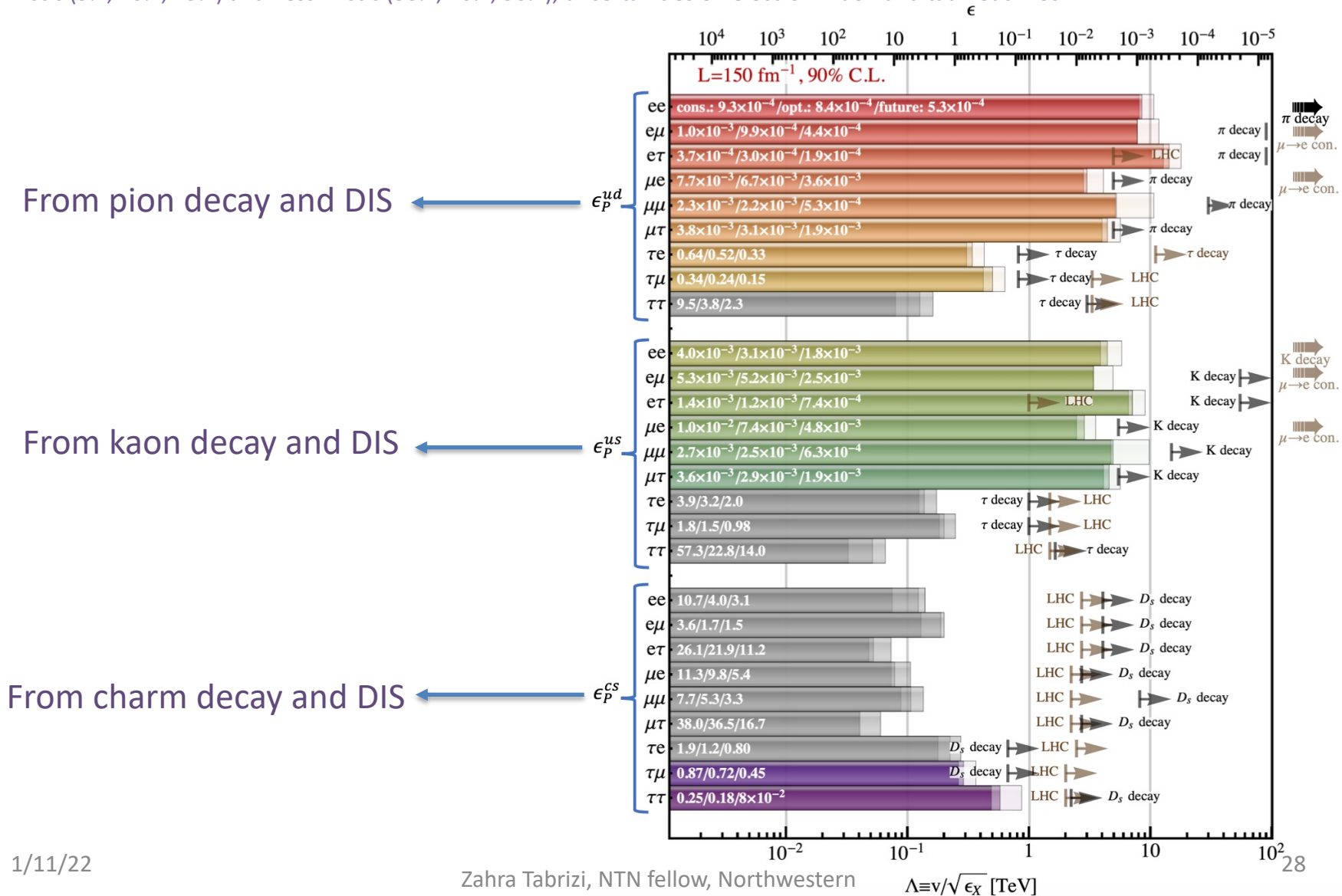
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZJHEP](#) 10 (2021) 086

RESULTS

Turning on one interaction at a time: Pseudo-Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, **ZT**
JHEP 10 (2021) 086

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



RESULTS

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A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

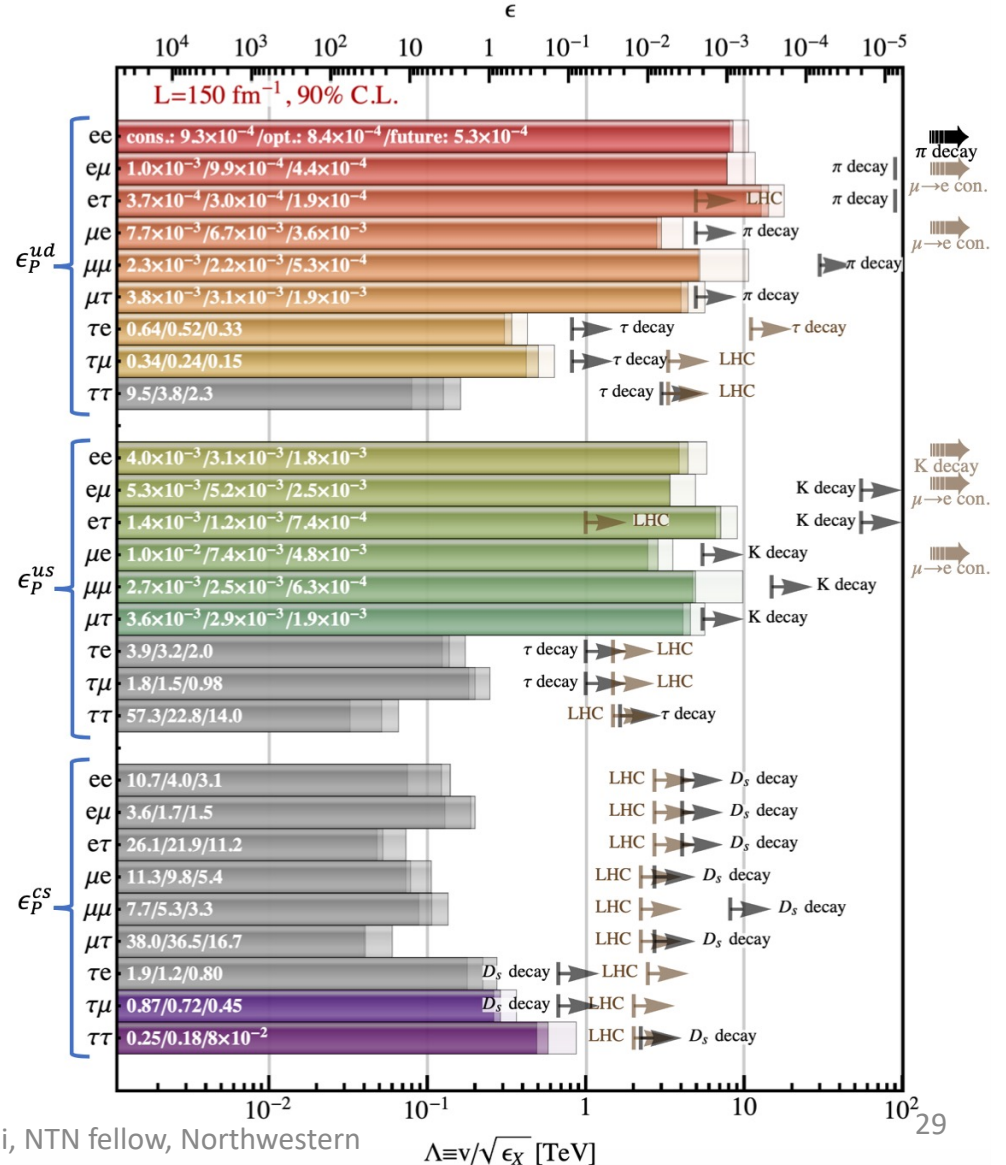
Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

- The rates scale linearly wrt volume:

$$\text{diagonal } \varepsilon \sim (V_2/V_1)^{1/2}$$

$$\text{off-diagonal } \varepsilon \sim (V_2/V_1)^{1/4}$$

- 20 times larger lum. gives ~ 4 (2) times better sensitivity for (off-)diagonal elements

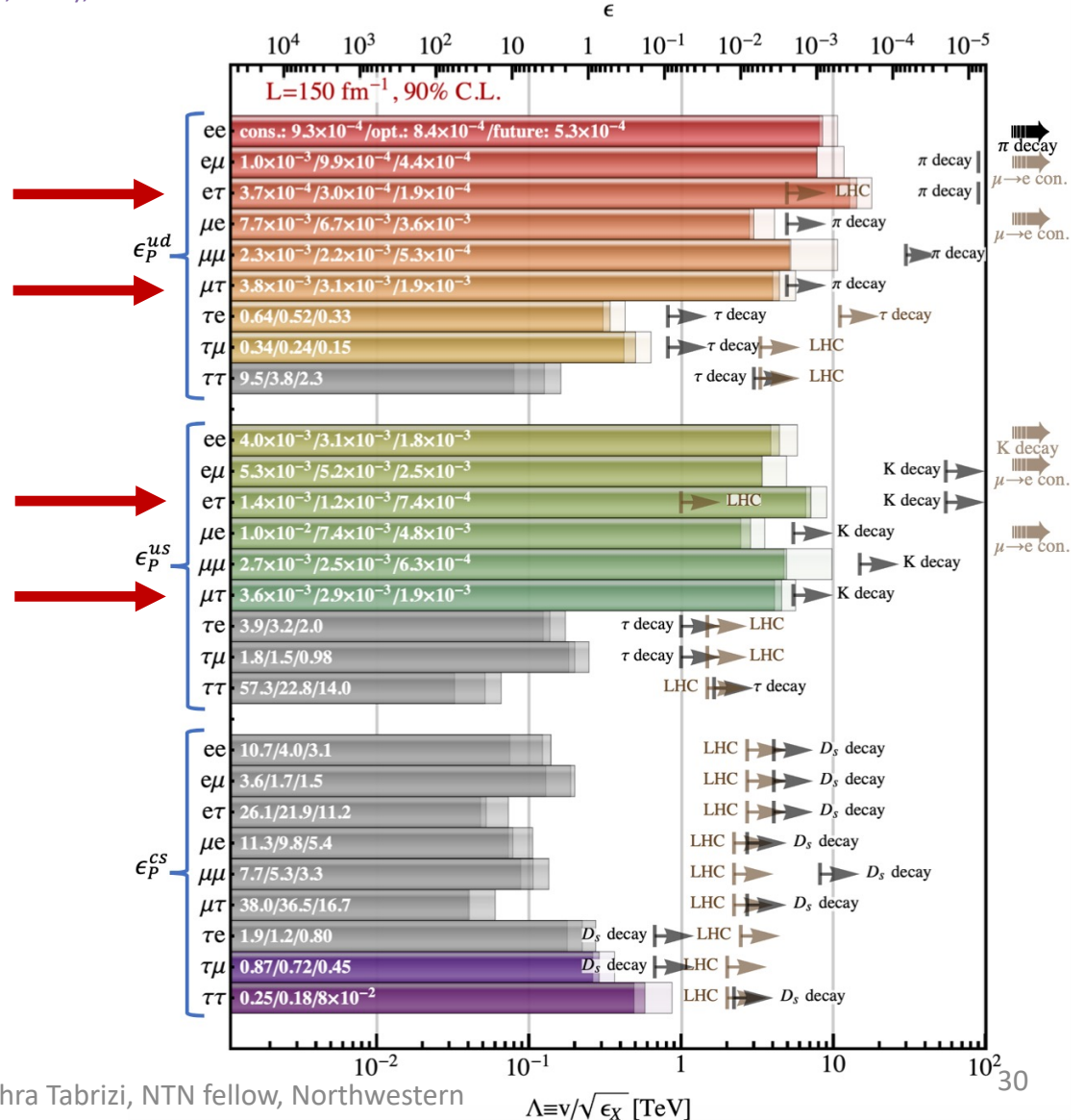


RESULTS

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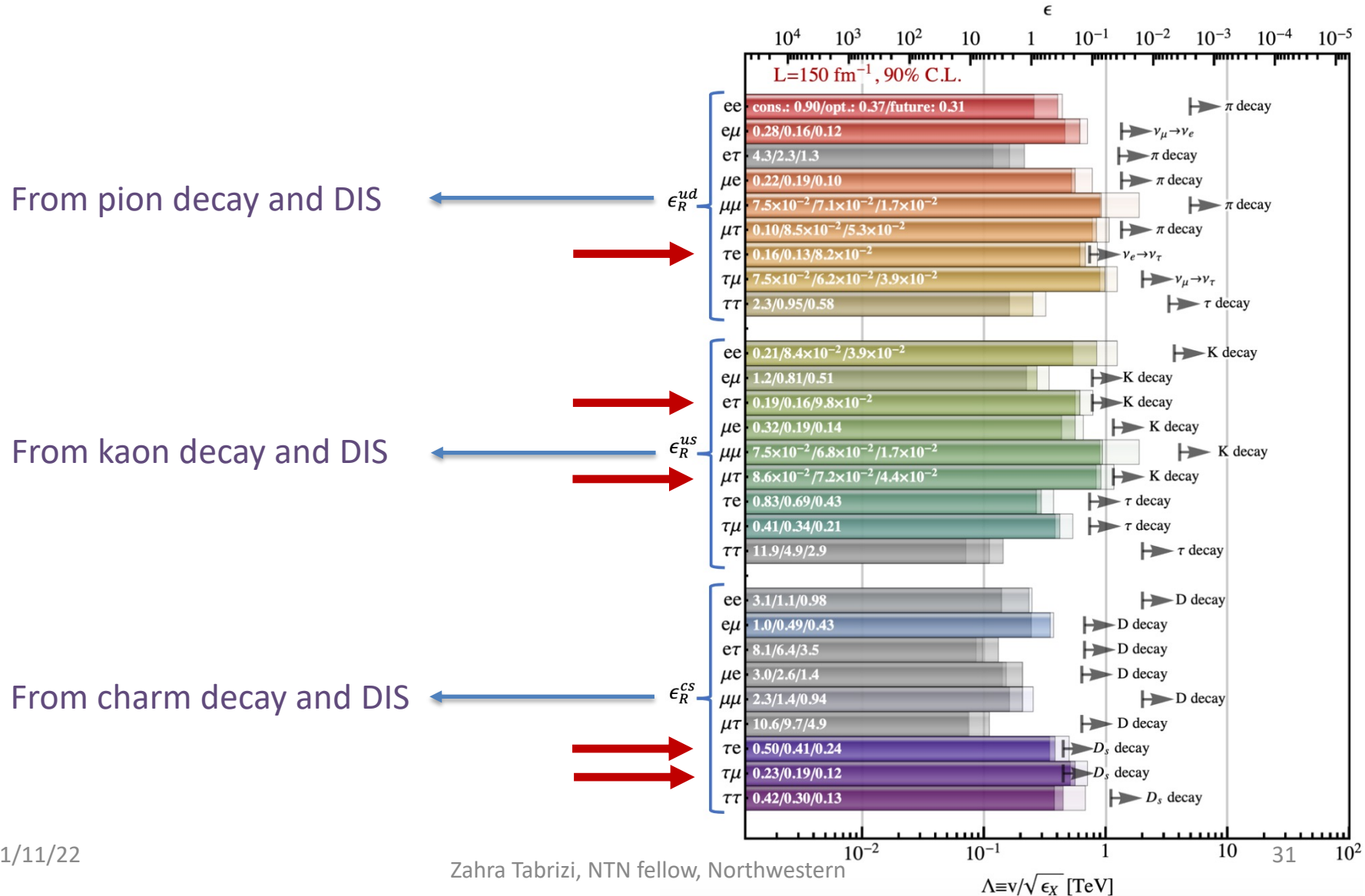


RESULTS

Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

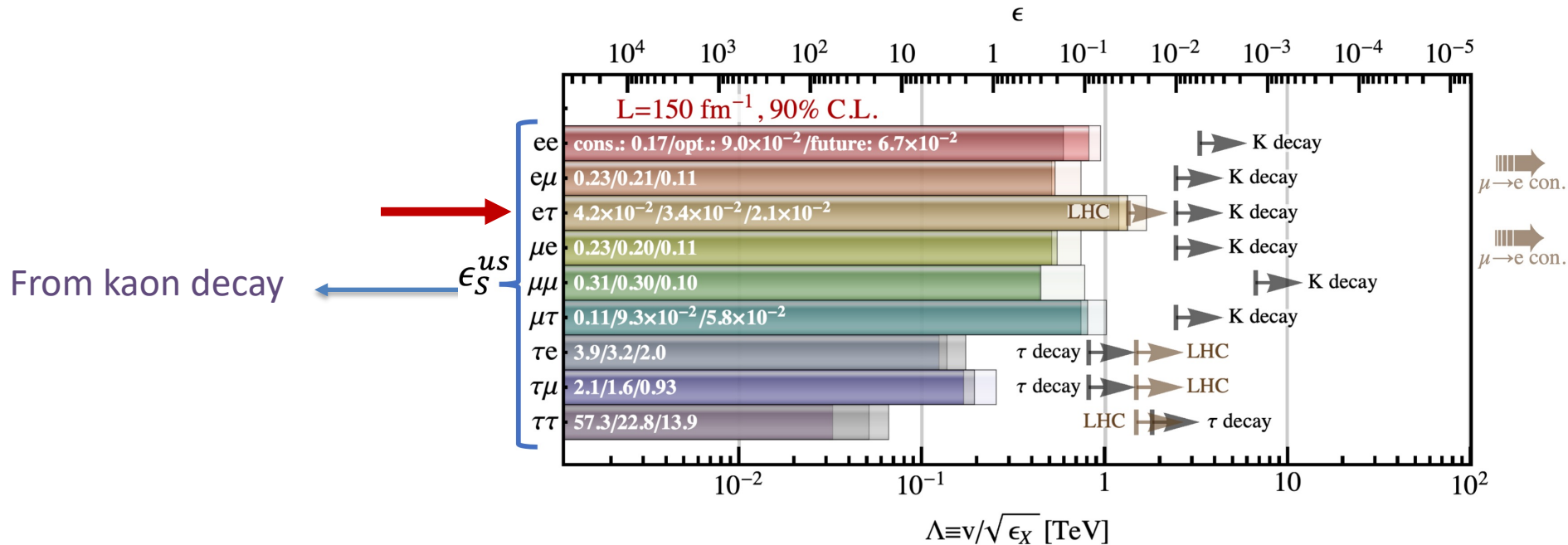


RESULTS

Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JT](#)
JHEP 10 (2021) 086

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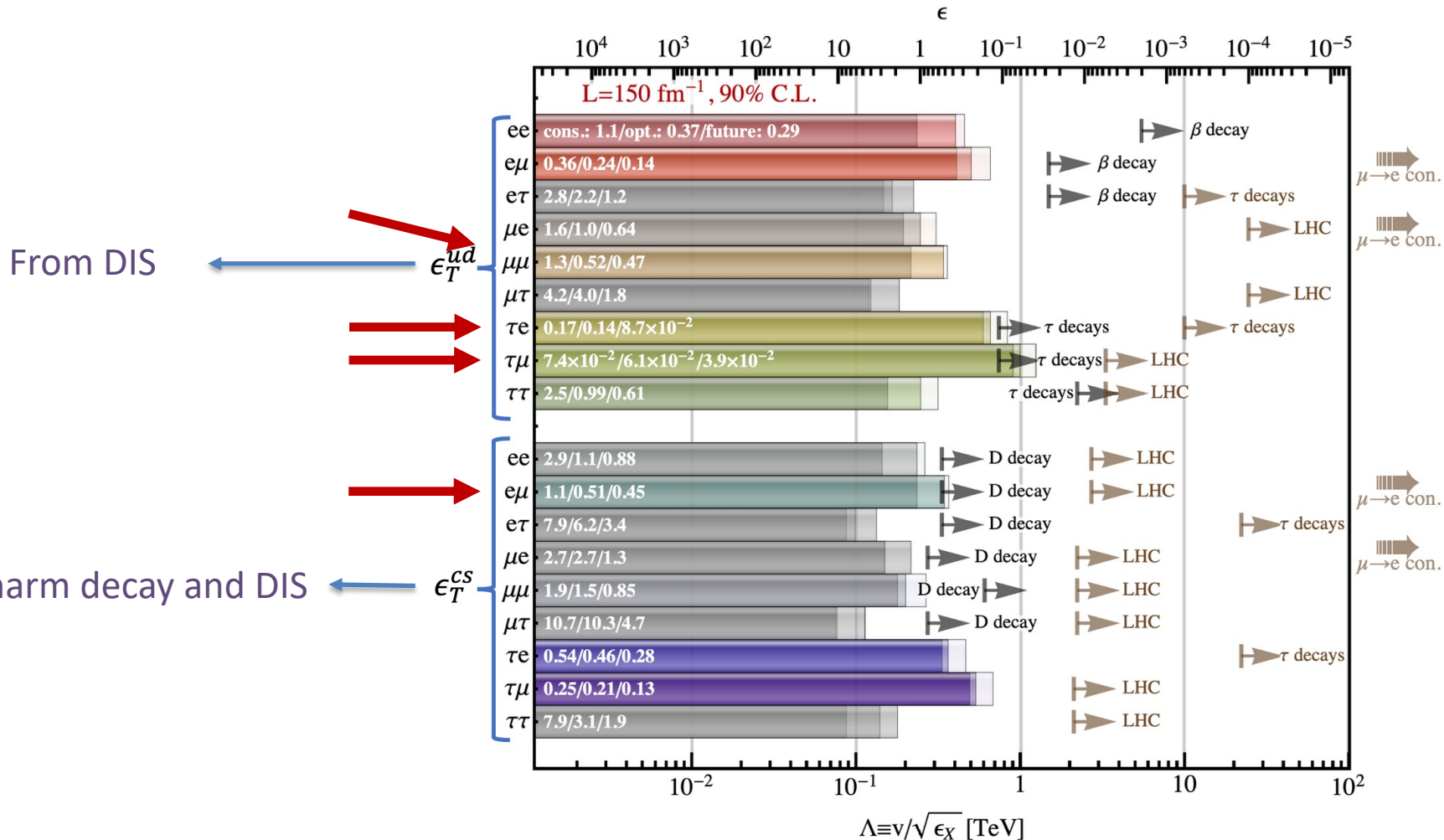


RESULTS

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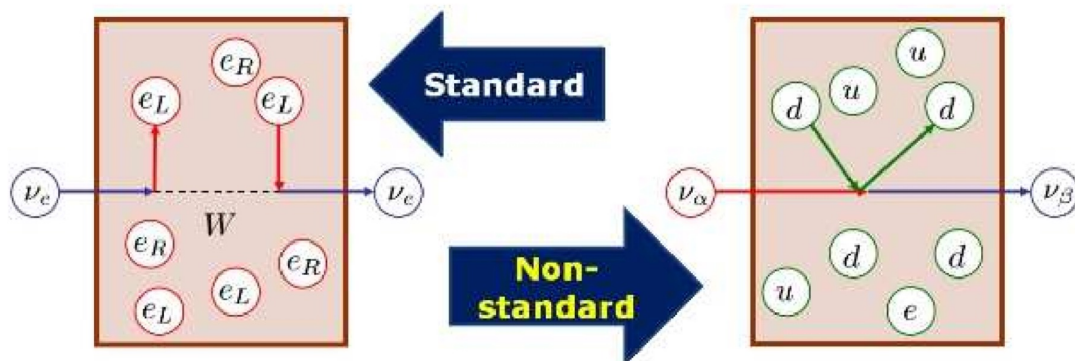
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QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s} \left[|\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon_{\alpha\gamma}^s |\nu_\gamma\rangle \right]$$

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$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$

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$$x_s \equiv (1 + \epsilon^s) U^* \quad \& \quad x_d \equiv (1 + \epsilon^d)^T U$$

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A. Falkowski, M. González-Alonso, ZT
arXiv: 1910.02971

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A. Falkowski, M. González-Alonso, [ZJHEP](#) 10 (2021) 086

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$$p_{XL}p_{YL}^* = p_{XY}, \quad d_{XL}d_{YL}^* = d_{XY}$$

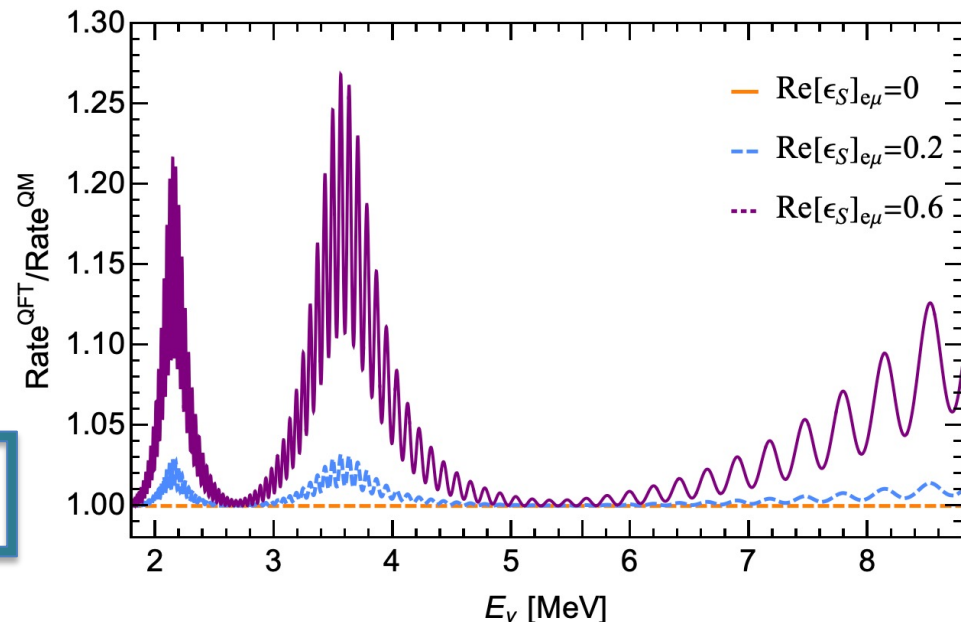
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e.g. at KamLAND
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$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}.$$



Conclusion:

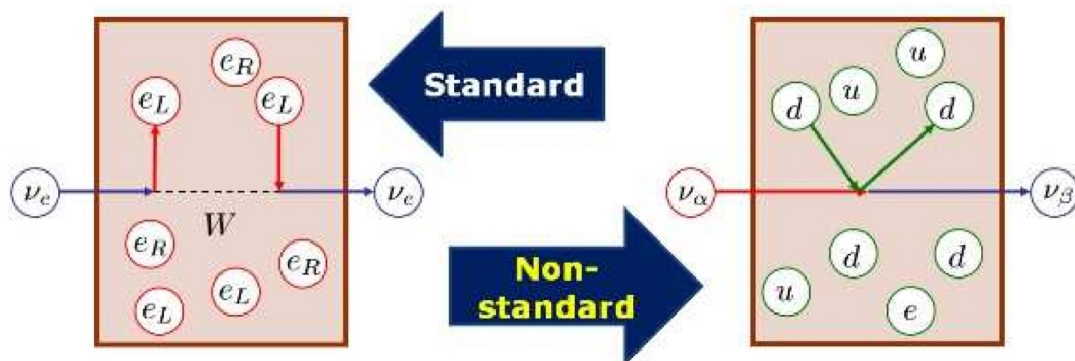
- We have proposed a systematic approach to neutrino experiments in the SMEFT framework.
- We applied the formalism to FASERv experiment, however the formalism can be readily extended to other types of neutrino experiments.
- Constraints of the order of 10^{-3} (10 TeV) can be derived for pseudo-scalar interaction at FASERv. In total 81 different operators can be probed at FASERv.



Thanks for your attention

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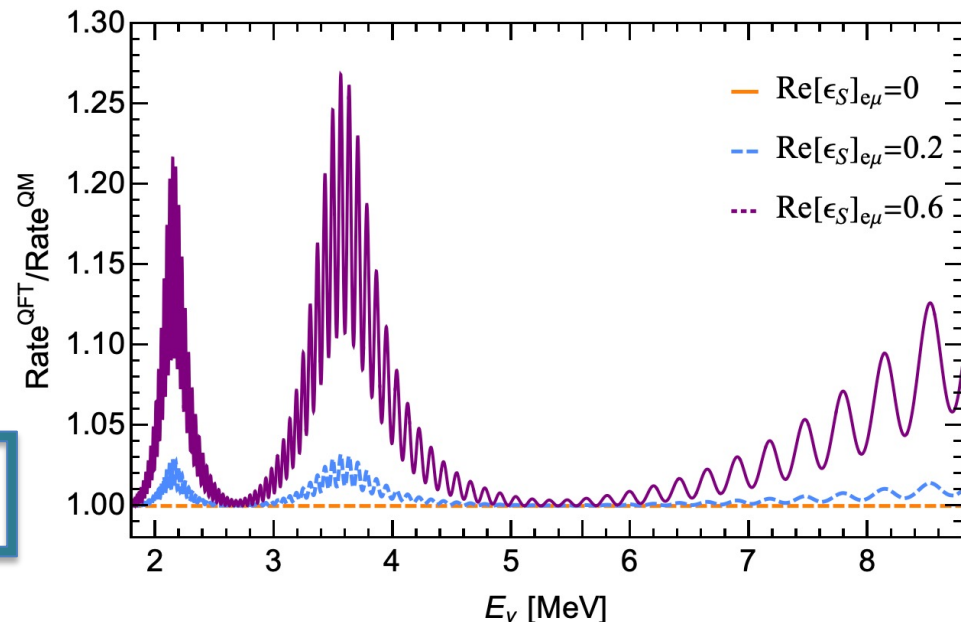
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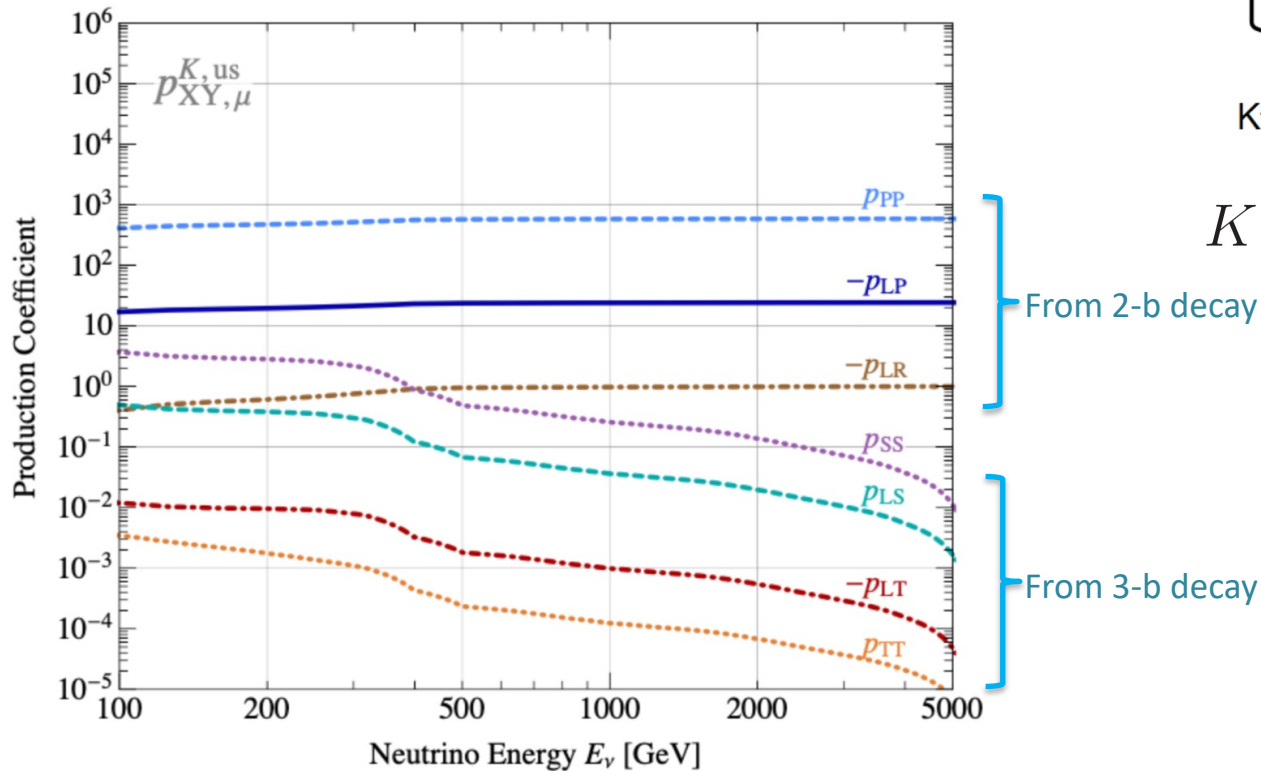
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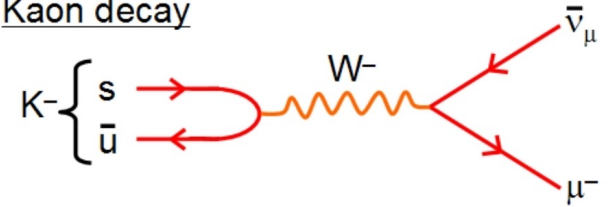
Kaon Decay:

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

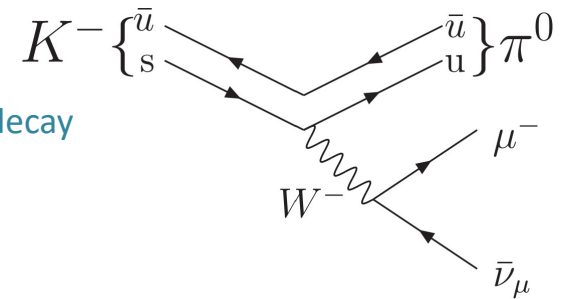
Both 2-body and 3-body kaon decays contribute:



Kaon decay



$$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$$



We see chiral-enhancement for the decay into muons!

EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
arXiv: 2105.12136

(pseudo)probability:

$$\tilde{P}_{\alpha\beta}|_{L=0} \simeq \underbrace{\left(1 + 2 \sum_{X,j,k} p_{XL,\alpha}^{jk} |\epsilon_{X,\alpha\beta}^{jk}| \cos \phi_{X,\alpha\beta}^{jk}\right) \delta_{\alpha\beta}}_{\text{Only the diagonal elements at the linear order}} + \underbrace{\sum_{X,Y,j,k} |\epsilon_{X,\alpha\beta}^{jk}|^2 p_{XY,\alpha}^{jk} + \sum_{X,Y,r,s} |\epsilon_{X,\beta\alpha}^{rs}|^2 d_{XY,\beta}^{rs}}_{\text{Off diagonal elements at the quadratic order}},$$

No oscillation, only zero-distance effect!