

Type IIB uplift of $N=4$, $D=4$ gauged supergravity

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Based on 2410.23149 with Colin Sterckx and Mario Trigiante

+ work in progress

IV GRASS-SYMBHOL Meeting
Toledo, 11-13 November 2025

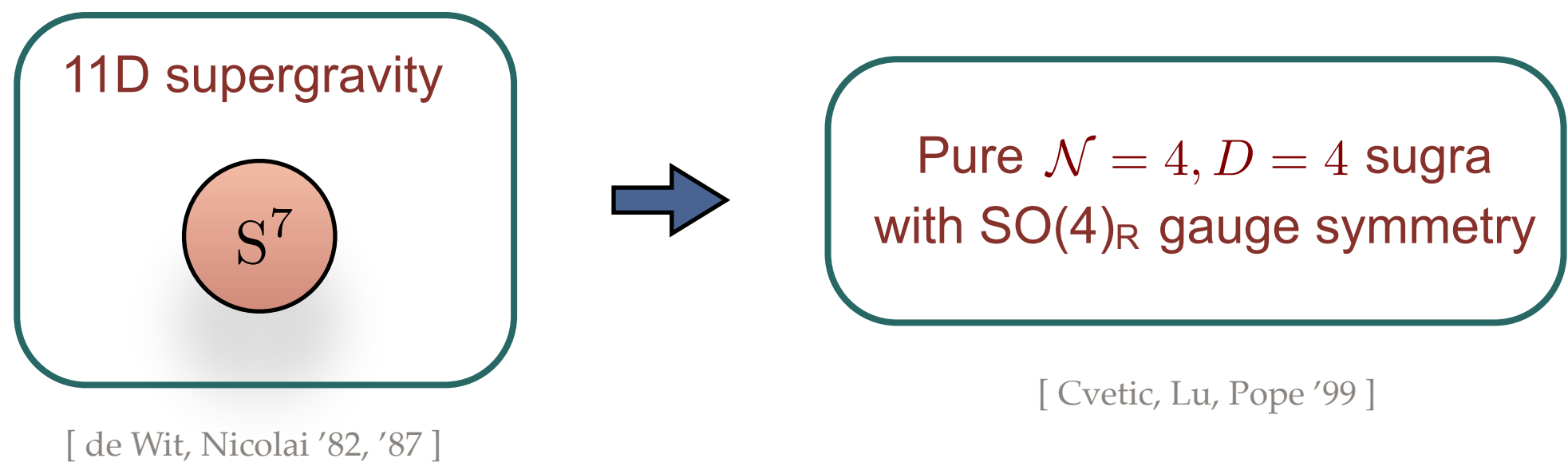


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Consistent truncations of 11D / type II supergravities down to 4D
have been pivotal in developing precision tests & applications
of the $\text{AdS}_4/\text{CFT}_3$ correspondence

Prototypical example :



$\mathcal{N} = 4, D = 4$ $\text{SO}(4)_R$ -gauged supergravity

$$S_{\text{bos}} = \int R \star 1 - \frac{1}{2} d\xi \wedge \star d\xi - \frac{1}{2} e^{2\xi} d\chi \wedge \star d\chi + (4 + 2 \cosh \xi + e^\xi \chi^2) \star 1 \\ - \frac{1}{1 + \chi^2 e^{2\xi}} \left(e^\xi F_{(2)}^a \wedge \star F_{(2)}^a + \chi e^{2\xi} F_{(2)}^a \wedge F_{(2)}^a \right) - e^{-\xi} F_{(2)}^{\hat{a}} \wedge \star F_{(2)}^{\hat{a}} + \chi F_{(2)}^{\hat{a}} \wedge F_{(2)}^{\hat{a}}$$

Field content : $g_{\mu\nu}$, $(A_\mu^a, A_\mu^{\hat{a}}) \in \mathfrak{so}(4)_R$, $\tau \equiv -\chi + ie^{-\xi} \in \frac{\text{SL}(2)}{\text{SO}(2)}$

$\mathcal{N} = 4, D = 4$ $\text{SO}(4)_R$ -gauged supergravity

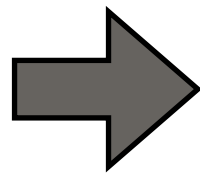
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❖ **Consistent** truncation from 11D :

Abelian $\mathfrak{u}(1)_1 \times \mathfrak{u}(1)_2 \subset \mathfrak{so}(4)_R$ sector (for simplicity)

$$d\hat{F}_{(4)} = 0 \\ d\hat{F}_{(7)} = 0 \\ \text{Einstein eq.}$$



$$dF_1 = 0, \quad dF_2 = 0 \\ d\tilde{F}_1 = 0, \quad d\tilde{F}_2 = 0 \\ \text{EOM of } \tau \\ \text{Einstein eq.}$$

Note: $\hat{F}_{(7)} \equiv *_{11} \hat{F}_{(4)} + \frac{1}{2} \hat{A}_{(3)} \wedge \hat{F}_{(4)}$

Note: $\tilde{F}_1 = |\tau|^{-2} \left(e^{-\xi} \star F_1 + \chi F_1 \right)$, $\tilde{F}_2 = e^{-\xi} \star F_2 - \chi F_2$

$\mathcal{N} = 4, D = 4$ $\text{SO}(4)_R$ -gauged supergravity

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[$\text{AdS}_4 \times S^7$: Freund, Rubin '80]

$\text{AdS}_4 \leftrightarrow \text{ABJM}$

[M2-brane : Aharony, Bergman, Jafferis, Maldacena '08]

$\mathcal{N} = 4, D = 4$ $\text{SO}(4)_R$ -gauged supergravity

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Janus $\leftrightarrow$ ABJM interfaces

[D'Hoker, Ester, Gutperle, Krym '09]

[Freedman, Pufu '13]

[Bobev, Pilch, Warner '13]

[Pilch, Tyukov, Warner '15]

[Anabalón, AG, Chamorro-Burgos '22]

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[$\text{AdS}_2 \times \Sigma_2 \times S^7$]

$\text{AdS-BH} \leftrightarrow \text{ABJM on } \Sigma_2$ (top. twist)

[Anabalón, Cacciatori, Caldarelli, Cardoso, Castro, Chimento, Chow, Compère, Cvetič, Dall'Agata, Duff, Erbin, Ferrero, Gnechhi, AG, Halmagyi, Hoxa, Hristov, Inglese, Ipiña, Karndumri, Katmadas, Klemm, Liu, Lodato, Lü, Lu, Martelli, Martinez-Acosta, Monten, Ortín, Oyarzo, Petri, Petrini, Pope, Rabbiosi, Sati, Sparks, Suh, Toldo, Tomasiello, Tran, Trigiante, Vaughan, Zaffaroni, ...]

[Arav, Azzurli, Amariti, Benini, Bobev, Cabo-Bizet, Charles, Choi, Cricigno, Gauntlett, Jiao, Sparks, Hong, Hristov, Kim, Kim, Morteza Hosseini, Min, Pando Zayas, Pilch, Reys, Roberts, Rosen, Xin, Zaffaroni, ...]

Take home message

“Any solution of pure $\mathcal{N} = 4$, $D = 4$ $SO(4)_R$ -gauged supergravity can be oxidised to a non-geometric S -fold background of type IIB string theory.”

Outlook

- What is an S-fold?

See works by : Arav, Berman, Bobev, Cesàro, Cheung, Fischbacher, Gauntlett, Gautason, Giambrone, Inverso, Larios, Malek, Pilch, Roberts, Rosen, Samtleben, Suh, Trigiante, van Muiden, Varela...

- Type IIB uplift of pure $\mathcal{N} = 4$, $D = 4$ gauged supergravity

- Outlook

Type IIB on $\text{AdS}_5 \times S^5$

R-symmetry : $\text{SU}(4)_R \sim \text{SO}(6)_R$ from S^5

[**SL(2)-covariant notation** $\alpha = 1, 2$]

$$ds_{10}^2 = ds_{\text{AdS}_5}^2 + g^{-2} ds_{S^5}^2$$

$$\tilde{F}_5 = 4g(1 + \star) \text{vol}_5$$

$$m_{\alpha\beta} = \begin{pmatrix} e^{-\Phi} + e^{\Phi} C_0^2 & -e^{\Phi} C_0 \\ -e^{\Phi} C_0 & e^{\Phi} \end{pmatrix} = \begin{pmatrix} e^{-\Phi_0} & 0 \\ 0 & e^{\Phi_0} \end{pmatrix} \quad \text{with} \quad \Phi_0 = \text{cst}$$

$$\mathbb{B}^\alpha = \begin{pmatrix} B_2 \\ C_2 \end{pmatrix} = 0$$

From now on we will set $g = 1$ and $\Phi_0 = 0$

Can we replace AdS_5 by $\text{AdS}_4 \times S^1_\eta$?

[AG, Sterckx '19]

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[SL(2)-covariant notation $\alpha = 1, 2$]

$$ds^2_{10} = \Delta^{-1} \left[\frac{1}{2} ds^2_{\text{AdS}_4} + \frac{1}{2\sqrt{2}} d\eta^2 + \frac{1}{\sqrt{2}} d\hat{s}^2_{S^5} \right] \quad \text{with} \quad \Delta^{-1} = \sqrt{2}$$

$$\tilde{F}_5 = 4(1 + \star) \text{vol}_5$$

$$m_{\alpha\beta} = \begin{pmatrix} e^{-\Phi} + e^{\Phi} C_0^2 & -e^{\Phi} C_0 \\ -e^{\Phi} C_0 & e^{\Phi} \end{pmatrix} = \begin{pmatrix} e^{2\eta} & 0 \\ 0 & e^{-2\eta} \end{pmatrix}$$

$$\mathbb{B}^\alpha = \begin{pmatrix} B_2 \\ C_2 \end{pmatrix} = 0$$

Non-supersymmetric solution with **linear dilaton** profile $\Phi = -2\eta$!!

Can we make sense out of S_{η}^1 ?

[AG, Sterckx '19]

Can we make sense out of S^1_η ?

[AG, Sterckx '19]

[**SL(2)-covariant notation** $\alpha = 1, 2$]

$$ds_{10}^2 = \Delta^{-1} \left[\frac{1}{2} ds_{\text{AdS}_4}^2 + \frac{1}{2\sqrt{2}} d\eta^2 + \frac{1}{\sqrt{2}} d\hat{s}_{\text{S}^5}^2 \right] \quad \text{with} \quad \Delta^{-1} = \sqrt{2}$$

$$\tilde{F}_5 = 4(1 + \star) \text{vol}_5$$

$$m_{\alpha\beta} = \begin{pmatrix} e^{-\Phi} + e^{\Phi} C_0^2 & -e^{\Phi} C_0 \\ -e^{\Phi} C_0 & e^{\Phi} \end{pmatrix} = A^{-t} \mathbf{m} A^{-1}$$

$$\mathbb{B}^\alpha = \begin{pmatrix} B_2 \\ C_2 \end{pmatrix} = A \mathbf{b} = 0 \quad \text{with} \quad \mathbf{b}^\beta = 0 \quad \text{and} \quad \mathbf{m}_{\gamma\delta} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Rewriting in terms of a **local SL(2,R) twist** matrix



$$A^\alpha{}_\beta(\eta) = \begin{pmatrix} e^{-\eta} & 0 \\ 0 & e^\eta \end{pmatrix}$$

S-fold interpretation

[Inverso, Samtleben, Trigiante '16]

- $\mathbb{R} \rightarrow S^1_\eta \Leftrightarrow$ **hyperbolic monodromy** : $\mathfrak{M}_{S^1_\eta} = A^{-1}(\eta) A(\eta + T) = \begin{pmatrix} e^{-T} & 0 \\ 0 & e^T \end{pmatrix} \in \text{SL}(2, \mathbb{R})$

[non-geometric but locally geometric backgrounds]

- Acting with a **global** $\text{SL}(2, \mathbb{R})$ element ($k > 2$) :

$$g(k) = \begin{pmatrix} \frac{(k^2 - 4)^{\frac{1}{4}}}{\sqrt{2}} & 0 \\ \frac{k}{\sqrt{2}(k^2 - 4)^{\frac{1}{4}}} & \frac{\sqrt{2}}{(k^2 - 4)^{\frac{1}{4}}} \end{pmatrix} \in \text{SL}(2, \mathbb{R})$$

then

$$\mathfrak{M}(k) = g^{-1} \mathfrak{M}_{S^1_\eta} g = \begin{pmatrix} k & 1 \\ -1 & 0 \end{pmatrix} = -\mathcal{ST}^k \in \text{SL}(2, \mathbb{Z})_{\text{IIB}}$$

CS level k in the dual S-fold CFT_3

[Assel, Tomasiello '18]

with $T(k) = \log(k + \sqrt{k^2 - 4}) - \log(2)$ and $\text{Tr} \mathfrak{M}(k) > 2$.

$\mathcal{N} = 4$ supersymmetric S-fold

[Inverso, Samtleben, Trigiante '16]

[AG, Sterckx '21]

[Giambrone, AG, Malek, Samtleben, Sterckx, Trigiante '21]

R-symmetry : $SO(4)_R \sim S^2 \times S^2$

$$ds_{10}^2 = \Delta^{-1} \left[\frac{1}{2} ds_{\text{AdS}_4}^2 + d\eta^2 + d\alpha^2 + \frac{\cos^2 \alpha}{2 + \cos(2\alpha)} ds_{S_1^2}^2 + \frac{\sin^2 \alpha}{2 - \cos(2\alpha)} ds_{S_2^2}^2 \right]$$

$$\Delta^{-4} = 4 - \cos^2(2\alpha)$$

$$\tilde{F}_5 = \Delta^4 \sin^2(2\alpha) (1 + \star) \left[-\frac{3}{2} \text{vol}_5 + \sin(2\alpha) d\eta \wedge \text{vol}_1 \wedge \text{vol}_2 \right]$$

$$\mathbb{B}^\alpha = A \mathfrak{b} \quad \text{with}$$

$$\mathfrak{b}_1 = -2\sqrt{2} \frac{\cos^3 \alpha}{2 + \cos(2\alpha)} \text{vol}_{\Omega_1}$$

$$\mathfrak{b}_2 = -2\sqrt{2} \frac{\sin^3 \alpha}{2 - \cos(2\alpha)} \text{vol}_{\Omega_2}$$

$$m_{\alpha\beta} = A^{-t} \mathfrak{m} A^{-1} \quad \text{with} \quad \mathfrak{m}_{\gamma\delta} = \begin{pmatrix} \sqrt{\frac{2+\cos(2\alpha)}{2-\cos(2\alpha)}} & 0 \\ 0 & \sqrt{\frac{2-\cos(2\alpha)}{2+\cos(2\alpha)}} \end{pmatrix}$$

A conjecture

[Gauntlett, Varela '07]

Corollary. *Any supergravity solution with a D -dimensional AdS (or Minkowski) factor preserving $\mathcal{N}$ supersymmetries, defines a consistent truncation to the corresponding pure supergravity theory.*

[proof by Cassani, Josse, Petrini, Waldram '19]

A consistent truncation of type IIB supergravity on $S^1_\eta \times S^5$ **must** exist
down to pure $\mathcal{N} = 4$, $D = 4$ $SO(4)_R$ -gauged supergravity

Field content : $g_{\mu\nu}$, $(A_\mu^a, A_\mu^{\hat{a}}) \in \mathfrak{so}(4)_R$, $\tau \equiv -\chi + ie^{-\xi} \in \frac{SL(2)}{SO(2)}$

Reminder : M-theory embedding on the 7-sphere [Cvetič, Lu, Pope '99]

Bad news: There is no *standard* group-theoretic procedure to work out the IIB embedding
[*i.e.* STU-model from M-theory on the 7-sphere]

Good news: *Generalised Geometry* and *Exceptional Field Theory* come to rescue !

[AG, Sterckx, Trigiante '24]

1. An $N=4$ solution of (maximal) $D=4$ supergravity defines a generalised $SU(4)_R$ structure
2. That $SU(4)_R$ structure has a specific embedding inside the exceptional $E_{7(7)}$ symmetry group of Exceptional Field Theory (ExFT)
3. One can then fluctuate ExFT about the $N=4$ solution in a way that preserves the generalised $SU(4)_R$ structure
4. The dynamics of the fluctuations is described by the pure $N=4$, $D=4$ supergravity

Proof along the lines of [Malek '17] & [Cassani, Josse, Petrini, Waldram '19]

Type IIB embedding (I)

The S^5 is embedded in $\mathbb{R}^6$ using embedding coordinates

$$\begin{aligned} Y_1 &= \cos \alpha \cos \theta_1, & Y_4 &= \sin \alpha \cos \theta_2, \\ Y_2 &= \cos \alpha \sin \theta_1 \sin \varphi_1, & Y_5 &= \sin \alpha \sin \theta_2 \sin \varphi_2, \\ Y_3 &= \cos \alpha \sin \theta_1 \cos \varphi_1, & Y_6 &= \sin \alpha \sin \theta_2 \cos \varphi_2, \end{aligned}$$

satisfying $\sum Y_m^2 = 1$. It proves convenient to introduce the (τ, α) -dependent functions

$$\begin{aligned} f_1 &= 1 + 2 e^\xi |\tau|^2 \cos^2 \alpha, \\ f_2 &= 1 + 2 e^\xi \sin^2 \alpha, \end{aligned}$$

as well as the non-singular warping factor

$$\Delta^{-4} = f_1 f_2.$$

The ten-dimensional metric takes the form

$$ds_{10}^2 = \Delta^{-1} \left(\frac{1}{2} ds_4^2 + g_{mn} Dy^m Dy^n \right),$$

where $y^m = (\eta, \alpha, \theta_i, \varphi_i)$ denotes the internal coordinates and $D \equiv d + A^{\text{KK}}$ becomes covariantised with respect to the Kaluza-Klein (KK) vector

$$A^{\text{KK}} \equiv A_\mu{}^m dx^\mu \otimes \partial_m = A_1 \partial_{\varphi_1} + A_2 \partial_{\varphi_2}.$$

The metric g_{mn} on the internal $S^1 \times S^5$ is given by

$$g_{mn} dy^m dy^n = d\eta^2 + d\alpha^2 + \frac{\cos^2 \alpha}{f_1} ds_{S_1^2}^2 + \frac{\sin^2 \alpha}{f_2} ds_{S_2^2}^2,$$

with

$$ds_{S_i^2}^2 = d\theta_i^2 + \sin^2 \theta_i d\varphi_i^2$$

being the line element of the 2-spheres S_i^2 of unit radius.

Note : Abelian $\mathfrak{u}(1)_1 \times \mathfrak{u}(1)_2 \subset \mathfrak{so}(4)_R$ sector (for simplicity)

The type IIB dilaton Φ and the RR axion C_0 are given by

$$e^\Phi = \Delta^2 e^{-2\eta} e^\xi f_0, \quad C_0 = e^{2\eta} \chi \cos(2\alpha) f_0^{-1},$$

with

$$f_0 = 1 + 2 e^{-\xi} \cos^2 \alpha.$$

The two-form potentials $\mathbb{B}^\alpha = (\mathbb{B}^1, \mathbb{B}^2) = (B_2, C_2)$ have a decomposition à la KK of the form

$$\mathbb{B}^\alpha = e^{(-1)^\alpha \eta} \mathfrak{b}_{(0)}^\alpha + \mathfrak{b}_{(1)}^\alpha,$$

involving four-dimensional scalar contributions

$$\begin{aligned} \mathfrak{b}_{(0)}^1 &= -\sqrt{2} \chi e^\xi \cos \alpha \widetilde{\text{vol}}_1 - \sqrt{2} (1 + e^\xi) \sin \alpha \widetilde{\text{vol}}_2, \\ \mathfrak{b}_{(0)}^2 &= \sqrt{2} (1 + e^\xi |\tau|^2) \cos \alpha \widetilde{\text{vol}}_1 - \sqrt{2} \chi e^\xi \sin \alpha \widetilde{\text{vol}}_2, \end{aligned}$$

given in terms of *fibered* 2-sphere volumes

$$\begin{aligned} \widetilde{\text{vol}}_1 &= \cos^2 \alpha f_1^{-1} \sin \theta_1 d\theta_1 \wedge D\varphi_1, \\ \widetilde{\text{vol}}_2 &= \sin^2 \alpha f_2^{-1} \sin \theta_2 d\theta_2 \wedge D\varphi_2, \end{aligned}$$

and one-form contributions

$$\begin{aligned} \mathfrak{b}_{(1)}^1 &= \frac{1}{\sqrt{2}} \tilde{A}_1 \wedge d(e^{-\eta} Y_1) + \frac{1}{\sqrt{2}} A_2 \wedge d(e^{-\eta} Y_4), \\ \mathfrak{b}_{(1)}^2 &= -\frac{1}{\sqrt{2}} A_1 \wedge d(e^\eta Y_1) - \frac{1}{\sqrt{2}} \tilde{A}_2 \wedge d(e^\eta Y_4). \end{aligned}$$

Type IIB embedding (II)

Note : Abelian $\mathfrak{u}(1)_1 \times \mathfrak{u}(1)_2 \subset \mathfrak{so}(4)_R$ sector (for simplicity)

The field-strength $\tilde{F}_5 = dC_4 + \frac{1}{2} \epsilon_{\alpha\beta} \mathbb{B}^\alpha \wedge \mathbb{H}^\beta$ takes the form

$$\begin{aligned} \tilde{F}_5 = & (1 + \star) \left[\left((2 + V) \sin(2\alpha) d\eta + 2((2 + e^\xi) \cos(2\alpha) + V \cos^2 \alpha) d\alpha \right) \wedge \widetilde{\text{vol}}_1 \wedge \widetilde{\text{vol}}_2 \right. \\ & \left. + \sin(2\alpha) (e^{2\xi} \chi d\chi - d\xi) \wedge \widetilde{\text{vol}}_1 \wedge \widetilde{\text{vol}}_2 + F_1 \wedge v_1 + \tilde{F}_1 \wedge \tilde{v}_1 + F_2 \wedge v_2 + \tilde{F}_2 \wedge \tilde{v}_2 \right] , \end{aligned}$$

in terms of the quantities

$$v_1 = \chi e^\xi Y^1 e^{-\eta} d(e^\eta \cos \alpha) \wedge \widetilde{\text{vol}}_1 , \quad v_2 = \chi e^\xi Y^4 e^\eta d(e^{-\eta} \sin \alpha) \wedge \widetilde{\text{vol}}_2 ,$$

and

$$\tilde{v}_1 = -(1 + e^\xi |\tau|^2) Y^1 e^\eta d(e^{-\eta} \cos \alpha) \wedge \widetilde{\text{vol}}_1 - \frac{1}{2} d[(Y^2)^2 + (Y^3)^2] \wedge D\varphi_1 \wedge d\eta ,$$

$$\tilde{v}_2 = (1 + e^\xi) Y^4 e^{-\eta} d(e^\eta \sin \alpha) \wedge \widetilde{\text{vol}}_2 - \frac{1}{2} d[(Y^5)^2 + (Y^6)^2] \wedge D\varphi_2 \wedge d\eta .$$

10D self-duality of $\tilde{F}_5$ $\longleftrightarrow$ 4D *twisted* self-duality of $(F_1, F_2; \tilde{F}_1, \tilde{F}_2)$

Outlook

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Outlook

11D supergravity



[Cvetič, Lu, Pope '99]

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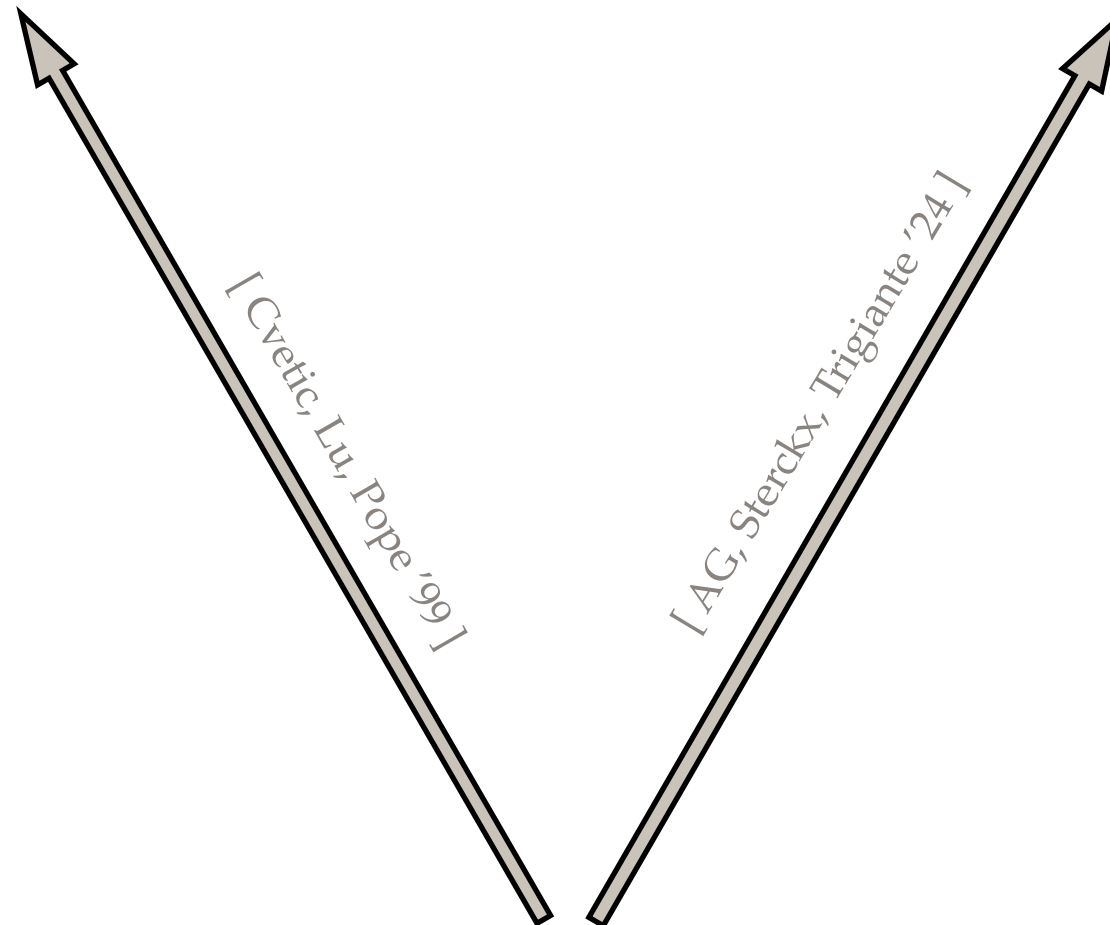
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Outlook

11D supergravity



Type IIB supergravity



$\mathcal{N} = 4, D = 4$ $SO(4)_R$ -gauged supergravity

$$S_{\text{bos}} = \int R \star 1 - \frac{1}{2} d\xi \wedge \star d\xi - \frac{1}{2} e^{2\xi} d\chi \wedge \star d\chi + (4 + 2 \cosh \xi + e^\xi \chi^2) \star 1 \\ - \frac{1}{1 + \chi^2 e^{2\xi}} \left(e^\xi F_{(2)}^a \wedge \star F_{(2)}^a + \chi e^{2\xi} F_{(2)}^a \wedge F_{(2)}^a \right) - e^{-\xi} F_{(2)}^{\hat{a}} \wedge \star F_{(2)}^{\hat{a}} + \chi F_{(2)}^{\hat{a}} \wedge F_{(2)}^{\hat{a}}$$

Outlook

11D supergravity



$\text{AdS}_4 \leftrightarrow \text{ABJM}$

[Cvetič, Lu, Pope '99]

Type IIB supergravity



$\text{AdS}_4 \leftrightarrow \text{S-fold CFT}_3$

[AG, Sterckx, Trigiante '24]

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11D supergravity



$\text{AdS}_4 \leftrightarrow \text{ABJM}$

Janus $\leftrightarrow$ ABJM interfaces

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Type IIB supergravity



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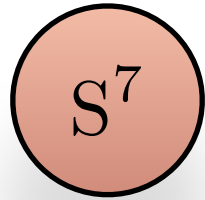
[AG, Sterckx, Trigiante '24]

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Outlook

11D supergravity



$\text{AdS}_4 \leftrightarrow \text{ABJM}$

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$\text{AdS-BH} \leftrightarrow \text{ABJM on } \Sigma_2 \text{ (top. twist)}$

e.g. S^2 or H^2 , spindles, ...

[Cvetic, Lu, Pope '99]

Type IIB supergravity



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[AG, Sterckx, Trigiante '24]

$\mathcal{N} = 4, D = 4$ $\text{SO}(4)_R$ -gauged supergravity

$$S_{\text{bos}} = \int R \star 1 - \frac{1}{2} d\xi \wedge \star d\xi - \frac{1}{2} e^{2\xi} d\chi \wedge \star d\chi + (4 + 2 \cosh \xi + e^\xi \chi^2) \star 1$$

$$- \frac{1}{1 + \chi^2 e^{2\xi}} \left(e^\xi F_{(2)}^a \wedge \star F_{(2)}^a + \chi e^{2\xi} F_{(2)}^a \wedge F_{(2)}^a \right) - e^{-\xi} F_{(2)}^{\hat{a}} \wedge \star F_{(2)}^{\hat{a}} + \chi F_{(2)}^{\hat{a}} \wedge F_{(2)}^{\hat{a}}$$

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De :prd@aps.org

Répondre à :prd@aps.org

Report of the Referee -- LY18367D/Guarino

This paper establishes very non-trivial new results that could be important for the AdS/CFT correspondence. Since it is also well written I recommend this paper for publication in PRD in its present form.

gracias !

thanks !